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Cuspidal ribbon tableaux in affine type A

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ABSTRACT For any convex preorder on the set of positive roots of affine type A, we classify and construct all associated cuspidal and semicuspidal skew shapes. These combinatorial objects correspond to cuspidal and semicuspidal skew Specht modules for the Khovanov-Lauda-Rouquier algebra of affine type A. Cuspidal skew shapes are ribbons, and we show that every skew shape has a unique ordered tiling by cuspidal ribbons. This tiling data provides an upper bound, in the billexicographic order on Kostant partitions, for labels of simple factors of Specht modules.

1. INTRODUCTION

We begin by briefly describing the combinatorial data studied herein, referring the reader to the body of the paper for detailed exposition and to Example 1.1 for demonstrative visuals.

Fix $e > 1$, and let $\Phi_+ = \Phi_+^{\text{re}} \sqcup \Phi_+^{\text{im}}$ be the positive root system of type $A_{e-1}^{(1)}$; $I = \{\alpha_0, \dots, \alpha_{e-1}\}$ be the set of simple roots; $Q_+ = \mathbb{Z}_{\geq 0}I$ be the root lattice; Φ_+^{re} be the set of real roots; $\Phi_+^{\text{im}} = \{m\delta \mid m \in \mathbb{N}\}$ be the set of imaginary roots, and $\delta = \alpha_0 + \dots + \alpha_{e-1}$ be the null root. We fix a convex preorder \succeq on Φ_+ , see §3. This root system data is fundamental in the representation theory of the Kac-Moody Lie algebra $\widehat{\mathfrak{sl}}_e$ [12], and convex preorders determine PBW bases for the associated quantum group [4].

A skew shape τ is a set difference of Young diagrams. Nodes in τ have residue in \mathbb{Z}_e , and the skew shape τ has content $\text{cont}(\tau) \in Q_+$, see §2, §4.1. We say a set Λ of non-overlapping skew shapes is a tiling of a skew shape τ provided that $\tau = \bigsqcup_{\lambda \in \Lambda} \lambda$, and we refer to elements of Λ as tiles. A Λ -tableau $\mathfrak{t} = (\lambda_1, \dots, \lambda_{|\Lambda|})$ is an ordering of the tiles in Λ such that no node in λ_i is (weakly) southeast of any node in λ_j when $1 \leq i < j \leq |\Lambda|$, see §2.6. This is a generalization of the notion of *Young tableaux*, in which each λ_i consists of a single node. Young tableaux and their associated residue sequences correspond to bases and associated weight spaces for Specht modules over cyclotomic Hecke algebras, and the symmetric group in particular, see [15, 21].

Of particular interest in this paper are tilings whose constituent tiles are ribbons—connected skew shapes that contain no 2×2 boxes. Ribbon tilings and tableaux are well-studied combinatorial objects connected to many areas of algebra and geometry, such as the theory of symmetric functions, the representation theory of finite groups, Lie algebras and quantum groups, and the geometry of flag varieties, see [7, 19, 25] for a few examples. The majority of research done on this topic concerns r -ribbon

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tableaux, in which all tiles are ribbons of a given cardinality r . We do not include that restriction here, as we are interested in *cuspidal* ribbons, which have varying and unbounded cardinality.

1.1. CUSPIDALITY. Motivated by the representation theory of Khovanov-Lauda-Rouquier (KLR) algebras studied in [16, 18, 22], we introduce the notion of *cuspidal* and *semicuspidal* skew shapes. Let τ be a skew shape such that $\text{cont}(\tau) = m\beta$ for some $m \in \mathbb{N}$ and $\beta \in \Phi_+$. We say that τ is semicuspidal provided that whenever (λ_1, λ_2) is a tableau for τ , we may write $\text{cont}(\lambda_1)$ as a sum of positive roots $\preceq \beta$, and $\text{cont}(\lambda_2)$ as a sum of positive roots $\succeq \beta$. We say that τ is cuspidal provided that $m = 1$ and the comparisons above may be made strict, see Definition 5.1. We give a complete classification of cuspidal and semicuspidal skew shapes in Theorems 6.13 and 7.18, summarized (in a slightly weaker form) as Theorems A and B below.

THEOREM A. *Every cuspidal skew shape is a ribbon. There exists a unique cuspidal ribbon ζ^β of content β for all $\beta \in \Phi_+^{\text{re}}$, and e distinct cuspidal ribbons $\zeta^{\bar{0}}, \dots, \zeta^{\bar{e-1}}$ of content δ .*

THEOREM B. *Let $m \in \mathbb{N}$. There exists a unique semicuspidal skew shape $\zeta^{m\beta}$ of content $m\beta$, for all $\beta \in \Phi_+^{\text{re}}$. The set of connected semicuspidal skew shapes of content $m\delta$ is in bijection with $\mathbb{Z}_e \times \mathcal{S}_c(m)$, where $\mathcal{S}_c(m)$ is the set of connected skew shapes of cardinality m .*

The uniqueness statements in Theorems A and B refer to uniqueness up to certain residue-preserving translations, see §4.2. In §5.1 and §7 we provide explicit constructions of all cuspidal and semicuspidal skew shapes. Imaginary semicuspidal skew shapes are constructed via an e -dilation process which is in some sense an inversion of the e -quotients defined in [20].

1.2. KOSTANT TILINGS. Let Λ be a tiling for τ . We say a Λ -tableau $\mathbf{t} = (\lambda_1, \dots, \lambda_{|\Lambda|})$ is *Kostant* if there exist $m_1, \dots, m_k \in \mathbb{N}$, $\beta_1 \succeq \dots \succeq \beta_k \in \Phi_+$ such that $\text{cont}(\lambda_i) = m_i\beta_i$ for all $1 \leq i \leq |\Lambda|$. We say it is *strict Kostant* if the comparisons above are strict. We say Λ is a (strict) *Kostant tiling* if a (strict) Kostant Λ -tableau exists. We say that a tiling is *cuspidal* (resp. *semicuspidal*) if every constituent tile is a cuspidal (resp. *semicuspidal*) skew shape.

If $\text{cont}(\tau) = \theta$, then a Kostant tiling Λ of τ may naturally be associated with a Kostant partition κ^Λ of θ , see §6. The convex preorder \succeq naturally induces a bilxicographic partial order \trianglerighteq on the set $\Xi(\theta)$ of Kostant partitions of θ . Our main theorem on Kostant tilings is the following, which appears as Theorems 6.14 and 7.19 in the text:

THEOREM C. *Let τ be a nonempty skew shape.*

- (i) *There exists a unique cuspidal Kostant tiling Γ_τ for τ .*
- (ii) *There exists a unique semicuspidal strict Kostant tiling Γ_τ^{sc} for τ .*
- (iii) *If Λ is any Kostant tiling for τ , then we have $\kappa^\Lambda \trianglelefteq \kappa^{\Gamma_\tau} = \kappa^{\Gamma_\tau^{\text{sc}}}$.*

We establish existence in Theorem C(i),(ii) constructively; in §6.3 we show the cuspidal Kostant tiling Γ_τ may be constructed via progressive removal of *minimal ribbons*.

1.3. APPLICATION TO REPRESENTATION THEORY. The combinatorial study of cuspidality and Kostant tilings for skew shapes discussed in §1.1, §1.2 is motivated by a connection to the theory of cuspidal systems and Specht modules over Khovanov-Lauda-Rouquier (KLR) algebras, and has application in that setting.

For any field \mathbb{k} and $\theta \in Q_+$, there is an associated KLR \mathbb{k} -algebra R_θ . This family of algebras categorifies the positive part of the quantum group $U_q(\widehat{\mathfrak{sl}}_e)$, see [13, 14, 24]. Associated to any skew shape τ of content θ is a (skew) Specht R_θ -module S^τ , as defined in [17, 23]. As discussed in §8.4, these Specht modules are key objects in the representation theory of cyclotomic KLR algebras, Hecke algebras and symmetric groups, via the connection between these objects proved in [5].

Under some restrictions on the ground field characteristic (see [18]), the category of finitely generated R_θ -modules is properly stratified, with strata labeled by $\Xi(\theta)$ and simple modules labeled $L(\boldsymbol{\kappa}, \boldsymbol{\lambda})$, where $\boldsymbol{\kappa} \in \Xi(\theta)$ and $\boldsymbol{\lambda}$ is an $(e - 1)$ -multipartition of the coefficient of δ in the Kostant partition $\boldsymbol{\kappa}$. Cuspidal and semicuspidal R_β -modules associated to every $\beta \in \Phi_+$ are the building blocks of this stratification theory, see [16, 18, 22].

An interesting objective is to find a combinatorial rule connecting the cellular structure in cyclotomic KLR algebra representation theory—built on Specht modules with simple modules labeled via multipartitions—to the stratified representation theory of the affine KLR algebra—built on cuspidal modules with simple modules labeled via Kostant partitions. Theorems A, B, C describe a rough step in this direction. In Proposition 8.3, we show that Theorems A and B give a complete classification of all cuspidal and semicuspidal Specht modules over KLR algebras. In Proposition 8.4 we leverage this connection to give a presentation of all simple cuspidal and semicuspidal modules associated to real positive roots. This generalizes a result proved in [23] from balanced convex preorders to arbitrary convex preorders.

Finally, in the following theorem (which appears as Theorem 8.5 in the paper) we show that cuspidal Kostant tiling Γ_τ of Theorem C provides a tight upper bound, in the bilxicographic order on Kostant partitions, for the simple factors which occur in the skew Specht module S^τ .

THEOREM D. *Let τ be a skew shape. Then the Specht module S^τ has a simple factor of the form $L(\boldsymbol{\kappa}^{\Gamma_\tau}, \boldsymbol{\lambda})$ for some $\boldsymbol{\lambda}$, and for every simple factor $L(\boldsymbol{\kappa}, \boldsymbol{\mu})$ of S^τ , we have that $\boldsymbol{\kappa}^{\Gamma_\tau} \succeq \boldsymbol{\kappa}$.*

This result is somewhat analogous to, but distinct from, James’ regularization theorem, as discussed in §8.7.2.

EXAMPLE 1.1. We conclude the introduction with a demonstrative example of the combinatorial objects studied in this paper. Take $e = 3$. Following Proposition 3.2, we may define a convex preorder on Φ_+ as follows. Set a total lexicographic order on \mathbb{Q}^2 via

$$(x, y) \succeq (x', y') \iff x > x' \text{ or } x = x' \text{ and } y \geq y',$$

for all $(x, y), (x', y') \in \mathbb{Q}^2$. Then define a map $h : \Phi_+ \rightarrow \mathbb{Q}^2$ by setting

$$h(\alpha_0) = (2, 1), \quad h(\alpha_1) = (-1, 0), \quad h(\alpha_2) = (-1, -1),$$

and extending by \mathbb{Z} -linearity to all of Φ_+ . For $\beta, \gamma \in \Phi_+$, set

$$\beta \succeq \gamma \iff \frac{h(\beta)}{\text{ht}(\beta)} \geq \frac{h(\gamma)}{\text{ht}(\gamma)},$$

where $\text{ht}(\beta)$ is the height of β , the sum of the coefficients of simple roots in β .

At the maximum end of the preorder \succeq , we have the real positive roots:

$$\alpha_0 \succ \alpha_0 + \alpha_1 \succ \delta + \alpha_0 \succ \alpha_2 + \alpha_0 \succ 2\delta + \alpha_0 \succ \delta + \alpha_0 + \alpha_1 \succ \dots$$

Cuspidal ribbons ζ^β associated to these roots, as constructed in §5.1, appear in Figure 1, with residues depicted within each node.

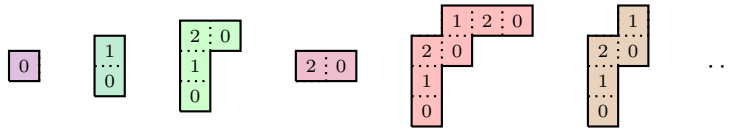


FIGURE 1. Real cuspidal ribbons $\zeta^{\alpha_0}; \zeta^{\alpha_0+\alpha_1}; \zeta^{\delta+\alpha_0}; \zeta^{\alpha_2+\alpha_0}; \zeta^{2\delta+\alpha_0}; \zeta^{\delta+\alpha_0+\alpha_1}$

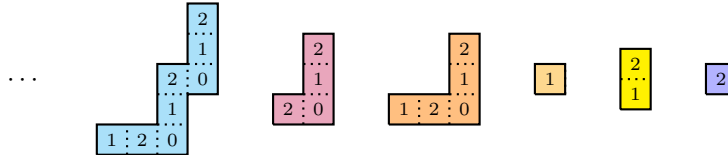


FIGURE 2. Real cuspidal ribbons $\zeta^{2\delta+\alpha_1+\alpha_2}; \zeta^{\delta+\alpha_2}; \zeta^{\delta+\alpha_1+\alpha_2}; \zeta^{\alpha_1}; \zeta^{\alpha_1+\alpha_2}; \zeta^{\alpha_2}$

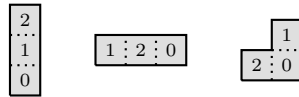


FIGURE 3. Imaginary cuspidal ribbons $\zeta^{\bar{0}}; \zeta^{\bar{1}}; \zeta^{\bar{2}}$

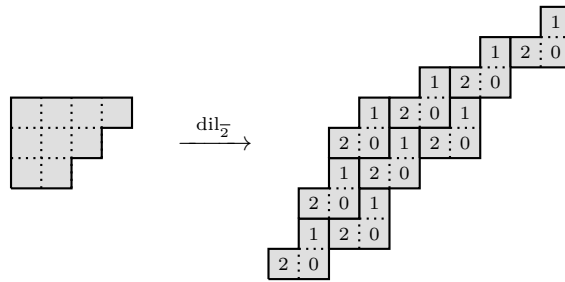


FIGURE 4. $\bar{2}$ -dilation of $\lambda \in \mathcal{S}_c(9)$ into a semicuspidal skew shape $\text{dil}_{\bar{2}}(\lambda)$ of content 9δ

At the minimum end of the preorder \succ , we have the real positive roots:

$$\dots \succ 2\delta + \alpha_1 + \alpha_2 \succ \delta + \alpha_2 \succ \delta + \alpha_1 + \alpha_2 \succ \alpha_1 \succ \alpha_1 + \alpha_2 \succ \alpha_2.$$

Cuspidal ribbons ζ^β associated to these roots appear in Figure 2.

Smack in the middle of the preorder \succ we have the imaginary roots $m\delta$, all of which are equivalent under \succ . We have an imaginary cuspidal ribbon ζ^t of content δ associated to each $t \in \mathbb{Z}_3$, as shown in Figure 3.

If $\beta \in \Phi_+^{\text{re}}$, then the unique semicuspidal skew shape of content $m\beta$ has m connected components, each of which is identical to the ribbon ζ^β , per Theorem 7.18. On the other hand, semicuspidal connected skew shapes of content $m\delta$ are in bijection with $\mathbb{Z}_3 \times \mathcal{S}_c(m)$ via the dilation map, per Proposition 7.14. Roughly speaking, for $t \in \mathbb{Z}_3$, one ‘ t -dilates’ the skew shape $\lambda \in \mathcal{S}_c(m)$ by replacing every node in λ with the cuspidal ribbon ζ^t , see §7.2. In Figure 4 an example of this dilation process is depicted.

By Theorem 6.14, every skew shape has a unique cuspidal Kostant tiling. In Figure 5, we take τ_0, τ_1, τ_2 to be the Young diagrams associated with the partition

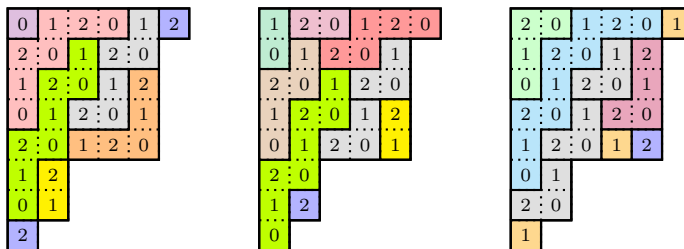


FIGURE 5. Cuspidal Kostant tilings for τ_0, τ_1, τ_2

$(6, 5^4, 2^2, 1)$ and charges 0, 1, 2 respectively (see §4.3). The unique cuspidal Kostant tiling is shown for each. Per Theorem 7.19, the unique semicuspidal strict Kostant tiling is achieved by choosing tiles to be unions of same-content cuspidal tiles.

The Kostant partitions associated with these tilings are:

$$\begin{aligned} \kappa^{\tau_0} &= (\alpha_0 | 2\delta + \alpha_0 | 2\delta + \alpha_0 + \alpha_1 | \delta^2 | \delta + \alpha_1 + \alpha_2 | \alpha_1 + \alpha_2 | \alpha_2^2); \\ \kappa^{\tau_1} &= (\alpha_0 + \alpha_1 | \alpha_2 + \alpha_0 | \delta + \alpha_0 + \alpha_1 | \delta + \alpha_2 + \alpha_0 | 2\delta + \alpha_0 + \alpha_1 | \delta^2 | \alpha_1 + \alpha_2 | \alpha_2); \\ \kappa^{\tau_2} &= (\delta + \alpha_0 | 3\delta + \alpha_0 | \delta^3 | \delta + \alpha_2 | \alpha_1^3 | \alpha_2). \end{aligned}$$

By Theorem 6.14, these Kostant partitions are bilexicographically maximal amongst all Kostant partitions induced by Kostant tilings for τ_0, τ_1, τ_2 , respectively.

1.4. ARXIV VERSION. In order to keep the paper from becoming overlong we chose to relegate several of the more routine calculations to the arXiv version of the paper. The reader interested in seeing these additional details can download the L^AT_EX source file from the arXiv. Near the beginning of the file is a toggle which allows one to compile the paper with these calculations included.

2. RIBBONS AND SKEW SHAPES

We introduce the main combinatorial objects in this section. Many results in this section are well known, but we include full proofs for clarity, as some of our terminology and setup is new. We denote the set of integers by \mathbb{Z} , the natural numbers $\mathbb{N} = \{1, 2, \dots\}$, and use the shorthand $[a, b] = \{a, a + 1, \dots, b\}$ for all $a \leq b \in \mathbb{Z}$.

2.1. NODES. Let $\mathcal{N} = \mathbb{Z} \times \mathbb{Z}$. We refer to elements of \mathcal{N} as *nodes*. By convention, we visually represent nodes as boxes in a $\mathbb{Z} \times \mathbb{Z}$ ‘matrix’, so that the node (i, j) is a box in the i th row and j th column of the array. In this orientation, positive increase in the first component corresponds to a southward move in the array, and positive increase in the second component corresponds to an eastward move in the array. For $u \in \mathcal{N}$, we will write u_1, u_2 for the components of u , so that $u = (u_1, u_2)$. We treat \mathcal{N} as a \mathbb{Z} -module, so that

$$n(u_1, u_2) = (nu_1, nu_2), \quad \text{and} \quad (u_1, u_2) + (v_1, v_2) = (u_1 + v_1, u_2 + v_2).$$

2.2. TRANSLATION. For $c \in \mathcal{N}$, we define the *translation by c* map $T_c : \mathcal{N} \rightarrow \mathcal{N}$ by $T_c u = u + c$ for all $u \in \mathcal{N}$. If $\tau \subset \mathcal{N}$, we define $T_c \tau = \{T_c u \mid u \in \tau\}$. We define the special single-unit north, east, south and west translations N, E, S, W by setting

$$N := T_{(-1,0)}, \quad E := T_{(0,1)}, \quad S := T_{(1,0)}, \quad W := T_{(0,-1)}.$$

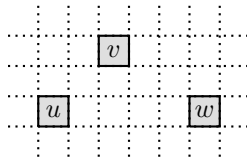


FIGURE 6. Nodes u, v, w , satisfying $u \nearrow v, v \searrow w, u \nearrow w, u \searrow w$

2.3. RELATIONS BETWEEN NODES. We define transitive relations $\searrow, \swarrow, \nearrow, \nwarrow$ on \mathcal{N} by

$$\begin{aligned} u \searrow v &\iff v = S^k E^\ell u \text{ for some } k, \ell \in \mathbb{Z}_{\geq 0}. \\ u \swarrow v &\iff v = S^k E^\ell u \text{ for some } k, \ell \in \mathbb{Z}_{> 0}. \\ u \nearrow v &\iff v = N^k E^\ell u \text{ for some } k, \ell \in \mathbb{Z}_{\geq 0}. \\ u \nwarrow v &\iff v = N^k E^\ell u \text{ for some } k, \ell \in \mathbb{Z}_{> 0}. \end{aligned}$$

We note that \searrow, \nearrow are in fact partial orders on \mathcal{N} , with \searrow being the product partial order induced by \mathbb{Z} on \mathcal{N} . We extend \swarrow, \nwarrow to subsets $\tau, \nu \subset \mathcal{N}$, writing $\tau \nwarrow \nu$ provided $u \nwarrow v$ for all $u \in \tau, v \in \nu$. For $u \in \tau \subset \mathcal{N}$, we say $u \in \tau$ is *maximally northeast* (resp. *maximally southwest*) in τ provided that does not exist any $u \neq v \in \tau$ such that $u \nearrow v$ (resp. $v \nearrow u$).

For $u, v \in \mathcal{N}$, a *path from u to v* is a sequence of nodes $(z^i)_{i=1}^k$ such that $z^1 = u, z^k = v$, and such that $z^{i+1} \in \{N(z^i), E(z^i), S(z^i), W(z^i)\}$ for $i \in [1, k-1]$. We say it is moreover a N/E (resp. S/E) path if $z^{i+1} \in \{N(z^i), E(z^i)\}$ (resp. $z^{i+1} \in \{S(z^i), E(z^i)\}$) for all $i \in [1, k-1]$. Defining $\text{dist}(u, v) = |v_1 + v_2 - u_1 - u_2|$, we have that $\text{dist}(u, v) + 1$ is the length of the shortest path from u to v , and is thus the length of any N/E or S/E path that connects u and v .

For $u \in \mathcal{N}$, set $\text{diag}(u) = u_2 - u_1 \in \mathbb{Z}$, and define the *n*th diagonal in \mathcal{N} to be the set

$$\begin{aligned} \mathcal{D}_n &= \{u \in \mathcal{N} \mid \text{diag}(u) = n\} \\ &= \{(SE)^k(0, n) \mid k \in \mathbb{Z}_{\geq 0}\} \cup \{(NW)^k(0, n) \mid k \in \mathbb{Z}_{> 0}\}. \end{aligned}$$

2.4. SKEW SHAPES. Now we define the main combinatorial object of study in this paper.

DEFINITION 2.1. We say a finite subset τ of \mathcal{N} is:

- (i) a skew shape provided that for all $u, w \in \tau, v \in \mathcal{N}, u \searrow v \searrow w$ implies $v \in \tau$.
- (ii) thin if $|\mathcal{D}_n \cap \tau| \leq 1$ for all $n \in \mathbb{N}$.
- (iii) connected if for all $u, v \in \tau$, there exists a path from u to v contained in τ .
- (iv) a ribbon provided that τ is a nonempty thin connected skew shape.
- (v) cornered if there exists at most one $u \in \tau$ such that $Su, Wu \notin \tau$ and at most one $v \in \tau$ such that $Nv, Ev \notin \tau$.
- (vi) diagonal-convex provided that for all $n \in \mathbb{N}, u, w \in \mathcal{D}_n \cap \tau$, and $v \in \mathcal{D}_n, u \searrow v \searrow w$ implies $v \in \tau$.
- (vii) a Young diagram provided that $\tau = \emptyset$ or τ is a skew shape containing a node τ_{NW} such that $\tau_{NW} \searrow u$ for all $u \in \tau$.

We write \mathcal{S} (resp. \mathcal{S}_c) for the set of all skew shapes (resp. nonempty connected skew shapes), and $\mathcal{S}(n)$ (resp. $\mathcal{S}_c(n)$) for the subset of those of cardinality n .

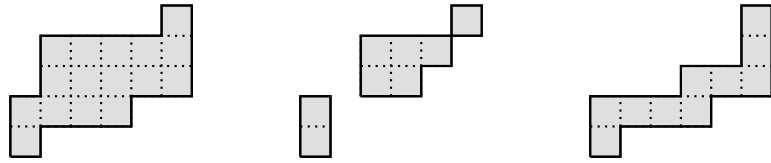


FIGURE 7. Connected skew shape; disconnected skew shape; ribbon

REMARK 2.2. Ribbons are also variously called *skew hooks*, *rim hooks* or *edge hooks* in the literature. Every skew shape can be realized as a set difference $\lambda/\mu := \lambda \setminus \mu$ of Young diagrams, and, in such context, are often called *skew Young diagrams*. We discuss this connection further in §4.3.

2.5. BASIC LEMMAS ON SKEW SHAPES. We record some fundamental lemmas on skew shapes in this section. The proofs are routine, so we omit them here. Full details can be found in the arXiv version of the paper as explained in §1.4.

LEMMA 2.3. *Let τ be a skew shape. Then τ is cornered if and only if τ is connected.*

LEMMA 2.4. *Let τ be a finite subset of \mathcal{N} . Then τ is a connected skew shape if and only if τ is cornered and diagonal-convex.*

LEMMA 2.5. *Let τ be a finite subset of \mathcal{N} . Then τ is a connected skew shape if and only if every $u, v \in \tau$ satisfies:*

- (i) *If $u \searrow v$, then every S/E path from u to v is contained in τ ;*
- (ii) *If $u \nearrow v$, then there exists a N/E path from u to v contained in τ .*

2.5.1. *Connected components.* Any nonempty finite set $\tau \subseteq \mathcal{N}$ may be decomposed into *connected components*, i.e., nonempty connected sets τ_1, \dots, τ_k for some $k \in \mathbb{N}$, such that there is no path from u to v contained in τ whenever $u \in \tau_i, v \in \tau_j$ for $i \neq j$.

LEMMA 2.6. *Let $\tau \in \mathcal{S}$, and $u, v \in \tau$ be such that $u \searrow v$. Then the nodes $\{w \in \mathcal{N} \mid u \searrow w \searrow v\}$ belong to the same connected component of τ .*

LEMMA 2.7. *Connected components of skew shapes are connected skew shapes.*

LEMMA 2.8. *Let τ be a nonempty finite subset of \mathcal{N} . Then $\tau \in \mathcal{S}$ if and only if there exists some $k \in \mathbb{N}$ and $\tau_k \nearrow \dots \nearrow \tau_1 \in \mathcal{S}_c$ such that $\tau = \tau_1 \sqcup \dots \sqcup \tau_k$.*

LEMMA 2.9. *Let τ be a nonempty skew shape. Then there exists a unique maximally southwest node $u \in \tau$, a unique maximally northeast node $v \in \tau$, and $u \nearrow w \nearrow v$ for all $w \in \tau$.*

In view of Lemma 2.9, for a nonempty skew shape τ , we may give the unique maximally southwest (resp. northeast) element the label τ_{SW} (resp. τ_{NE}).

2.5.2. *Basic lemmas on ribbons.*

LEMMA 2.10. *Let $(z^i)_{i=1}^k$ be a N/E path. Then $\text{diag}(z^i) = \text{diag}(z^1) + i - 1$ for $i \in [1, k]$.*

LEMMA 2.11. *Let ξ be a finite subset of \mathcal{N} . Then ξ is a ribbon if and only if there exists a N/E path $(z^i)_{i=1}^{|\xi|}$ such that $\xi = \{z^i\}_{i=1}^{|\xi|}$.*

LEMMA 2.12. *For a ribbon ξ , we have $|\xi| = \text{dist}(\xi_{\text{SW}}, \xi_{\text{NE}}) + 1$.*

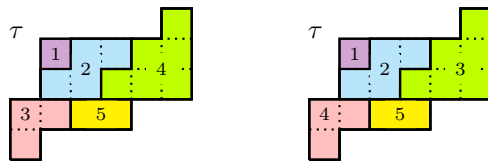


FIGURE 8. A tiling Λ for a skew shape τ , and two Λ -tableaux—label i indicates the tile $\mathbf{t}(i)$

2.6. TILINGS AND TABLEAUX. Let τ be a nonempty skew shape. A *tiling* of τ is a set Λ of pairwise disjoint nonempty skew shapes such that $\tau = \bigsqcup_{\lambda \in \Lambda} \lambda$. We call the members of Λ *tiles*. A Λ -*tableau* \mathbf{t} is a bijection $\mathbf{t} : [1, |\Lambda|] \rightarrow \Lambda$ such that $u \in \mathbf{t}(i), v \in \mathbf{t}(j)$ and $u \searrow v$ imply $i \leq j$. We also refer to the pair (Λ, \mathbf{t}) , or the tile sequence $(\mathbf{t}(1), \dots, \mathbf{t}(|\Lambda|))$, as a *tableau for τ* . Roughly speaking, the ordering condition means the tiles $\mathbf{t}(1), \dots, \mathbf{t}(|\Lambda|)$ can be sequentially ‘slid into place’ from the southeast without their constituent boxes colliding. For instance, in Figure 8, a tiling Λ for a skew shape τ is shown, along with the (only) two possible Λ -tableaux.

REMARK 2.13. If (Λ, \mathbf{t}) is a tableau for τ with $|\lambda| = 1$ for all $\lambda \in \Lambda$, then (Λ, \mathbf{t}) is a Young tableau in the traditional sense. Young tableaux are important objects in combinatorics, representation theory and algebraic geometry, and the definition above can be viewed as a generalization of Young tableaux to larger tiles.

LEMMA 2.14. *Let (Λ, \mathbf{t}) be a tableau for a skew shape τ . For $1 \leq h \leq \ell \leq |\Lambda|$, define $\tau_{h,\ell} = \bigsqcup_{i=h}^{\ell} \mathbf{t}(i)$. Then $\tau_{h,\ell}$ is a skew shape.*

Proof. Let $u, w \in \tau_{h,\ell}, v \in \tau$, with $u \searrow v \searrow w$. Then $u \in \mathbf{t}(i), w \in \mathbf{t}(k)$ for some $i, k \in [h, \ell]$. As τ is a skew shape, we have $v \in \tau$. Then $v \in \mathbf{t}(j)$ for some $j \in [1, |\Lambda|]$. Since $u \searrow v$ we have $h \leq i \leq j$, and since $v \searrow w$ we have $j \leq k \leq \ell$. But then $j \in [h, \ell]$, so $v \in \tau_{h,\ell}$. Thus $\tau_{h,\ell}$ is a skew shape. \square

2.7. REMOVABLE RIBBONS. We say that a skew shape $\mu \subseteq \tau$ is *SE-removable in τ* if $\mu = \tau$ or $(\tau \setminus \mu, \mu)$ is a tableau for τ . We likewise say that μ is *NW-removable in τ* if $\mu = \tau$ or $(\mu, \tau \setminus \mu)$ is a tableau for τ . Roughly speaking, this means that μ is SE-removable if it can be ‘slid away’ to the southeast without colliding with $\tau \setminus \mu$. The next lemma follows directly from definitions.

LEMMA 2.15. *Let $\mu \subseteq \tau$ be skew shapes. Then μ is SE-removable in τ if and only if there does not exist $u \in \mu, v \in \tau \setminus \mu$ such that $u \searrow v$.*

Let τ be a skew shape, and define Rem_τ to be the set of all pairs $(u, v) \in \tau$ such that u, v are in the same connected component of τ , $u \nearrow v$, and $\text{Su}, \text{Ev} \notin \tau$. Then for $(u, v) \in \text{Rem}_\tau$, define

$$\xi_{u,v}^\tau := \{w \in \tau \mid u \nearrow w \nearrow v, \text{SE}w \notin \tau\} \subseteq \tau.$$

An example of the set $\xi_{u,v}^\tau$ for $(u, v) \in \text{Rem}_\tau$ is shown in Figure 9. The following lemma is a routine check—full details can be found in the arXiv version of the paper (see §1.4).

LEMMA 2.16. *Let τ be a skew shape. Then $\{\xi_{u,v}^\tau \mid (u, v) \in \text{Rem}_\tau\}$ is a complete set of SE-removable ribbons in τ .*

LEMMA 2.17. *Let $\tau' \subsetneq \tau$ be skew shapes, let u, v, z, w be nodes in the same connected component of τ , and assume $(u, v) \in \text{Rem}_\tau, \tau' = \tau \setminus \xi_{u,v}^\tau$, and $(z, w) \in \text{Rem}_{\tau'}$. Then we have the following implications:*

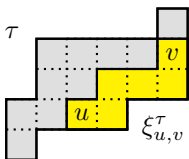


FIGURE 9. SE-removable ribbon $\xi_{u,v}^\tau$ in skew shape τ

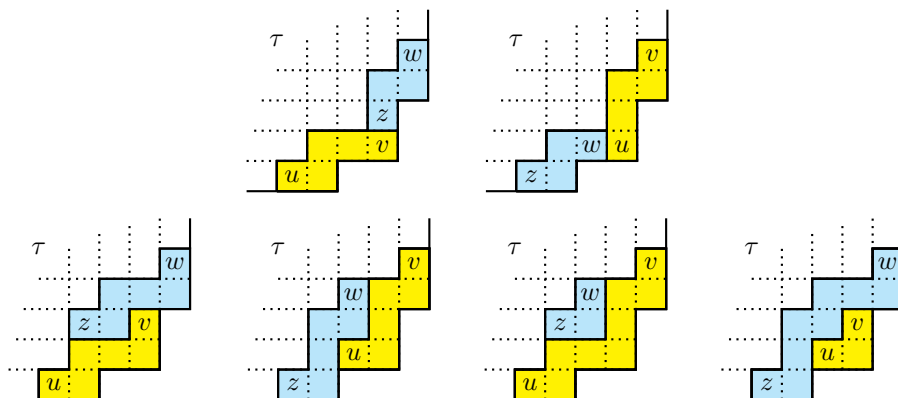


FIGURE 10. Cases (i)–(vi) in Lemma 2.17

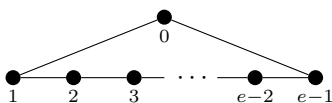


FIGURE 11. Dynkin diagram of type $A_{e-1}^{(1)}$

- (i) $u \nearrow v \nearrow z \nearrow w \implies (u, w) \in \text{Rem}_\tau;$
- (ii) $z \nearrow w \nearrow u \nearrow v \implies (z, v) \in \text{Rem}_\tau;$
- (iii) $u \nearrow z \nearrow v \nearrow w \implies (\text{SE}z, w) \in \text{Rem}_\tau;$
- (iv) $z \nearrow u \nearrow w \nearrow v \implies (z, \text{SE}w) \in \text{Rem}_\tau;$
- (v) $u \nearrow z \nearrow w \nearrow v \implies (\text{SE}z, \text{SE}w) \in \text{Rem}_\tau;$
- (vi) $z \nearrow u \nearrow v \nearrow w \implies (z, w) \in \text{Rem}_\tau;$

Proof. This is another routine check, with full details included in the **arXiv** version of the paper (see §1.4). For ease of visualizing these relationships, examples of cases (i)–(vi) are shown in Figure 10. □

3. POSITIVE ROOTS AND CONVEX PREORDERS

We fix now and throughout the paper some choice of $e \in \mathbb{Z}_{>1}$. Associated to e is an affine root system of type $A_{e-1}^{(1)}$, corresponding to the affine Dynkin diagram shown in Figure 11 (see [12, §4, Table Aff 1]). This root system plays a crucial role in the representation theory of the Kac-Moody Lie algebra $\widehat{\mathfrak{sl}}_e$ and its associated quantum group, as well as modular representation theory of the symmetric group. We describe the root system directly in the sequel.

We write $\mathbb{Z}_e = \mathbb{Z}/e\mathbb{Z}$, and will indicate elements of \mathbb{Z}_e with barred integers, i.e. $\bar{t} = t + e\mathbb{Z}$ for $t \in \mathbb{Z}$, freely omitting bars for $t \in [0, e-1]$ when the context is clear. Let $\mathbb{Z}I$ be the free \mathbb{Z} -module of rank e , with basis $I = \{\alpha_i \mid i \in \mathbb{Z}_e\}$, and set $Q_+ = \mathbb{Z}_{\geq 0}I$. For $\beta = \sum_{i \in \mathbb{Z}_e} c_i \alpha_i \in Q_+$, we write $\text{ht}(\beta) := \sum_{i \in \mathbb{Z}_e} c_i \in \mathbb{Z}_{\geq 0}$ for the *height* of β . For any $t \in \mathbb{Z}_e, h \in \mathbb{N}$, we define $\alpha(t, h) \in Q_+$ via

$$\alpha(t, h) := \alpha_t + \alpha_{t+\bar{1}} + \cdots + \alpha_{t+h\bar{1}}.$$

We have then that $\text{ht}(\alpha(t, h)) = h$. Of particular importance is the *null root* of height e :

$$\delta := \alpha_0 + \cdots + \alpha_{e-1} = \alpha(t, e) \quad (t \in \mathbb{Z}_e).$$

It follows from definitions that

$$(1) \quad \alpha(t, h_1 + h_2) = \alpha(t, h_1) + \alpha(t + \bar{h}_1, h_2)$$

for all $t \in \mathbb{Z}_e, h_1, h_2 \in \mathbb{N}$. The element $\alpha(t, h)$ corresponds to the sum of simple roots in a counterclockwise path of length h in the Dynkin diagram in Figure 11 which begins at the vertex labeled t .

DEFINITION 3.1.

(i) We say $\beta \in Q_+$ is a positive root if $\beta = \alpha(t, h)$ for some $t \in \mathbb{Z}, h \in \mathbb{N}$. We write Φ_+ for the set of all positive roots, so we have

$$(2) \quad \Phi_+ = \{\alpha(t, h) \mid t \in \mathbb{Z}_e, h \in \mathbb{N}\} \subset \mathbb{Z}I.$$

(ii) We say $\beta \in \Phi_+$ is real if $\overline{\text{ht}(\beta)} \neq \bar{0}$. Writing Φ_+^{re} for the set of all real positive roots, we have

$$(3) \quad \Phi_+^{\text{re}} = \{\alpha(t, h) \mid t \in \mathbb{Z}_e, h \in \mathbb{N}, \bar{h} \neq \bar{0}\} \subset \Phi_+.$$

(iii) We say $\beta \in \Phi_+$ is imaginary if $\overline{\text{ht}(\beta)} = \bar{0}$. Writing Φ_+^{im} for the set of imaginary positive roots, we have

$$(4) \quad \Phi_+^{\text{im}} = \{\alpha(t, h) \mid t \in \mathbb{Z}_e, h \in \mathbb{N}, \bar{h} = \bar{0}\} = \{m\delta \mid m \in \mathbb{N}\} \subset \Phi_+.$$

(iv) We say a positive root β is divisible if there exists $\beta' \in \Phi_+, m \in \mathbb{Z}_{>1}$ such that $\beta = m\beta'$, and indivisible if not. Writing Ψ for the set of indivisible roots, we have

$$(5) \quad \Psi = \Phi_+^{\text{re}} \sqcup \{\delta\}.$$

(v) We define Φ'_+ to be the set of all positive integer multiples of positive roots, so we have

$$(6) \quad \Phi'_+ = \{m\beta \mid m \in \mathbb{N}, \beta \in \Phi_+\} = \{m\beta \mid m \in \mathbb{N}, \beta \in \Psi\} \subset Q_+.$$

3.1. CONVEX PREORDERS. A *convex preorder* on Φ_+ is a binary relation \succeq on Φ_+ which, for all $\beta, \gamma, \nu \in \Phi_+$ satisfies the following:

- (i) $\beta \succeq \beta$ (reflexivity);
- (ii) $\beta \succeq \gamma$ and $\gamma \succeq \nu$ imply $\beta \succeq \nu$ (transitivity);
- (iii) $\beta \succeq \gamma$ or $\gamma \succeq \beta$ (totality);
- (iv) $\beta \succeq \gamma$ and $\beta + \gamma \in \Phi_+$ imply $\beta \succeq \beta + \gamma \succeq \gamma$ (convexity);
- (v) $\beta \succeq \gamma$ and $\gamma \succeq \beta$ if and only if $\beta = \gamma$ or $\beta, \gamma \in \Phi_+^{\text{im}}$ (imaginary equivalency).

We write $\beta \succ \gamma$ if $\beta \succeq \gamma$ and $\gamma \not\succeq \beta$. Then (iii) and (v) together imply that \succ restricts to a total order on Ψ . We also write $\beta \approx \gamma$ if $\beta \succeq \gamma$ and $\gamma \succeq \beta$, so (v) and (4) imply that $\beta \approx \gamma, \beta \neq \gamma$ if and only if $\beta = m\delta, \gamma = m'\delta$, for some $m \neq m' \in \mathbb{N}$.

3.2. EXAMPLES OF CONVEX PREORDERS. Convex preorders are known to exist for any choice of e , and a classification and general method of construction of convex preorders is given in [9]. The below proposition, lightly paraphrased from [3, Example 2.14(ii)] and [22, Example 3.5], provides a low-tech way to generate many distinct convex preorders on Φ_+ .

PROPOSITION 3.2. *Let (V, \geq) be a totally ordered \mathbb{Q} -vector space. Let $h : \mathbb{Z}I \rightarrow V$ be a \mathbb{Z} -linear map such that $\beta \mapsto \frac{h(\beta)}{\text{ht}(\beta)}$ is injective on $\Psi \subseteq \mathbb{Z}I$. Then the relation*

$$\beta \succeq \gamma \iff \frac{h(\beta)}{\text{ht}(\beta)} \geq \frac{h(\gamma)}{\text{ht}(\gamma)}$$

defines a convex preorder on Φ_+ .

One straightforward method (exemplified in [22, Example 3.6]) for generating such maps h as in Proposition 3.2 is to take $V = \mathbb{R}^n$ under the lexicographic order \geq for some n , and \mathbb{Z} -linearly extend some set map $h : I \rightarrow V$ such that $h(\delta) = 0$ and h is generic in the sense that $h(\gamma) \notin \mathbb{Q}_{>0}h(\beta)$ for distinct $\beta, \gamma \in \Phi_+$ with $\text{ht}(\beta), \text{ht}(\gamma) < e$. An example of this approach in the case $e = 3$ appears in Example 1.1. A simpler example is shown next.

EXAMPLE 3.3. Take $e = 2$. Let $V = \mathbb{R}$ under the standard ordering. Define $h : \mathbb{Z}I \rightarrow V$ by setting $h(\alpha_1) = 1, h(\alpha_0) = -1$, and extending by \mathbb{Z} -linearity. Then Proposition 3.2 yields the following preorder on Φ_+ :

$$\alpha_1 \succ \delta + \alpha_1 \succ 2\delta + \alpha_1 \succ \dots \succ \mathbb{Z}_{>0}\delta \succ \dots \succ 2\delta + \alpha_0 \succ \delta + \alpha_0 \succ \alpha_0.$$

This is one of two convex preorders on Φ_+ ; the other is the reverse preorder (see §6.4).

3.3. IMPLICATIONS OF CONVEXITY. The next lemma follows immediately from definitions:

LEMMA 3.4. *Let $\beta, \beta' \in \Phi_+$. Then we have:*

- (i) *If $\beta \approx \beta'$ and $\text{ht}(\beta) > \text{ht}(\beta')$, then $\beta, \beta' \in \Phi_+^{\text{im}}$;*
- (ii) *If $\beta \in \Psi$ and $\text{ht}(\beta) > \text{ht}(\beta')$, then $\beta \not\approx \beta'$;*
- (iii) *If $\beta, \beta' \in \Psi$, then $\beta \approx \beta'$ if and only if $\beta = \beta'$.*

We have the following useful generalization of the convexity property:

LEMMA 3.5. [3, 16] *Let $\gamma, \beta_1, \dots, \beta_k \in \Phi_+, m \in \mathbb{N}$ be such that $\beta_1 + \dots + \beta_k = m\gamma$. Then we have the following:*

- (i) *If $\gamma \in \Phi_+^{\text{re}}$, and $\beta_i \succeq \gamma$ for all $i \in [1, k]$ or $\gamma \succeq \beta_i$ for all $i \in [1, k]$, then $\gamma = \beta_i$ for all $i \in [1, k]$.*
- (ii) *If $\gamma \in \Phi_+^{\text{im}}$, and $\beta_i \succeq \gamma$ for all $i \in [1, k]$ or $\gamma \succeq \beta_i$ for all $i \in [1, k]$, then $\beta_i \in \Phi_+^{\text{im}}$ for all $i \in [1, k]$.*
- (iii) *If $\beta_1 \succeq \dots \succeq \beta_k$, then $\beta_1 \succeq \gamma \succeq \beta_k$.*
- (iv) *If $\beta_1 \succeq \dots \succeq \beta_k$ and $\beta_1 \succ \beta_k$, then $\beta_1 \succ \gamma \succ \beta_k$.*

Proof. Claims (i) and (ii) appear as the properties [16, (Con1), (Con3)], which follow from [16, Lemma 3.1], which utilizes [3, Lemma 2.9]. Claims (iii) and (iv) are contrapositive reformulations implied by (i) and (ii). □

LEMMA 3.6. *The function $\mathbb{N} \times \Psi \rightarrow \Phi'_+, (n, \beta) \mapsto n\beta$ is a bijection.*

Proof. Surjectivity is clear by (6). For injectivity, assume $n\beta = n'\beta'$ for some $n, n' \in \mathbb{N}, \beta, \beta' \in \Psi$. Then by Lemma 3.5 we have $\beta \approx \beta'$. As $\beta, \beta' \in \Psi$, this implies that $\beta = \beta'$ and thus $n = n'$. □

By Lemma 3.6 we have well-defined functions $m : \Phi'_+ \rightarrow \mathbb{N}$ and $\psi : \Phi'_+ \rightarrow \Psi$ such that $\gamma = m(\gamma)\psi(\gamma)$ for all $\gamma \in \Phi'_+$.

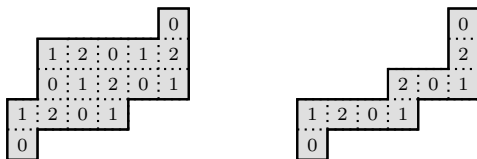


FIGURE 12. Residues for $e = 3$. Skew shape of content $6\alpha_0 + 6\alpha_1 + 4\alpha_2$; ribbon of content $4\alpha_0 + 3\alpha_1 + 3\alpha_2 = \alpha(\bar{0}, 10)$

4. CONTENT AND CUSPIDAL SKEW SHAPES

4.1. CONTENT. We define the *residue* of a node $u \in \mathcal{N}$ to be $\text{res}(u) = \overline{u_2 - u_1} = \overline{\text{diag}(u)}$. The map $\text{res} : \mathcal{N} \rightarrow \mathbb{Z}_e$ is a \mathbb{Z} -module homomorphism, so we have

$$\text{res}(nu) = n \text{res}(u) \quad \text{and} \quad \text{res}(u + v) = \text{res}(u) + \text{res}(v),$$

for all $n \in \mathbb{Z}$, $u, v \in \mathcal{N}$. For $t \in \mathbb{Z}_e$, we write

$$\mathcal{N}_t := \{u \in \mathcal{N} \mid \text{res}(u) = t\}.$$

The *content* of a skew shape τ is defined as

$$\text{cont}(\tau) = \sum_{u \in \tau} \alpha_{\text{res}(u)} \in \mathcal{Q}_+.$$

For $\theta \in \mathcal{Q}_+$, we write $\mathcal{S}(\theta)$ (resp. $\mathcal{S}_c(\theta)$) for the set of skew shapes (resp. nonempty connected skew shapes) of content θ . It is clear from definitions then that if Λ is any tiling of τ , we have $\text{cont}(\tau) = \sum_{\lambda \in \Lambda} \text{cont}(\lambda)$. In our visual representation, we label each node with its associated residue. See Figure 12 for examples.

4.2. SIMILARITY. If $\tau, \nu \in \mathcal{S}_c$ are such that $T_d\tau = \nu$ for some $d \in \mathcal{N}$, then we will write $\tau \sim \nu$, and say that τ, ν are *similar*.

We now consider a refinement of this similarity condition which takes into account the e -modular residues. If $c \in \mathcal{N}_{\bar{0}}$, then we have $\text{res}(T_c u) = \text{res}(u)$ for all $u \in \mathcal{N}$. If ν, ω are skew shapes such that $T_c \nu = \omega$ for some $c \in \mathcal{N}_{\bar{0}}$, then we say ω is a *residue-preserving translation* of ν .

Let $\mu = (\mu_1, \dots, \mu_\ell) \in \mathcal{S}_c^\ell$. We then define the e -similarity class $[\mu]_e = [\mu_1, \dots, \mu_\ell]_e \subset \mathcal{S}$ as follows. Let τ be a nonempty skew shape, with connected component decomposition $\tau = \tau_1 \sqcup \dots \sqcup \tau_k$ with $\tau_k \nearrow \dots \nearrow \tau_1$ as in Lemma 2.8. We say $\tau \in [\mu]_e$ provided that $k = \ell$ and τ_i is a residue-preserving translation of μ_i for all $i \in [1, \ell]$. We write $\nu \sim_e \omega$ and say that ν, ω are e -similar if $\nu, \omega \in [\mu]_e$ for some μ . Roughly speaking, e -similar skew shapes $\nu \sim_e \omega$ consist of the same connected ‘shapes’, in the same top-to-bottom order, with corresponding nodes in each having the same residue; only the absolute position and spacing between connected components in each may differ. Clearly $\nu \sim_e \omega$ implies $\text{cont}(\nu) = \text{cont}(\omega)$. As \mathcal{N} is infinite and residues are cyclic, it is easy to see that $[\mu]_e$ is nonempty for every sequence of nonempty connected skew shapes μ .

For classification purposes, we treat skew shapes in the same e -similarity class as identical (importantly, they have isomorphic associated Specht modules up to grading shift, see §8.4). When we display a skew shape τ with residues, as in Figure 12, we are generally displaying the e -similarity class of the skew shape, disregarding the absolute position of τ in \mathcal{N} .

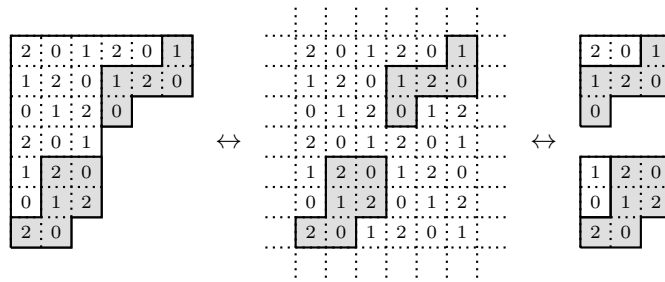


FIGURE 13. Skew Young diagram λ^τ/μ^τ with charge 2; Skew shape τ ; Level two skew Young diagram λ/μ with multicharge $(2, 1)$; all are associated as in §4.3

4.3. OTHER COMBINATORIAL FORMULATIONS. The combinatorial setup for skew shapes, residues, and contents outlined in this paper is convenient for present purposes, but bears slight differences with other approaches to the subject. Our formulation fixes a residue function, and allows the position of diagrams to vary, while in some other formulations, the position of diagrams is fixed and the residue function varies. We explain how we translate between formulations for the benefit of readers familiar with the subject.

It is common to define Young diagrams λ as subsets of $\mathbb{N} \times \mathbb{N}$, where $\lambda_{NW} = (1, 1)$; see Remark 2.2. In this setting, a charge $c \in \mathbb{Z}_e$ is chosen, residues of nodes in λ are given by $\text{res}(u) = \overline{u_2 - u_1} + c$, and a skew shape (better called a skew Young diagram here) is defined via set difference $\lambda/\mu := \lambda \setminus \mu$ for some Young diagrams λ, μ . One may transport the skew Young diagram λ/μ defined thusly into a skew shape in this paper’s combinatorial setting by placing the nodes of $\lambda \setminus \mu$ in \mathcal{N} and suitably translating this shape as needed in order to match residues (e.g., shifting c units to the east to account for the charge c). All such choices of placement are equivalent up to e -similarity.

More generally, we may consider a level ℓ skew Young diagram $\lambda/\mu = (\lambda^{(1)}/\mu^{(1)}, \dots, \lambda^{(\ell)}/\mu^{(\ell)})$, with multicharge $\mathbf{c} = (c_1, \dots, c_\ell) \in \mathbb{Z}_e^\ell$, as in [8, 17, 23]. We associate this with a sequence $\tau = (\tau_1, \dots, \tau_\ell)$ of skew shapes in \mathcal{N} as in the previous paragraph, such that $\tau_\ell \not\prec \dots \not\prec \tau_1$, thereby associating λ/μ with $\tau := \tau_1 \sqcup \dots \sqcup \tau_\ell$, see Figure 13. Again, any such choice of τ is equivalent up to e -similarity.

In the other direction, we may associate a skew shape τ in our combinatorial setting to a skew Young diagram and charge in a straightforward manner. There exists some Young diagrams λ^τ, μ^τ such that (μ^τ, τ) is a tableau for λ^τ . For instance, one may take

$$\lambda^\tau = \{u \in \mathcal{N} \mid ((\tau_{NE})_1, (\tau_{SW})_2) \searrow u \searrow v, \text{ for some } v \in \tau\}, \text{ and } \mu^\tau = \lambda^\tau \setminus \tau.$$

Then we may associate τ with the skew Young diagram λ^τ/μ^τ with charge $c = \text{res}(\lambda^\tau_{NW})$; see Figure 13.

4.4. RIBBONS AND ROOTS. The following lemma establishes that the content of a ribbon depends only on the positions of the southwest/northeast end nodes, and not on the nodes in between.

LEMMA 4.1. *If ξ is a ribbon, then $\text{cont}(\xi) = \alpha(\text{res}(\xi_{SW}), \text{dist}(\xi_{SW}, \xi_{NE}) + 1)$.*

Proof. There is a N/E path $(z^i)_{i=1}^{|\xi|}$ such that $\xi = \{z^i\}_{i=1}^{|\xi|}$ by Lemma 2.11. We have then that $z^1 = \xi_{SW}$ and $z^{|\xi|} = \xi_{NE}$. Then by Lemma 2.12 we have that $|\xi| =$

$\text{dist}(\xi_{\text{SW}}, \xi_{\text{NE}}) + 1$, and so

$$\text{cont}(\xi) = \sum_{i=1}^{|\xi|} \alpha_{\text{res}(z^i)} = \sum_{i=0}^{\text{dist}(\xi_{\text{SW}}, \xi_{\text{NE}})} \alpha_{\text{res}(\xi_{\text{SW}})+\bar{i}} = \alpha(\text{res}(\xi_{\text{SW}}), \text{dist}(\xi_{\text{SW}}, \xi_{\text{NE}}) + 1),$$

as desired. □

COROLLARY 4.2. *Let $\beta \in Q_+$. Then $\beta \in \Phi_+$ if and only if $\beta = \text{cont}(\xi)$ for some ribbon ξ .*

Proof. We have by Lemma 4.1 that $\text{cont}(\xi) \in \Phi_+$ for all ribbons ξ . Now let $\beta = \alpha(t, h) \in \Phi_+$. Let $z \in \mathcal{N}_t$, and define nodes $z^i = (z_1, z_2 + i - 1)$ for $i \in [1, h]$. Then $(z^i)_{i=1}^h$ is a N/E path, and so $\xi = \{z^i\}_{i=1}^h$ is a ribbon by Lemma 2.11. By construction, $\xi_{\text{SW}} = z$, $\xi_{\text{NE}} = (z_1, z_2 + h - 1)$, so $\text{dist}(\xi_{\text{SW}}, \xi_{\text{NE}}) = h - 1$. Then by Lemma 4.1 we have $\text{cont}(\xi) = \alpha(\text{res}(z), h) = \alpha(t, h) = \beta$, as desired. □

5. CUSPIDALITY

Recall that we have fixed a convex preorder \succeq on Φ_+ .

DEFINITION 5.1. *Let $\tau \in \mathcal{S}(\beta)$ for some $\beta \in \Phi_+$. We say that τ is cuspidal provided that, for every tableau (λ_1, λ_2) of τ , the following conditions hold:*

- (i) *There exist $\gamma_1, \dots, \gamma_k \in \Phi_+$ such that $\text{cont}(\lambda_1) = \gamma_1 + \dots + \gamma_k$ and $\beta \succ \gamma_i$ for all $i \in [1, k]$, and;*
- (ii) *There exist $\nu_1, \dots, \nu_\ell \in \Phi_+$ such that $\text{cont}(\lambda_2) = \nu_1 + \dots + \nu_\ell$ and $\nu_i \succ \beta$ for all $i \in [1, \ell]$.*

DEFINITION 5.2. *Let $\tau \in \mathcal{S}(m\beta)$ for some $m \in \mathbb{N}$, $\beta \in \Phi_+$. We say that τ is semicuspidal provided that, for every tableau (λ_1, λ_2) of τ , the following conditions hold:*

- (i) *There exist $\gamma_1, \dots, \gamma_k \in \Phi_+$ such that $\text{cont}(\lambda_1) = \gamma_1 + \dots + \gamma_k$ and $\beta \succeq \gamma_i$ for all $i \in [1, k]$, and;*
- (ii) *There exist $\nu_1, \dots, \nu_\ell \in \Phi_+$ such that $\text{cont}(\lambda_2) = \nu_1 + \dots + \nu_\ell$ and $\nu_i \succeq \beta$ for all $i \in [1, \ell]$.*

It follows from definitions that cuspidality is invariant under e -similarity:

LEMMA 5.3. *Let τ, ν be skew shapes, with $\tau \sim_e \nu$. Then τ is cuspidal (resp. semicuspidal) if and only if ν is cuspidal (resp. semicuspidal).*

LEMMA 5.4. *If τ is a cuspidal (resp. semicuspidal) skew shape, then for every SE-removable ribbon $\xi \subsetneq \tau$ we have $\text{cont}(\xi) \succ \text{cont}(\tau)$ (resp. $\text{cont}(\xi) \succeq \text{cont}(\tau)$).*

Proof. Let τ be cuspidal. If ξ is an SE-removable ribbon in τ , then $(\tau \setminus \xi, \xi)$ is a skew decomposition for τ . Then by the cuspidality property, we have $\text{cont}(\xi) = \gamma_1 + \dots + \gamma_k$, for some positive roots $\gamma_1, \dots, \gamma_k \succ \text{cont}(\tau)$. Then it follows by Corollary 4.2 and Lemma 3.5(iii) that $\text{cont}(\xi) \succ \text{cont}(\tau)$. The proof in the semicuspidal case is similar. □

LEMMA 5.5. *Let ξ be a ribbon. Then ξ is cuspidal if and only if for every SE-removable ribbon $\nu \subsetneq \xi$, we have $\text{cont}(\nu) \succ \text{cont}(\xi)$.*

Proof. The ‘only if’ direction is provided by Lemma 5.4, so we focus on the ‘if’ direction. Assume that ξ is a ribbon with the property that $\text{cont}(\nu) \succ \text{cont}(\xi)$ for every SE-removable ribbon ν in ξ . We have that $\text{cont}(\xi) \in \Phi_+$ by Corollary 4.2. We will show that ξ is cuspidal.

Let (ε, μ) be any tableau of ξ . Let μ_1, \dots, μ_t be the connected components of μ . Since each μ_i is connected and a subset of a ribbon, and (ε, μ) is a tableau, we have that

each μ_i is an SE-removable ribbon in ξ . Thus, by assumption, $\text{cont}(\mu_i) \succ \text{cont}(\xi)$ for all i . But then $\text{cont}(\mu) = \sum_{i=1}^t \text{cont}(\mu_i)$, and so ξ satisfies condition (ii) in Definition 5.1.

Let $\varepsilon_1, \dots, \varepsilon_u$ be the connected components of ε . Again, each ε_i is a ribbon. Assume by way of contradiction there is some i such that $\text{cont}(\varepsilon_i) \succeq \text{cont}(\xi)$. Since (ε, μ) is a tableau, we have that $(\varepsilon_i, \xi \setminus \varepsilon_i)$ is a tableau. By the previous paragraph, we have that $\text{cont}(\xi \setminus \varepsilon_i)$ can be written as a sum of positive roots $\gamma_1 + \dots + \gamma_s$, where $\gamma_j \succ \text{cont}(\xi)$ for all $j \in [1, s]$. If $\text{cont}(\varepsilon_i) \approx \text{cont}(\xi)$, then we have that $\text{cont}(\varepsilon_i), \text{cont}(\xi) \in \Phi_+^{\text{im}}$ by Lemma 3.4. But this implies that $\text{cont}(\xi \setminus \varepsilon_i) = \text{cont}(\xi) - \text{cont}(\varepsilon_i) \in \Phi_+^{\text{im}}$, and so $\text{cont}(\xi \setminus \varepsilon_i) \approx \text{cont}(\xi)$. But since $\text{cont}(\xi \setminus \varepsilon_i) \in \Phi_+$, we have by Lemma 3.5(iii) that $\text{cont}(\xi \setminus \varepsilon_i) = \gamma_1 + \dots + \gamma_s \succ \text{cont}(\xi)$, a contradiction. Therefore $\text{cont}(\varepsilon_i) \succ \text{cont}(\xi)$. But then we have by Lemma 3.5(iii) that

$$\text{cont}(\xi) = \text{cont}(\xi \setminus \varepsilon_i) + \text{cont}(\varepsilon_i) = \gamma_1 + \dots + \gamma_s + \text{cont}(\varepsilon_i) \succ \text{cont}(\xi),$$

another contradiction. Therefore $\text{cont}(\varepsilon_i) \prec \text{cont}(\xi)$ for all $i \in [1, u]$. Since $\text{cont}(\varepsilon) = \sum_{i=1}^u \text{cont}(\varepsilon_i)$, we have that ξ satisfies condition (i) in Definition 5.1. Thus ξ is cuspidal. \square

5.1. CONSTRUCTING CUSPIDAL RIBBONS. For $\beta \in \Psi$, we write $\text{init}(\beta) \subseteq \mathcal{N}$ for the set of nodes $b \in \mathcal{N}$ such that $\beta = \alpha(\text{res}(b), \text{ht}(\beta))$. Note then that $\text{init}(\delta) = \mathcal{N}$, and if $\beta \in \Phi_+^{\text{re}}$ then $\text{init}(\beta) = \mathcal{N}_t$ for some $t \in \mathbb{Z}_e$.

DEFINITION 5.6. Let $\beta \in \Psi$ and $b \in \text{init}(\beta)$. Define a N/E path $(z^i)_{i=1}^{\text{ht}(\beta)}$ by setting $z^1 = b$, and

$$z^i = \begin{cases} \mathbf{N}z^{i-1} & \text{if } \alpha(\text{res}(b), i-1) \succ \beta; \\ \mathbf{E}z^{i-1} & \text{if } \beta \succ \alpha(\text{res}(b), i-1), \end{cases}$$

for $i = 2, \dots, \text{ht}(\beta)$. Then define $\zeta^{(\beta, b)} = \{z^i\}_{i=1}^{\text{ht}(\beta)}$.

LEMMA 5.7. The set of nodes $\zeta^{(\beta, b)}$ is a cuspidal ribbon of content β , with $\zeta_{\text{SW}}^{(\beta, b)} = b$.

Proof. First, note that the path $(z^i)_{i=1}^{\text{ht}(\beta)}$ is well-defined, which follows from Lemma 3.4(ii). We have that $\zeta^{(\beta, b)}$ is a ribbon by Lemma 2.11, and $\text{cont}(\zeta^{(\beta, b)}) = \beta$ by Lemma 4.1.

It remains to show that $\zeta = \zeta^{(\beta, b)}$ is cuspidal. Let $\nu \subsetneq \zeta$ be an SE-removable ribbon in ζ . By Lemma 5.5, it will be enough to show that $\text{cont}(\nu) \succ \beta$. By Lemma 2.16, we have $\nu = \xi_{z^i, z^j}^\zeta$ for some $1 \leq i \leq j \leq h$, where $\mathbf{S}z^i, \mathbf{E}z^j \notin \zeta$.

First assume $i = 1, j < h$. Since $\mathbf{E}z^j \notin \zeta$, it follows from the construction of ζ that $z^{j+1} = \mathbf{N}z^j$, and thus $\alpha(\text{res}(b), j) \succ \beta$. But then by Lemma 4.1 we have

$$\text{cont}(\nu) = \text{cont}(\xi_{z^1, z^j}^\zeta) = \alpha(\text{res}(b), j) \succ \beta,$$

as desired.

Now assume $1 < i$. Since $\mathbf{S}z^i \notin \zeta$, we have $z^i = \mathbf{E}z^{i-1}$ and thus $\beta \succ \alpha(\text{res}(b), i-1)$. If $j < h$, then since $\mathbf{E}z^j \notin \zeta$, it follows from the construction of ζ that $z^{j+1} = \mathbf{N}z^j$, and thus $\alpha(\text{res}(b), j) \succ \beta$. On the other hand, if $j = h$, then $\alpha(\text{res}(b), j) = \beta$, so in any case we have $\alpha(\text{res}(b), j) \succeq \beta$. Therefore, applying Lemma 4.1, we have

$$\begin{aligned} \alpha(\text{res}(b), j) &= \text{cont}(\xi_{z^1, z^j}^\zeta) = \text{cont}(\xi_{z^1, z^{i-1}}^\zeta \sqcup \xi_{z^i, z^j}^\zeta) \\ &= \text{cont}(\xi_{z^1, z^{i-1}}^\zeta) + \text{cont}(\xi_{z^i, z^j}^\zeta) = \alpha(\text{res}(b), i-1) + \text{cont}(\nu). \end{aligned}$$

If $\beta \succeq \text{cont}(\nu)$, we would have by Lemma 3.5(iii) that $\beta \succ \alpha(\text{res}(b), j)$, a contradiction. Thus $\text{cont}(\nu) \succ \beta$, as desired. \square

LEMMA 5.8. *Let ν be a ribbon of content $\beta \in \Psi$. Then ν is cuspidal if and only if $\nu = \zeta^{(\beta, \nu_{SW})}$.*

Proof. The ‘if’ direction is proved in Lemma 5.7. Now we prove the ‘only if’ direction. Let $b = \nu_{SW}$, and $h = \text{ht}(\beta)$. By Lemma 4.1 we must have $\beta = \alpha(\text{res}(b), h)$. Consider the skew shape $\zeta = \zeta^{(\beta, b)}$, and let $(z^i)_{i=1}^h$ be the N/E path constructed in Definition 5.6 so that $\zeta = \{z^i\}_{i=1}^h$. By Lemma 2.11, there is a N/E path $(w^i)_{i=1}^h$, with $w^1 = b$ and $\nu = \{w^i\}_{i=1}^h$. Assume by way of contradiction that $\nu \neq \zeta$. Then there exists $2 \leq t \leq h$ such that $w^i = z^i$ for $i < t$, and $w^t \neq z^t$. Note that by Lemma 3.4, we have $\beta \not\asymp \alpha(\text{res}(b), t - 1)$.

First assume $\beta \succ \alpha(\text{res}(b), t - 1)$. Then $z^t = \text{E}z^{t-1}$. We have $w^t \in \{\text{N}w^{t-1}, \text{E}w^{t-1}\} = \{\text{N}z^{t-1}, \text{E}z^{t-1}\}$, but $w^t \neq z^t$, so $w^t = \text{N}z^{t-1}$. Then $\text{E}w^{t-1} \notin \nu$, and $\text{E}w^h \notin \nu$, so $\xi_{w^1, w^{t-1}}^\nu$ is SE-removable in ν by Lemma 2.16. By cuspidality of ν and Lemma 5.5 we have that

$$\alpha(\text{res}(\nu_1), t - 1) \succ \text{cont}(\xi_{\nu_t, \nu_h}^\nu) \succ \beta,$$

a contradiction.

Now assume $\alpha(\text{res}(b), t - 1) \succ \beta$. Then $z^t = \text{N}z^{t-1}$, and we have $w^t \in \{\text{N}w^{t-1}, \text{E}w^{t-1}\} = \{\text{N}z^{t-1}, \text{E}z^{t-1}\}$, but $w^t \neq z^t$, so $w^t = \text{E}z^{t-1}$. Then $\text{S}w^t \notin \nu$, and $\text{E}w^h \notin \nu$, so ξ_{w^t, w^h}^ν is SE-removable in ν by Lemma 2.16. By cuspidality of ν and Lemma 5.5 we have that

$$\alpha(\text{res}(w^t), h - t + 1) = \text{cont}(\xi_{w^t, w^h}^\nu) \succ \beta.$$

But then by convexity and (1) we have

$$\beta = \alpha(\text{res}(b), h) = \alpha(\text{res}(b), t - 1) + \alpha(\text{res}(w^t), h - t + 1) \succ \beta,$$

a contradiction. Thus, in any case we derive a contradiction, so we must have $\nu = \zeta$ as desired. \square

5.2. DISTINGUISHED CUSPIDAL RIBBONS. For each $\beta \in \Phi_+^{\text{re}}$, we make a distinguished choice of $b^\beta \in \text{init}(\beta)$. For each $t \in \mathbb{Z}_e$, we make a distinguished choice of $b^t \in \mathcal{N}_t$. Then we define the distinguished cuspidal ribbons, for all $\beta \in \Phi_+^{\text{re}}$ and $t \in \mathcal{N}_t$:

$$\zeta^\beta := \zeta^{(\beta, b^\beta)}, \quad \zeta^t := \zeta^{(\delta, b^t)}$$

By construction, the path associated with $\zeta^{(\beta, b)}$ in Definition 5.6 relies only on $\text{res}(b)$ and β , and not on the specific location of b . Thus by Lemmas 5.7 and 5.8 we have the following result:

PROPOSITION 5.9. *For all $\beta \in \Phi_+^{\text{re}}$, $t \in \mathbb{Z}_e$, we have*

$$[\zeta^\beta] = \{\zeta^{(\beta, b)} \mid b \in \text{init}(\beta)\}, \quad \text{and} \quad [\zeta^t] = \{\zeta^{(\delta, b)} \mid b \in \mathcal{N}_t\}.$$

The set $\{\zeta^\beta \mid \beta \in \Phi_+^{\text{re}}\} \cup \{\zeta^t \mid t \in \mathbb{Z}_e\}$ represents a complete and irredundant set of cuspidal ribbons with content in Ψ , up to e -similarity.

We will show in Theorem 6.13 that this in fact describes all cuspidal skew shapes up to e -similarity.

EXAMPLE 5.10. Take the case $e = 2$ and the convex preorder as defined in Example 3.3. In this case we have a highly regular staircase pattern for all real cuspidal ribbons, and a pair of two-node dominoes for the imaginary cuspidal ribbons, see Figure 14.

We refer the reader to Example 1.1 for a preorder in the $e = 3$ case with more irregular cuspidal ribbon shapes.

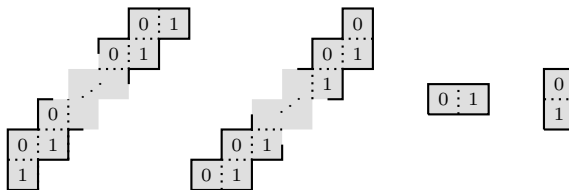


FIGURE 14. Cuspidal ribbons, $e = 2$ case: $\zeta^{n\delta+\alpha_1}; \zeta^{n\delta+\alpha_0}; \zeta^{\bar{0}}; \zeta^{\bar{1}}$

5.3. MINIMAL RIBBONS. Let τ be a skew shape, and ξ be an SE-removable ribbon in τ . We say ξ is a *minimal SE-removable ribbon* (resp. *maximal*) provided that for every SE-removable ribbon ν in τ we have $\text{cont}(\nu) \succeq \text{cont}(\xi)$ (resp. $\text{cont}(\nu) \preceq \text{cont}(\xi)$). We extend these notions as well to NW-removable ribbons in the obvious way.

LEMMA 5.11. *Let ξ be a minimal SE-removable ribbon in a skew shape τ , with $\text{cont}(\xi) \in \Psi$. Then ξ is cuspidal.*

Proof. Take any SE-removable ribbon $\nu \subsetneq \xi$ in ξ . Since ξ is SE-removable in τ , we have that ν is SE-removable in τ . By the minimality of ξ , we have $\text{cont}(\nu) \succeq \text{cont}(\xi)$, and by Lemma 3.4(ii) we have $\text{cont}(\nu) \not\approx \text{cont}(\xi)$, so $\text{cont}(\nu) \succ \text{cont}(\xi)$. Thus ξ is cuspidal by Lemma 5.5. \square

LEMMA 5.12. *If ν is a ribbon of content $m\delta$, then ν has an SE-removable ribbon of content δ .*

Proof. We go by induction on m . The base case $m = 1$ is trivial, so assume $m > 1$ and make the induction assumption on $m' < m$. By Lemma 2.11 there exists a N/E path $(z^i)_{i=1}^{me}$ such that $\nu = \{z^i\}_{i=1}^{me}$. If $z^{e+1} = Nz^e$, it follows by Lemma 2.16 that ξ_{z^1, z^e}^ν is SE-removable in ν , and we have $\text{cont}(\xi_{z^1, z^e}^\nu) = \delta$ by Lemma 4.1. On the other hand, if $z^{e+1} = Ez^e$, it follows by Lemma 2.16 that $\xi_{z^{e+1}, z^{me}}^\nu$ is SE-removable in ν , and we have $\text{cont}(\xi_{z^{e+1}, z^{me}}^\nu) = (m - 1)\delta$ by Lemma 4.1. Then by induction there exists an SE-removable ribbon ω in $\xi_{z^{e+1}, z^{me}}^\nu$ of content δ . As ω is SE-removable in $\xi_{z^{e+1}, z^{me}}^\nu$, it is SE-removable in ν . This completes the induction step, and the proof. \square

LEMMA 5.13. *If τ is a skew shape, then τ has a minimal SE-removable ribbon ξ such that $\text{cont}(\xi) \in \Psi$.*

Proof. Let ν be a minimal SE-removable ribbon in τ . Then $\text{cont}(\nu) \in \Phi_+$ by Lemma 4.1. If $\text{cont}(\nu) \in \Phi_+^{\text{re}}$, we are done by (5), so assume otherwise. Then by (4), we have $\text{cont}(\nu) = m\delta$ for some $m \in \mathbb{N}$. Then by Lemma 5.12, ν has an SE-removable ribbon ξ of content $\delta \in \Psi$. But then ξ is SE-removable in τ , and $\delta \approx m\delta$, so ξ is minimal in τ as well. \square

The next key lemma establishes that consecutively SE-removed minimal ribbons are non-decreasing in the convex preorder.

LEMMA 5.14. *Let τ be a skew shape. Let ξ_1 be a minimal SE-removable ribbon in τ , and let ξ_2 be a minimal SE-removable ribbon in $\tau' = \tau \setminus \xi_1$. Then $\text{cont}(\xi_2) \succeq \text{cont}(\xi_1)$.*

Proof. First, note that if $\xi_1 \sqcup \xi_2$ is disconnected, then ξ_2 is an SE-removable ribbon in τ , so by minimality of ξ_1 , we would have $\text{cont}(\xi_2) \succeq \text{cont}(\xi_1)$, as desired. Thus we may assume $\xi_1 \sqcup \xi_2$ is a connected skew shape, and therefore ξ_1, ξ_2 belong to the same connected component of τ . We have $\xi_1 = \xi_{u,v}^\tau$ for some $(u, v) \in \text{Rem}_\tau$, and $\xi_2 = \xi_{z,w}^{\tau'}$ for some $(z, w) \in \text{Rem}_{\tau'}$, where u, v, z, w belong to the same connected component of τ . We consider the six possible cases of arrangements of the nodes u, v, z, w separately.

Case 1: Assume $u \nearrow v \nearrow z \nearrow w$. First, note that since $\xi_1 \sqcup \xi_2$ is connected, we must have $z \in \{Nv, Ev\}$. But, since $(u, v) \in \text{Rem}_\tau$, we have $Ev \notin \tau$, so $z = Nv$. By Lemma 2.17(i) we have that $(u, w) \in \text{Rem}_\tau$ and $\xi_{u,w}^\tau$ is an SE-removable ribbon in τ by Lemma 2.16.

We have

$$\text{dist}(u, w) = \text{dist}(u, v) + \text{dist}(v, z) + \text{dist}(z, w) = \text{dist}(u, v) + \text{dist}(z, w) + 1$$

and

$$\text{res}(z) = \text{res}(Ev) = \text{res}(v) + \bar{1} = \text{res}(u) + \overline{\text{dist}(u, v) + 1}.$$

It follows by (1) and Lemma 4.1 that

$$\begin{aligned} \text{cont}(\xi_{u,w}^\tau) &= \alpha(\text{res}(u), \text{dist}(u, w) + 1) = \alpha(\text{res}(u), \text{dist}(u, v) + \text{dist}(z, w) + 2) \\ &= \alpha(\text{res}(u), \text{dist}(u, v) + 1) + \alpha(\text{res}(u) + \overline{\text{dist}(u, v) + 1}, \text{dist}(z, w) + 1) \\ &= \alpha(\text{res}(u), \text{dist}(u, v) + 1) + \alpha(\text{res}(z), \text{dist}(z, w) + 1) \\ &= \text{cont}(\xi_1) + \text{cont}(\xi_2). \end{aligned}$$

Assume by way of contradiction that $\text{cont}(\xi_1) \succ \text{cont}(\xi_2)$. Then we have $\text{cont}(\xi_1) \succ \text{cont}(\xi_{u,w}^\tau) \succ \text{cont}(\xi_2)$ by Lemma 3.5. But, since $\xi_{u,w}^\tau$ is SE-removable in τ , this contradicts ξ_1 being a minimal SE-removable ribbon in τ . Therefore $\text{cont}(\xi_2) \succeq \text{cont}(\xi_1)$, as desired.

Case 2: Assume $w \nearrow u$. Note that since $\xi_1 \sqcup \xi_2$ is connected, we must have $w \in \{Su, Wu\}$. But, since $(u, v) \in \text{Rem}_\tau$, we have $Su \notin \tau$, so $w = Wu$. We have by Lemma 2.17(ii) that $(z, v) \in \text{Rem}_\tau$. Therefore $\xi_{z,v}^\tau$ is an SE-removable ribbon in τ by Lemma 2.16. It can be shown along the lines of Case 1 that $\text{cont}(\xi_{z,v}^\tau) = \text{cont}(\xi_1) + \text{cont}(\xi_2)$. Thus if $\text{cont}(\xi_1) \succ \text{cont}(\xi_2)$, we derive a contradiction along the same lines as Case 1 as well, so we have $\text{cont}(\xi_2) \succeq \text{cont}(\xi_1)$, as desired.

Case 3: Assume $u \nearrow z \nearrow v \nearrow w$. It follows from Lemma 2.17(iii) that $(SEz, w) \in \text{Rem}_\tau$. Therefore $\xi_{SEz,w}^\tau$ is an SE-removable ribbon in τ by Lemma 2.16. We have $\text{dist}(SEz, w) = \text{dist}(z, w)$, and $\text{res}(SEz) = \text{res}(z)$, so by Lemma 4.1 it follows that

$$\begin{aligned} \text{cont}(\xi_{SEz,w}^\tau) &= \alpha(\text{res}(SEz), \text{dist}(SEz, w) + 1) \\ &= \alpha(\text{res}(z), \text{dist}(z, w) + 1) = \text{cont}(\xi_2). \end{aligned}$$

As ξ_1 is a minimal SE-removable ribbon in τ , we have then that $\text{cont}(\xi_2) = \text{cont}(\xi_{SEz,w}^\tau) \succeq \text{cont}(\xi_1)$, as desired.

Case 4: Assume $z \nearrow u \nearrow w \nearrow v$. It follows from Lemma 2.17(iv) that $(z, SEw) \in \text{Rem}_\tau$. Therefore $\xi_{z,SEw}^\tau$ is an SE-removable ribbon in τ by Lemma 2.16. As in Case 3, it is straightforward to show that $\text{cont}(\xi_{z,SEw}^\tau) = \text{cont}(\xi_2)$, and thus by the minimality of ξ_1 we have $\text{cont}(\xi_2) = \text{cont}(\xi_{z,SEw}^\tau) \succeq \text{cont}(\xi_1)$, as desired.

Case 5: Assume $u \nearrow z \nearrow w \nearrow v$. It follows from Lemma 2.17(v) that $(SEz, SEw) \in \text{Rem}_\tau$. Then $\xi_{SEz,SEw}^\tau$ is an SE-removable ribbon in τ .

We have $\text{dist}(SEz, SEw) = \text{dist}(z, w)$, and $\text{res}(SEz) = \text{res}(z)$, so it follows by Lemma 4.1 that

$$\begin{aligned} \text{cont}(\xi_{SEz,SEw}^\tau) &= \alpha(\text{res}(SEz), \text{dist}(SEz, SEw) + 1) \\ &= \alpha(\text{res}(z), \text{dist}(z, w) + 1) = \text{cont}(\xi_2). \end{aligned}$$

As ξ_1 is a minimal SE-removable ribbon in τ , it follows that $\text{cont}(\xi_2) = \text{cont}(\xi_{SEz,SEw}^\tau) \succeq \text{cont}(\xi_1)$, as desired.

Case 6: Assume $z \nearrow u \nearrow v \nearrow w$. It follows from Lemma 2.17(vi) that $(z, w) \in \text{Rem}_\tau$. Thus $\xi_{z,w}^\tau$ is an SE-removable ribbon in τ . But then by Lemma 2.16 and the

minimality of ξ_1 we have $\text{cont}(\xi_2) = \text{cont}(\xi_{z,w}^\tau) \succeq \text{cont}(\xi_1)$, as desired, completing the proof. \square

6. KOSTANT PARTITIONS AND TILINGS

6.1. KOSTANT PARTITIONS. For $\theta \in Q_+$, a *Kostant partition* of γ is a tuple of non-negative integers $\kappa = (\kappa_\beta)_{\beta \in \Psi}$ such that $\sum_{\beta \in \Psi} \kappa_\beta \beta = \theta$. If $\beta_1 \succ \dots \succ \beta_k$ are the members of Ψ such that $\kappa_{\beta_1} \neq 0$, then it is convenient to write κ in the form

$$\kappa = (\beta_1^{\kappa_{\beta_1}} \mid \dots \mid \beta_k^{\kappa_{\beta_k}}).$$

We write $\Xi(\theta)$ for the set of all Kostant partitions of θ . The convex preorder \succeq on Φ_+ restricts to a total order \succ on Ψ , which induces a right lexicographic total order \triangleright_R on $\Xi(\beta)$, where

$$\kappa \triangleright_R \kappa' \iff \text{there exists } \beta \in \Psi \text{ such that } \kappa_\beta > \kappa'_\beta \text{ and } \kappa_\nu = \kappa'_\nu \text{ for all } \beta \succ \nu.$$

We also have a left lexicographic total order \triangleright_L on $\Xi(\beta)$, where

$$\kappa \triangleright_L \kappa' \iff \text{there exists } \beta \in \Psi \text{ such that } \kappa_\beta > \kappa'_\beta \text{ and } \kappa_\nu = \kappa'_\nu \text{ for all } \beta \prec \nu.$$

Then the orders $\triangleright_R, \triangleright_L$ induce a bilxicographic partial order \triangleright on $\Xi(\beta)$, given by

$$\kappa \triangleright \kappa' \iff \kappa \triangleright_R \kappa' \text{ and } \kappa \triangleright_L \kappa'.$$

For a sequence $\beta = (\beta_i)_{i=1}^k$ of elements of Φ'_+ , we say β is a *Kostant sequence* if $\psi(\beta_i) \succeq \psi(\beta_j)$ whenever $i \leq j$. To any Kostant sequence $\beta = (\beta_i)_{i=1}^k$ we may associate a Kostant partition κ^β by setting

$$\kappa_\nu^\beta = \sum_{i \in [1,k], \psi(\beta_i) = \nu} m(\beta_i) \quad (\nu \in \Psi).$$

6.2. KOSTANT TILINGS. We say a tiling Λ of a skew shape τ is a *ribbon* (resp. *cuspidal*, *semicuspidal*) tiling if λ is a ribbon (resp. cuspidal skew shape, semicuspidal skew shape) for all $\lambda \in \Lambda$. We are particularly interested in such tilings whose constituent skew shapes are arranged in a way that respects the convex preorder \succeq , as follows.

DEFINITION 6.1. Assume that Λ is a tiling of a skew shape τ such that $\text{cont}(\lambda) \in \Phi'_+$ for all $\lambda \in \Lambda$, and there exists a Λ -tableau \mathfrak{t} such that $(\text{cont}(\mathfrak{t}(i)))_{i=1}^{|\Lambda|}$ is a Kostant sequence. Then we say Λ is a *Kostant tiling*, and that (Λ, \mathfrak{t}) is a *Kostant tableau* for τ .

If (Λ, \mathfrak{t}) is a Kostant tableau for τ , then we have an associated Kostant sequence $\beta^\mathfrak{t} := (\text{cont}(\mathfrak{t}(i)))_{i=1}^{|\Lambda|}$, and associated Kostant partition $\kappa^\Lambda = \kappa^{\beta^\mathfrak{t}}$. The Kostant partition κ^Λ depends only on Λ and not on the choice of Λ -tableau \mathfrak{t} .

DEFINITION 6.2. Let τ be a skew shape, and let (Λ, \mathfrak{t}) be a tableau for τ . We say (Λ, \mathfrak{t}) is a *minimal SE-removable ribbon tableau* of τ provided that $\text{cont}(\lambda_j) \in \Psi$ and λ_j is a *minimal SE-removable ribbon* in $\bigsqcup_{i=1}^j \lambda_i$ for all $j \in [1, |\Lambda|]$. We say Λ is a *minimal SE-removable ribbon tiling* of τ if there exists a Λ -tableau \mathfrak{t} such that (Λ, \mathfrak{t}) is a *minimal SE-removable ribbon tableau*.

We have the following dual notion as well:

DEFINITION 6.3. Let τ be a skew shape, and let (Λ, \mathfrak{t}) be a tableau for τ . We say (Λ, \mathfrak{t}) is a *maximal NW-removable ribbon tableau* of τ provided that $\text{cont}(\lambda_j) \in \Psi$ and λ_j is a *maximal NW-removable ribbon* in $\bigsqcup_{i=j}^{|\Lambda|} \lambda_i$ for all $j \in [1, |\Lambda|]$. We say Λ is a *maximal NW-removable ribbon tiling* of τ if there exists a Λ -tableau \mathfrak{t} such that (Λ, \mathfrak{t}) is a *maximal NW-removable ribbon tableau*.

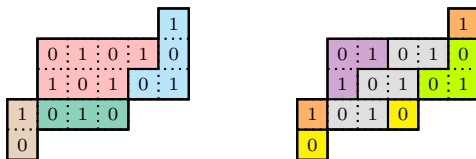


FIGURE 15. Kostant tiling for τ ; cuspidal Kostant tiling for τ

EXAMPLE 6.4. Take $e = 2$ and recall the convex preorder \succeq from Example 3.3 and the cuspidal ribbons from Example 5.10. We show two tilings for a skew shape τ in Figure 15. The tiling Λ_1 on the left is Kostant for this preorder, with associated Kostant partition

$$\kappa^{\Lambda_1} = (3\delta + \alpha_1 \mid \delta^3 \mid \delta + \alpha_0).$$

The tiling Λ_2 on the right in Figure 15 is a cuspidal Kostant tiling for τ , which is (not coincidentally, per Theorem 6.14) a minimal SE-removable ribbon tiling, and maximal NW-removable ribbon tiling as well. The associated Kostant partition is

$$\kappa^{\Lambda_2} = (\alpha_1^2 \mid \delta + \alpha_1 \mid \delta^3 \mid \delta + \alpha_0 \mid \alpha_0^2).$$

6.3. RESULTS ON KOSTANT TILINGS.

LEMMA 6.5. *Every nonempty skew shape τ has a minimal SE-removable ribbon tableau (Λ, \mathfrak{t}) .*

Proof. We go by induction on $|\tau|$. If $|\tau| = 1$, then we trivially take $\Lambda = \{\tau\}$ and $\mathfrak{t}(1) = \tau$. Now let $|\tau| > 1$ and make the induction assumption on skew shapes τ' with $|\tau'| < |\tau|$. By Lemma 5.13, τ has a minimal SE-removable ribbon ξ such that $\text{cont}(\xi) \in \Psi$. Let $\tau' = \tau \setminus \xi$. If $\tau' = \emptyset$, we are done, taking $\Lambda = \{\xi\}$, $\mathfrak{t}(1) = \xi$. Assume $\tau' \neq \emptyset$. Then τ' is a skew shape by Lemma 2.14, which by the induction assumption has a minimal SE-removable ribbon tableau $(\Lambda', \mathfrak{t}')$. But then taking $\Lambda = \Lambda' \cup \{\xi\}$, $\mathfrak{t}(i) = \mathfrak{t}'(i)$, $\mathfrak{t}(|\Lambda|) = \xi$, for all $i \in [1, |\Lambda'|]$, we have that (Λ, \mathfrak{t}) is a minimal SE-removable ribbon tableau for τ . \square

LEMMA 6.6. *If (Λ, \mathfrak{t}) is a minimal SE-removable ribbon tableau for a skew shape τ , then (Λ, \mathfrak{t}) is a cuspidal Kostant tableau for τ .*

Proof. By Lemma 5.11, every $\lambda \in \Lambda$ is cuspidal, so it remains to verify that $(\text{cont}(\mathfrak{t}(i)))_{i=1}^{|\Lambda|}$ is a Kostant sequence by showing that $\text{cont}(\mathfrak{t}(j)) \succeq \text{cont}(\mathfrak{t}(j+1))$ for all $j \in [1, |\Lambda| - 1]$. Indeed, $\mathfrak{t}(j+1)$ is a minimal SE-removable ribbon tableau in $\bigsqcup_{i=1}^{j+1} \mathfrak{t}(i)$, and $\mathfrak{t}(i)$ is a minimal SE-removable ribbon tableau in $\bigsqcup_{i=1}^j \mathfrak{t}(i) = (\bigsqcup_{i=1}^{j+1} \mathfrak{t}(i)) \setminus \mathfrak{t}(j+1)$, so the result follows by Lemma 5.14. \square

LEMMA 6.7. *Every cuspidal skew shape is a ribbon.*

Proof. Let τ be a cuspidal skew shape, so that $\text{cont}(\tau) \in \Phi_+$, and assume by way of contradiction that τ is not a ribbon. By Lemma 6.5, there exists a minimal SE-removable ribbon tableau for τ . As τ is not itself a ribbon, it must be that $|\Lambda| > 1$. By Lemma 6.6 we have

$$\text{cont}(\mathfrak{t}(1)) \succeq \cdots \succeq \text{cont}(\mathfrak{t}(|\Lambda|)).$$

As $\text{cont}(\mathfrak{t}(1)) + \cdots + \text{cont}(\mathfrak{t}(|\Lambda|)) = \text{cont}(\tau)$, we have by Lemma 3.5 that

$$\text{cont}(\mathfrak{t}(1)) \succeq \text{cont}(\tau) \succeq \text{cont}(\mathfrak{t}(|\Lambda|)).$$

But $\mathfrak{t}(|\Lambda|)$ is a minimal SE-removable ribbon in τ , so $\text{cont}(\mathfrak{t}(|\Lambda|)) \succ \text{cont}(\tau)$ by Lemma 5.4, giving the desired contradiction. \square

LEMMA 6.8. *If ξ is a cuspidal skew shape, then $\text{cont}(\xi) \in \Psi$.*

Proof. By Lemma 6.7 we have that ξ is a ribbon. If $\text{cont}(\xi) \in \Phi_+ \setminus \Psi$, then $\text{cont}(\xi) = m\delta$ for some $m > 1$. But then ξ has an SE-removable skew hook $\nu \subsetneq \xi$ of content $\text{cont}(\xi) \succeq \delta$, a contradiction of Lemma 5.5, so $\text{cont}(\xi) \in \Psi$. \square

LEMMA 6.9. *Let Λ be a minimal SE-removable ribbon tiling for τ , and Λ' be a Kostant tiling for τ . Then $\kappa^\Lambda \supseteq_R \kappa^{\Lambda'}$, and $\kappa^\Lambda = \kappa^{\Lambda'}$ if and only if every $\lambda' \in \Lambda'$ is a union of tiles $\lambda \in \Lambda$ with $\psi(\text{cont}(\lambda')) = \text{cont}(\lambda)$.*

Proof. For sake of space, if Λ, Λ' are such that every $\lambda' \in \Lambda'$ is a union of tiles $\lambda \in \Lambda$ with $\psi(\text{cont}(\lambda')) = \text{cont}(\lambda)$, we will refer to this as the ‘union condition’. It is clear from the definition of $\kappa^\Lambda, \kappa^{\Lambda'}$ that $\kappa^\Lambda = \kappa^{\Lambda'}$ when Λ, Λ' satisfy the union condition.

We prove the claim by induction on $|\tau|$, the base case $|\tau| = 1$ being trivial. Assume that $|\tau| > 1$, and the claim holds for all τ' with $|\tau'| < |\tau|$. There exists some $\nu \in \Lambda'$ which is SE-removable in τ and $\psi(\text{cont}(\nu')) \succeq \psi(\text{cont}(\nu))$ for all $\nu' \in \Lambda'$.

For all $\lambda \in \Lambda$, set $\nu^\lambda = \nu \cap \lambda$. Set $\Lambda_0 = \{\lambda \in \Lambda \mid \nu^\lambda = \lambda\}$ and $\Lambda_1 = \{\lambda \in \Lambda \mid \nu^\lambda \neq \emptyset, \lambda\}$. By Lemma 6.6, each $\lambda \in \Lambda$ is cuspidal, and ν^λ is SE-removable in each λ because ν is SE-removable in τ . Thus, for all $\lambda \in \Lambda_1$, we have $\text{cont}(\nu^\lambda) = \beta^{\lambda,1} + \dots + \beta^{\lambda,r_\lambda}$ for some $\text{cont}(\lambda) \prec \beta^{\lambda,1}, \dots, \beta^{\lambda,r_\lambda} \in \Phi_+$. Then we have

$$\text{cont}(\nu) = \sum_{\lambda \in \Lambda_0} \text{cont}(\lambda) + \sum_{\lambda \in \Lambda_1} \beta^{\lambda,1} + \dots + \beta^{\lambda,r_\lambda}.$$

If there exists $\lambda \in \Lambda_0$ such that $\psi(\text{cont}(\nu)) \succ \text{cont}(\lambda)$, then Λ, Λ' do not satisfy the union condition, and we have $\kappa^\Lambda \supset_R \kappa^{\Lambda'}$. If there exists $\lambda \in \Lambda_1, j \in [1, r_\lambda]$ such that $\psi(\text{cont}(\nu)) \succeq \beta^{\lambda,j}$, then Λ, Λ' do not satisfy the union condition, and we again have $\psi(\text{cont}(\nu)) \succ \text{cont}(\lambda)$, so $\kappa^\Lambda \supset_R \kappa^{\Lambda'}$.

We may assume then that $\text{cont}(\lambda) \succeq \psi(\text{cont}(\nu))$ for all $\lambda \in \Lambda_0$ and $\beta^{\lambda,j} \succ \psi(\text{cont}(\nu))$ for all $\lambda \in \Lambda_1, j \in [1, r_\lambda]$. By Lemma 3.5, this implies that $\Lambda_1 = \emptyset$, and $\text{cont}(\lambda) = \psi(\text{cont}(\nu))$ for all $\lambda \in \Lambda_0$. If $\tau' := \tau \setminus \nu = \emptyset$, then we are done, as Λ, Λ' satisfy the union condition and $\kappa^\Lambda = \kappa^{\Lambda'}$. Assume then that $\tau' \neq \emptyset$. Then we have that $\Lambda \setminus \Lambda_0$ is a minimal SE-removable ribbon tiling for τ' , and $\Lambda' \setminus \{\nu\}$ is a Kostant tiling for τ' . By the induction assumption, we have $\kappa^{\Lambda \setminus \Lambda_0} \supseteq_R \kappa^{\Lambda' \setminus \{\nu\}}$, with equality if and only if $\Lambda \setminus \Lambda_0, \Lambda' \setminus \{\nu\}$ satisfy the union condition. But since $\nu = \bigsqcup_{\lambda \in \Lambda_0} \lambda$ and $\text{cont}(\lambda) = \psi(\text{cont}(\nu))$ for all $\lambda \in \Lambda_0$, it follows that $\kappa^\Lambda \supseteq_R \kappa^{\Lambda'}$, with equality if and only if $\Lambda \setminus \Lambda_0, \Lambda' \setminus \{\nu\}$ satisfy the union condition, which occurs if and only if Λ, Λ' satisfy the union condition. This completes the induction step, and the proof. \square

COROLLARY 6.10. *Every skew shape has a unique minimal SE-removable ribbon tiling.*

Proof. Existence is established in Lemma 6.5. If Λ, Λ' are minimal SE-removable ribbon tilings of τ , then by Lemma 6.9 we have $\kappa^\Lambda \supseteq_R \kappa^{\Lambda'}$ and $\kappa^{\Lambda'} \supseteq_R \kappa^\Lambda$, so $\kappa^\Lambda = \kappa^{\Lambda'}$ and thus every $\lambda \in \Lambda$ is a union of tiles in $\lambda' \in \Lambda'$, and vice versa. It follows that $\Lambda = \Lambda'$. \square

LEMMA 6.11. *Every cuspidal Kostant tiling is a minimal SE-removable ribbon tiling.*

Proof. We prove the claim by induction on $|\tau|$, the base case $|\tau| = 1$ being clear. Assume that $|\tau| > 1$, and the claim holds for all τ' with $|\tau'| < |\tau|$. Let (Λ, \mathfrak{t}) be a cuspidal Kostant tableau for τ . Then by Lemma 6.7, each $\lambda \in \Lambda$ is a ribbon, so $\mathfrak{t}(j)$ is an SE-removable ribbon in $\bigsqcup_{i=1}^j \mathfrak{t}(i)$ for all $j \in [1, |\Lambda|]$. We also have that $\text{cont}(\mathfrak{t}(j)) \in \Psi$ for all $j \in [1, |\Lambda|]$ by Lemma 6.8. If $|\Lambda| = 1$, the claim is clearly true,

so assume $|\Lambda| > 1$. Note that $\Lambda \setminus \mathfrak{t}(|\Lambda|)$ is a cuspidal Kostant tiling for $\tau' := \tau \setminus \mathfrak{t}(|\Lambda|)$, so if $\mathfrak{t}(|\Lambda|)$ is a minimal for τ , the claim follows by induction.

Assume by way of contradiction that $\mathfrak{t}(|\Lambda|)$ is not minimal for τ . There exists a minimal SE-removable skew hook ξ in τ , and $\text{cont}(\mathfrak{t}(j)) \succeq \text{cont}(\mathfrak{t}(|\Lambda|)) \succ \text{cont}(\xi)$ for all $j \in [1, |\Lambda|]$. Let $\xi^j = \xi \cap \mathfrak{t}(j)$ for all $j \in [1, |\Lambda|]$, and set $J = \{j \in [1, |\Lambda|] \mid \xi^j \neq \emptyset\}$. Since ξ is SE-removable in τ , ξ^j is SE-removable in $\mathfrak{t}(j)$ for all $j \in J$. But then, since $\mathfrak{t}(j)$ is cuspidal, we have that $\text{cont}(\xi^j) = \beta^{j,1} + \dots + \beta^{j,r_j}$ for some $\text{cont}(\mathfrak{t}(j)) \preceq \beta^{j,1}, \dots, \beta^{j,r_j} \in \Phi_+$. Then by Lemma 3.5 we have

$$\text{cont}(\xi) = \sum_{j \in J} \text{cont}(\xi^j) = \sum_{j \in J} \beta^{j,1} + \dots + \beta^{j,r_j} \succeq \text{cont}(\mathfrak{t}(|\Lambda|)) \succ \text{cont}(\xi),$$

the desired contradiction. This completes the induction step and the proof. \square

6.4. REVERSAL. There is an inherent symmetry to much of the combinatorial data considered herein. For $u \in \mathcal{N}$, define the *reversal* $u^{\text{rev}} := (-u_2, -u_1)$ and extend this to $\tau^{\text{rev}} := \{u^{\text{rev}} \mid u \in \tau\}$ for $\tau \subset \mathcal{N}$. Reversal preserves residue and content, and sends skew shapes to skew shapes and ribbons to ribbons.

If (Λ, \mathfrak{t}) is a tableau for τ , then we may define a tableau $(\Lambda^{\text{rev}}, \mathfrak{t}^{\text{rev}})$ for τ^{rev} by setting $\Lambda^{\text{rev}} := \{\lambda^{\text{rev}} \mid \lambda \in \Lambda\}$ and $\mathfrak{t}^{\text{rev}}(i) = \mathfrak{t}(|\Lambda| - i + 1)^{\text{rev}}$ for $i \in [1, |\Lambda|]$.

For a convex preorder \succeq , we may also define the *reversal* convex preorder \succeq^{rev} by setting $\beta \succeq^{\text{rev}} \beta'$ if and only if $\beta' \succeq \beta$.

The following proposition is straightforward to verify from definitions. To avoid confusion, we label here the terms ‘cuspidal’ and ‘Kostant’, and the bilxicographic partial order \triangleleft which depend upon a chosen convex preorder with the symbol for that preorder.

PROPOSITION 6.12. *Let $m \in \mathcal{N}$, $\beta \in \Phi_+$, $\theta \in Q_+$, $\xi \in \mathcal{S}(\beta)$, $\mu \in \mathcal{S}(m\beta)$, $\tau \in \mathcal{S}(\theta)$.*

- (i) ξ is \succeq -cuspidal if and only if ξ^{rev} is \succeq^{rev} -cuspidal.
- (ii) μ is \succeq -semicuspidal if and only if μ^{rev} is \succeq^{rev} -semicuspidal.
- (iii) ξ is a \succeq -minimal SE-removable ribbon in τ if and only if ξ^{rev} is a \succeq^{rev} -maximal NW-removable ribbon in τ^{rev} .
- (iv) (Λ, \mathfrak{t}) is a \succeq -Kostant tableau for τ if and only if $(\Lambda^{\text{rev}}, \mathfrak{t}^{\text{rev}})$ is a \succeq^{rev} -Kostant tableau for τ^{rev} .
- (v) For $\kappa, \nu \in \Xi(\beta)$, we have $\kappa \triangleright_{\mathbb{R}}^{\succeq} \nu$ if and only if $\kappa \triangleright_{\mathbb{L}}^{\succeq^{\text{rev}}} \nu$.

6.5. MAIN THEOREMS, CUSPIDAL VERSION. In the next theorem we show that, up to e -similarity, there is a unique cuspidal skew shape associated to every real positive root, and there are e distinct cuspidal skew shapes associated to the null root δ .

THEOREM 6.13. *The set $\{\zeta^\beta \mid \beta \in \Phi_+^{\text{re}}\} \cup \{\zeta^t \mid t \in \mathbb{Z}_e\}$ represents a complete and irredundant set of cuspidal skew shapes, up to e -similarity.*

Proof. Follows from Lemmas 6.7, 6.8, and Proposition 5.9. \square

Parts (i), (iv) of the next theorem establish that every skew shape τ possesses a unique cuspidal Kostant tiling, and the associated Kostant partition is bilxicographically maximal among all Kostant tilings for τ . Moreover, parts (ii), (iii) show that the unique cuspidal Kostant tiling can be directly constructed via progressive minimal (or maximal) ribbon removals. We refer the reader back to Examples 1.1 and 6.4 for demonstrative examples of cuspidal Kostant tilings.

THEOREM 6.14. *Let τ be a nonempty skew shape. Then:*

- (i) *There exists a unique cuspidal Kostant tiling Γ_τ for τ .*
- (ii) *The tiling Γ_τ is the unique minimal SE-removable ribbon tiling for τ .*
- (iii) *The tiling Γ_τ is the unique maximal NW-removable ribbon tiling for τ .*

- (iv) For any Kostant tiling Λ for τ , we have $\kappa(\Gamma_\tau) \supseteq \kappa(\Lambda)$, with $\kappa(\Gamma_\tau) = \kappa(\Lambda)$ if and only if every $\lambda \in \Lambda$ is a union of tiles $\gamma \in \Gamma_\tau$ with $\psi(\text{cont}(\lambda)) = \text{cont}(\gamma)$.

Proof. Uniqueness in (ii) is provided by Corollary 6.10, and the equality in (ii) is provided by Lemmas 6.6 and 6.11. Existence in (i) follows from Lemma 6.5, and the uniqueness in (i) from uniqueness in (ii). Then (iii) follows from (ii) and Proposition 6.12. Finally, (iv) follows from (ii) and Lemma 6.9 and Proposition 6.12. \square

7. SEMICUSPIDAL TABLEAUX

In this section we build on §6 to investigate semicuspidal skew shapes and tableaux. Recall that every nonempty skew shape τ has a unique cuspidal Kostant tiling Γ_τ , as in Theorem 6.14.

LEMMA 7.1. *Let τ be a skew shape of content $\theta \in \Phi'_+$. Then τ is semicuspidal if and only if, for all $\gamma \in \Gamma_\tau$, we have $\text{cont}(\gamma) = \psi(\theta)$.*

Proof. Let $(\gamma_i)_{i=1}^k$ be a cuspidal Kostant tableau for τ . Then $\Gamma_\tau = \{\gamma_i\}_{i=1}^k$, and γ_i is a ribbon for all $i \in [1, k]$.

(\implies) Since τ is semicuspidal, we must have $\text{cont}(\gamma_1) \succeq \text{cont}(\gamma_k) \succeq \psi(\theta)$ by Lemma 5.4. Then by Lemma 3.5(i),(ii), we have that $\text{cont}(\gamma_i) \approx \psi(\theta)$ for all i . But $\text{cont}(\gamma_i) \in \Psi$ for all i , so by Lemma 3.4, we have $\text{cont}(\gamma_i) = \psi(\theta)$ for all $i \in [1, k]$.

(\impliedby) Let (λ_1, λ_2) be any tableau for τ . Define:

$$\begin{aligned} \Gamma_\tau^0 &= \{\gamma \in \Gamma_\tau \mid \gamma \cap \lambda_1 \neq \emptyset, \gamma \cap \lambda_2 \neq \emptyset\}; \\ \Gamma_\tau^1 &= \{\gamma \in \Gamma_\tau \mid \gamma \subseteq \lambda_1\}; \\ \Gamma_\tau^2 &= \{\gamma \in \Gamma_\tau \mid \gamma \subseteq \lambda_2\}. \end{aligned}$$

Let $\gamma \in \Gamma_\tau^0$. Then $(\gamma \cap \lambda_1, \gamma \cap \lambda_2)$ is a tableau for γ . As γ is cuspidal, we have that $\text{cont}(\gamma \cap \lambda_1)$ is a sum of positive roots less than $\psi(\theta)$, and $\text{cont}(\gamma \cap \lambda_2)$ is a sum of positive roots greater than $\psi(\theta)$. By assumption, $\text{cont}(\gamma) = \psi(\theta)$ for all $\gamma \in \Lambda_1, \Lambda_2$. Then we have

$$\begin{aligned} \text{cont}(\lambda_1) &= \sum_{\gamma \in \Gamma_\tau^0} \text{cont}(\gamma \cap \lambda_1) + \sum_{\gamma \in \Gamma_\tau^1} \psi(\theta); \\ \text{cont}(\lambda_2) &= \sum_{\gamma \in \Gamma_\tau^0} \text{cont}(\gamma \cap \lambda_2) + \sum_{\gamma \in \Gamma_\tau^2} \psi(\theta), \end{aligned}$$

so it follows that $\text{cont}(\lambda_1)$ can be written as a sum of positive roots greater than or equal to $\text{cont}(\psi(\theta))$, and $\text{cont}(\lambda_2)$ can be written as a sum of positive roots greater than or equal to $\text{cont}(\psi(\theta))$. Thus τ is semicuspidal. \square

As all cuspidal shapes are (connected) ribbons by Theorem 6.13, any cuspidal tiling of a skew shape is a union of cuspidal tilings of its connected components. Therefore Lemma 7.1 implies the following

COROLLARY 7.2. *Let $m \in \mathbb{N}$ and $\beta \in \Phi'_+$. A skew shape $\tau \in \mathcal{S}(m\beta)$ is semicuspidal if and only if every connected component of τ is a semicuspidal skew shape of content $m'\beta$ for some $m' \leq m$.*

7.1. REAL SEMICUSPIDAL SKEW SHAPES. First we focus on semicuspidal skew shapes associated to real positive roots.

LEMMA 7.3. *Let τ be a connected skew shape of content $m\beta \in \Phi'_+$, where $\beta \in \Phi_+^{\text{re}}$. Then τ is semicuspidal if and only if $m = 1$ and $\tau \sim_e \zeta^\beta$.*

Proof. The ‘if’ direction is immediate by Lemma 6.13, as ζ^β is cuspidal. Now assume τ is semicuspidal. Then by Lemma 7.1 and Theorem 6.13 there exists a cuspidal Kostant tableau $(\gamma_i)_{i=1}^m$ for τ such that $\gamma_i \sim_e \zeta^\beta$ for all $i \in [1, m]$. If $m = 1$, we are done.

Assume by way of contradiction that $m > 1$. By Theorem 6.14, every minimal SE-removable ribbon in τ is a tile in Γ_τ . Let μ be the union of all minimal SE-removable ribbons in τ . Then μ is a skew shape such that each of its connected components is e -similar to ζ^β . Let $\tau' = \tau \setminus \mu$. If τ' is empty, then $\mu = \tau$ would consist of m disconnected skew shapes, a contradiction, since τ is connected. Thus τ' is nonempty. Let γ' be a minimal SE-removable ribbon in τ' . By Theorem 6.14, $\gamma' \sim_e \zeta^\beta$ is a tile in Γ_τ . As γ' was *not* removable in τ , it follows that there is some minimal SE-removable ribbon $\gamma \sim_e \zeta^\beta$ in τ such that $\nu := \gamma \sqcup \gamma'$ is a connected skew shape.

We now will derive a contradiction by focusing on this shape ν . Note that (γ', γ) is a cuspidal Kostant tableau for ν . For clarity, we write $\nu' = \gamma' = \nu \setminus \gamma$. We have $\gamma = \xi_{u,v}^\nu$ and $\gamma' = \xi_{z,w}^{\nu'}$, for some $(u, v) \in \text{Rem}_\tau \nu$, $(z, w) \in \text{Rem}_{\tau'} \nu'$. Since $\gamma \sim_e \gamma'$, the nodes u, v, z, w must be arranged as in one of the following cases:

Case 1: $u \nearrow v \nearrow z \nearrow w$. As ν is connected, this implies that $z \in \{Ev, Nv\}$, so ν is a ribbon. But then $m\beta = \text{cont}(\nu) \in \Phi_+$ by Corollary 4.2, a contradiction since $m > 1$.

Case 2: $z \nearrow w \nearrow u \nearrow v$. As ν is connected, this implies that $u \in \{Ew, Nw\}$, so ν is a ribbon, and we get a contradiction as in Case 1.

Case 3: $u \nearrow z \nearrow v \nearrow w$. Then by Lemma 2.17(iii), we have that $(SEz, w) \in \text{Rem}_\tau \nu$. Thus $\xi_{(SEz,w)}^\nu$ is an SE-removable hook in ν . Note that $\text{cont}(\xi_{(SEz,w)}^\nu) = \beta = \text{cont}(\gamma)$. Then $\xi_{(SEz,w)}^\nu$ is minimal in ν since γ is minimal by Theorem 6.14. Thus $\xi_{SEz,w}^\nu$ is cuspidal by Lemma 5.11. But $\xi_{SEz,w}^\nu \not\sim_e \xi_{z,w}^{\nu'} = \gamma' \sim_e \zeta^\beta$, a contradiction of Theorem 6.13.

Case 4: $z \nearrow u \nearrow w \nearrow v$. Then by Lemma 2.17(iv), we have that $(z, SEw) \in \text{Rem}_\tau \nu$, so $\xi_{z,SEw}^\nu$ is an SE-removable hook in ν , which implies a contradiction along the same lines as Case 3.

This exhausts the possibilities for arrangements of the nodes u, v, z, w , so we get a contradiction in any case. Therefore $m = 1$, as desired. \square

Recall the e -similarity classes defined in §4.2, and choose, for every $m \in \mathbb{N}$ and $\beta \in \Phi_+^{\text{re}}$, a distinguished skew shape $\zeta^{m\beta} \in [(\zeta^\beta)^m]_e$.

COROLLARY 7.4. *Let $m \in \mathbb{N}$, $\beta \in \Phi_+^{\text{re}}$. Assume $\tau \in \mathcal{S}(m\beta)$. Then τ is semicuspidal if and only if $\tau \sim_e \zeta^{m\beta}$.*

Proof. For the ‘only if’ direction, assume τ is semicuspidal. Then by Corollary 7.2, all connected components of τ are semicuspidal, and each of these is e -similar to ζ^β by Lemma 7.3, implying the result. The ‘if’ direction is granted by Lemma 7.1, since any $\tau \in [(\zeta^\beta)^m]_e$ is trivially tiled by tiles e -similar to ζ^β . \square

7.2. THE DILATION MAP. In this subsection, fix some $t \in \mathbb{Z}_e$, and recall that $[\zeta^t]_e = \{\zeta^{(\delta,b)} \mid b \in \mathcal{N}_t\}$ by Proposition 5.9. Recall that $\zeta^t = \zeta^{(\delta,b^t)}$, where $b^t \in \mathcal{N}_t$. Set:

$$x^t = E(\zeta_{NE}^t - b^t), \quad \text{and} \quad y^t = N(\zeta_{NE}^t - b^t).$$

By construction, $\text{res}(\zeta_{NE}^t) = \overline{t-1}$, so it follows that $\text{res}(x^t) = \text{res}(y^t) = \bar{0}$. We define a map $\varphi_t : \mathcal{N} \rightarrow \mathcal{N}_t$ by setting

$$\varphi_t(u) = b^t + u_1 y^t + u_2 x^t,$$

for all $u \in \mathcal{N}$. Then we define the t -dilation map $\text{dil}_t : \mathcal{N} \rightarrow [\zeta^t]_e$ by setting:

$$\text{dil}_t(u) = \zeta^{(\delta, \varphi_t(u))}.$$

The following lemma establishes that that $[\zeta^t]_e$ is a tiling of \mathcal{N} .

LEMMA 7.5. *For all $u \in \mathcal{N}$, there exists a unique $b \in \mathcal{N}_t$ such that $u \in \zeta^{(\delta, b)}$.*

Proof. Let $b' \in \mathcal{N}_t$. Then, since $\text{cont}(\zeta^{(\delta, b')}) = \delta$, we have that $\zeta^{(\delta, b')}$ contains a node v with $\text{res}(v) = \text{res}(u)$. Then $u = v + c$ some node $c \in \mathcal{N}_0$. Take $b = b' + c$. Then $\text{res}(b) = t$. We have $u \in \mathbb{T}_c(\zeta^{(\delta, b')}) = \zeta^{(\delta, b'+c)} = \zeta^{(\delta, b)}$ by Proposition 5.9, establishing existence.

Now assume $b'' \in \mathcal{N}_t$, and $u \in \zeta^{(\delta, b')}$. Then $b'' = b + d$ for some $d \in \mathcal{N}_{\bar{0}}$. Then $\zeta^{(\delta, b'')} = \mathbb{T}_d(\zeta^{(\delta, b)})$, so $u = u' + d$ for some $u' \in \zeta^{(\delta, b)}$ with $\text{res}(u') = \text{res}(u)$. But, as $\text{cont}(\zeta^{(\delta, b)}) = \delta$, the only node $u' \in \zeta^{(\delta, b)}$ with residue $\text{res}(u)$ is u , so $u' = u$, and thus $d = (0, 0)$. Thus $b'' = b$, establishing uniqueness. \square

LEMMA 7.6. *The set $\mathcal{N}_{\bar{0}}$ is a free \mathbb{Z} -module with basis $\{x^t, y^t\}$.*

Proof. We first show that $\mathbb{Z}\{x^t, y^t\}$ spans $\mathcal{N}_{\bar{0}}$. Note that by definition we have $x^t = \text{SE}y^t$, so $(1, 1) = x^t - y^t \in \mathbb{Z}\{x^t, y^t\}$. Then $x^t - x_1^t(1, 1) = (0, x_2^t - x_1^t) \in \mathbb{Z}\{x^t, y^t\}$. By Lemma 2.12, we have

$$\begin{aligned} e &= \text{dist}(\zeta_{\text{SW}}^t, \zeta_{\text{NE}}^t) + 1 = \text{dist}(b^t, \zeta_{\text{NE}}^t) + 1 = |(\zeta_{\text{NE}}^t)_1 - b_1^t| + |(\zeta_{\text{NE}}^t)_2 - b_2^t| + 1 \\ &= |x_1^t| + |x_2^t - 1| + 1 = -x_1^t + (x_2^t - 1) + 1 = x_2^t - x_1^t. \end{aligned}$$

Thus $(0, e) \in \mathbb{Z}\{x^t, y^t\}$. Let $u \in \mathcal{N}_{\bar{0}}$. Then we have $u = (u_1, u_1) + (0, ke)$ for some $k \in \mathbb{Z}$, so $u = u_1(1, 1) + k(0, e) \in \mathbb{Z}\{x^t, y^t\}$. Thus $\mathbb{Z}\{x^t, y^t\} = \mathcal{N}_{\bar{0}}$.

Now assume $cx^t + dy^t = 0$ for some $c, d \in \mathbb{Z}$. Then we have

$$\begin{aligned} 0 &= cx^t + dy^t = cx^t + d\text{NW}x^t = c(x_1^t, x_2^t) + d(x_1^t - 1, x_2^t - 1) \\ &= ((c + d)x_1^t - d, (c + d)x_2^t - d). \end{aligned}$$

It follows that $0 = (c + d)(x_2^t - x_1^t) = (c + d)e$, so $c + d = 0$, which implies that $c = d = 0$. Thus $\{x^t, y^t\}$ are linearly independent, and so constitute a basis for $\mathcal{N}_{\bar{0}}$. \square

LEMMA 7.7. *The map $\varphi_t : \mathcal{N} \rightarrow \mathcal{N}_t$ is a bijection.*

Proof. First we show surjectivity. If $b' \in \mathcal{N}_t$, then $b' = b^t + c$ for some $c \in \mathcal{N}_0$. Then by Lemma 7.6, $c = ry^t + sx^t$ for some $r, s \in \mathbb{Z}$. Then we have $\varphi_t((r, s)) = b^t + ry^t + sx^t = b^t + c = b'$, as desired.

Next we show injectivity. Assume $\varphi_t(u) = \varphi_t(v)$. Then we have $b^t + u_1y^t + u_2x^t = b^t + v_1y^t + v_2x^t$, so $u_1y^t + u_2x^t = v_1y^t + v_2x^t$. But then by Lemma 7.6, this implies that $u = v$, as desired. \square

COROLLARY 7.8. *The t -dilation map $\text{dil}_t : \mathcal{N} \rightarrow [\zeta^t]$ is a bijection.*

LEMMA 7.9. *Let $z \in \mathcal{N}$. Then*

$$\text{dil}_t(\mathbf{E}z) = \mathbb{T}_{x^t}(\text{dil}_t(z)); \quad \text{dil}_t(\mathbf{N}z) = \mathbb{T}_{y^t}(\text{dil}_t(z)); \quad \text{dil}_t(\mathbf{SE}z) = \text{SE}(\text{dil}_t(z)).$$

Proof. We have

$$\begin{aligned} \text{dil}_t(\mathbf{E}z) &= \text{dil}_t((z_1, z_2 + 1)) = \zeta^{(\delta, \varphi_t(z_1, z_2 + 1))} \\ &= \zeta^{(\delta, b^t + z_1y^t + z_2x^t + x^t)} = \mathbb{T}_{x^t}(\zeta^{(\delta, b^t + z_1y^t + z_2x^t)}) = \mathbb{T}_{x^t}(\text{dil}_t(z)). \end{aligned}$$

The second equality is similar. The proof of the last equality follows from the first two and the fact that $x^t - y^t = (1, 1)$. \square

LEMMA 7.10. *Let $u, z \in \mathcal{N}$, with $u \in \text{dil}_t(z)$. Then we have:*

- (i) $u = (\text{dil}_t(z))_{\text{NE}} \iff Eu = (\text{dil}_t(Ez))_{\text{SW}} \iff Nu = (\text{dil}_t(Nz))_{\text{SW}}$.
- (ii) $u \neq (\text{dil}_t(z))_{\text{NE}} \implies Eu \in \text{dil}_t(z) \sqcup \text{dil}_t(\text{SE}z)$
- (iii) $u = (\text{dil}_t(z))_{\text{SW}} \iff Su = (\text{dil}_t(\text{S}z))_{\text{NE}} \iff Wu = (\text{dil}_t(\text{W}z))_{\text{NE}}$
- (iv) $u \neq (\text{dil}_t(z))_{\text{SW}} \implies Su \in \text{dil}_t(z) \sqcup \text{dil}_t(\text{SE}z)$

Proof. We have $u = (\text{dil}_t(z))_{\text{NE}}$ if and only if $u = \varphi_t(z) + x^t - (0, 1)$, which occurs if and only if

$$Eu = \varphi_t(z) + x^t = \varphi_t(Ez) = \zeta_{\text{SW}}^{(\delta, \varphi_t(Ez))} = (\text{dil}_t(Ez))_{\text{SW}},$$

proving claim (i). Claim (iii) is similar. For claim (ii), assume $u \neq (\text{dil}_t(z))_{\text{NE}}$. If $Eu \in \text{dil}_t(z)$, we are done. Assume not. Then we must have that $Nu \in \text{dil}_t(z)$ since $\text{dil}_t(z)$ is a ribbon. But then $Eu = \text{SE}Nu \in \text{SE}(\text{dil}_t(z)) = \text{dil}_t(\text{SE}z)$ by Lemma 7.9, as desired. Claim (iv) is similar. \square

7.3. DILATION OF SKEW SHAPES. We extend the dilation map to subsets τ of \mathcal{N} by setting $\text{dil}_t(\tau) = \bigsqcup_{u \in \tau} \text{dil}_t(u)$. Roughly speaking, for $t \in \mathbb{Z}_e$, one ‘ t -dilates’ the shape τ by replacing every node in τ with the cuspidal ribbon ζ^t . See again Figure 4 for a visual depiction.

LEMMA 7.11. *Let τ be a nonempty finite subset of \mathcal{N} . Then:*

- (i) $\text{dil}_t(\tau)$ is cornered if and only if τ is cornered;
- (ii) $\text{dil}_t(\tau)$ is diagonal convex if and only if τ is diagonal convex;
- (iii) $\text{dil}_t(\tau)$ is a connected skew shape if and only if τ is a connected skew shape;
- (iv) $\text{dil}_t(\tau)$ is a ribbon if and only if τ is a ribbon.

Proof. We begin with (i). If $v \in \text{dil}_t(\tau)$, then $v \in \text{dil}_t(u)$ for some $u \in \tau$. Since $\text{dil}_t(u)$ is a ribbon, we have $\{Nv, Ev\} \cap \text{dil}_t(\tau) = \emptyset$ only if $v = \text{dil}_t(u)_{\text{NE}}$, and $\{Sv, Wv\} \cap \text{dil}_t(\tau) = \emptyset$ only if $v = \text{dil}_t(u)_{\text{SW}}$.

Now let $u \in \tau$. Then it follows that $(\text{dil}_t(u))_{\text{NE}} \in \text{dil}_t(\tau)$. By Lemma 7.10(i), we have $E(\text{dil}_t(u))_{\text{NE}} \in (\text{dil}_t(Eu))$ and $N(\text{dil}_t(u))_{\text{NE}} \in (\text{dil}_t(Nu))$. Then $u \in \tau$ is a node such that $\{Nu, Eu\} \cap \tau = \emptyset$ if and only if $\text{dil}_t(u)_{\text{NE}}$ is a node in $\text{dil}_t(\tau)$ such that $\{N(\text{dil}_t(u)_{\text{NE}}), E(\text{dil}_t(u)_{\text{NE}})\} \cap \text{dil}_t(\tau) = \emptyset$. By a similar argument, $u \in \tau$ is a node such that $\{Su, Wu\} \cap \tau = \emptyset$ if and only if $\text{dil}_t(u)_{\text{SW}}$ is a node in $\text{dil}_t(\tau)$ such that $\{S(\text{dil}_t(u)_{\text{SW}}), W(\text{dil}_t(u)_{\text{SW}})\} \cap \text{dil}_t(\tau) = \emptyset$. From this, and the argument in the previous paragraph, it follows that $\text{dil}_t(\tau)$ is cornered if and only if τ is cornered.

Now we prove (ii). Assume τ is diagonal convex. Assume that $n \in \mathbb{N}$ is such that $u, w \in \mathcal{D}_n \cap \text{dil}_t(\tau)$, $v \in \mathcal{D}_n$, and $u \searrow v \swarrow w$. We have $v = (\text{SE})^k u$, $w = (\text{SE})^\ell u$, for some $1 \leq k \leq \ell$. We have $u \in \text{dil}_t(z)$ for some $z \in \tau$. Then, applying Lemma 7.9, we have $v \in (\text{SE})^k(\text{dil}_t(z)) = \text{dil}_t((\text{SE})^k z)$, $w \in (\text{SE})^\ell(\text{dil}_t(z)) = \text{dil}_t((\text{SE})^\ell z)$. Since $w \in \text{dil}_t(\tau)$, we have $(\text{SE})^\ell z \in \tau$. By diagonal convexity of τ , it follows that $(\text{SE})^k z \in \tau$. Thus $\text{dil}_t((\text{SE})^k z) \subseteq \text{dil}_t(\tau)$, so $v \in \text{dil}_t(\tau)$. Thus $\text{dil}_t(\tau)$ is diagonal convex.

Now assume $\text{dil}_t(\tau)$ is diagonal convex, and $n \in \mathbb{N}$ is such that $u, w \in \mathcal{D}_n \cap \tau$, $v \in \mathcal{D}_n$, and $u \searrow v \swarrow w$. We have $v = (\text{SE})^k u$, $w = (\text{SE})^\ell u$, for some $1 \leq k \leq \ell$. Let $z \in \text{dil}_t(u) \subset \text{dil}_t(\tau)$. Then by Lemma 7.9, we have that $(\text{SE})^k z \in (\text{SE})^k \text{dil}_t(u) = \text{dil}_t((\text{SE})^k z) = \text{dil}_t(v)$, and similarly, $(\text{SE})^\ell z \in \text{dil}_t(w) \subseteq \text{dil}_t(\tau)$. But, as $\text{dil}_t(\tau)$ is diagonal convex, it follows that $(\text{SE})^k z \in \text{dil}_t(\tau)$, which implies that $v \in \tau$. Thus τ is diagonal convex. This completes the proof of (ii).

With (i), (ii) in hand, (iii) follows by Lemma 2.4. With (iii) in hand, we need only check that τ is thin if and only if $\text{dil}_t(\tau)$ is thin. This follows easily from Lemma 7.9. \square

LEMMA 7.12. *Assume τ is a nonempty skew shape, and $\tau = \tau_1 \sqcup \dots \sqcup \tau_k$, where $\tau_k \not\prec \dots \not\prec \tau_1$ are the connected components of τ . Then $\text{dil}_t(\tau) = \text{dil}_t(\tau_1) \sqcup \dots \sqcup \text{dil}_t(\tau_k) \in \mathcal{S}$, and $\text{dil}_t(\tau_k) \not\prec \dots \not\prec \text{dil}_t(\tau_1)$ are the connected components of $\text{dil}_t(\tau)$.*

Proof. By definition of the dilation map, we have $\text{dil}_t(u) \not\rightarrow \text{dil}_t(v)$ whenever $u \not\rightarrow v$, so we have that $\text{dil}_t(\tau_1) \not\rightarrow \cdots \not\rightarrow \text{dil}_t(\tau_k)$, and these are connected skew shapes by Lemma 7.11, so $\text{dil}_t(\tau) \in \mathcal{S}$, as desired. \square

LEMMA 7.13. *Let τ be a nonempty skew shape. Then $\Gamma_{\text{dil}_t(\tau)} = \{\text{dil}_t(u) \mid u \in \tau\}$, and $\text{dil}_t(\tau)$ is semicuspidal.*

Proof. By Lemma 7.12, we have that $\text{dil}_t(\tau)$ is a skew shape, and if u is an SE-removable node in u , then $\text{dil}_t(u)$ is an SE-removable hook in $\text{dil}_t(\tau)$ by Lemmas 7.9 and 7.10. Then $\text{dil}_t(\tau \setminus \{u\}) = \text{dil}_t(\tau) \setminus \{\text{dil}_t(u)\}$, and it follows by induction that $\{\text{dil}_t(u) \mid u \in \tau\}$ is a tiling of $\text{dil}_t(\tau)$. The fact that $\text{dil}_t(\tau)$ is semicuspidal follows by Lemma 7.1. \square

7.4. CONNECTED IMAGINARY SEMICUSPIDAL SKEW SHAPES.

PROPOSITION 7.14. *Let τ be a connected skew shape with $\text{cont}(\tau) = m\delta$. Then τ is semicuspidal if and only if there exists $t \in \mathbb{Z}_e$ and $\mu \in \mathcal{S}_c(m)$ such that $\tau = \text{dil}_t(\mu)$.*

Proof. The ‘if’ direction is supplied by Lemma 7.13 and Lemma 7.11(iii). We focus now on the ‘only if’ direction. By Lemma 7.1, we may assume $(\gamma_1, \dots, \gamma_m)$ is a cuspidal Kostant tableau for τ , where $\gamma_i = \zeta^{(\delta, c^i)}$ for some $c^i \in \mathcal{N}$. Write $\tau' := \tau \setminus \gamma_m$. If $\tau' \neq \emptyset$, then τ' is semicuspidal by Lemma 7.1.

We go by induction on m . The base case $m = 1$ is immediate, since then we have that $\tau = \gamma_1$ is cuspidal. Assume $m = 2$. Assume first, by way of contradiction, that $\text{res}(c^1) \neq \text{res}(c^2)$. By Lemma 2.16, there exist $(u, v) \in \text{Rem}_\tau \tau$ such that $\xi_{u,v}^\tau = \zeta^{(\delta, c^2)}$. Writing $\tau' := \tau \setminus \xi_{u,v}^\tau = \zeta^{(\delta, c^1)}$, we have some $(z, w) \in \text{Rem}_{\tau'} \tau'$ such that $\xi_{z,w}^{\tau'} = \zeta^{(\delta, c^1)}$. As τ is connected, we have that u, v, z, w are trivially in the same connected component of τ . As the two ribbons have the same cardinality, the nodes u, v, z, w must be arranged as in one of the following cases:

Case 1: $u \nearrow v \nearrow z \nearrow w$. As τ is connected, this implies that $z \in \{Ev, Nv\}$, and thus

$$\text{res}(c^2) = \text{res}(z) = \text{res}(v) + \bar{1} = \text{res}(\zeta_{\text{NE}}^{(\delta, c^1)}) + \bar{1} = (\text{res}(c^1) - \bar{1}) + \bar{1} = \text{res}(c^1),$$

a contradiction.

Case 2: $z \nearrow w \nearrow u \nearrow v$. As ν is connected, this implies that $u \in \{Ew, Nw\}$, which again forces $\text{res}(c^2) = \text{res}(c^1)$, a contradiction as in Case 1.

Case 3: $u \nearrow z \nearrow v \nearrow w$. Then by Lemma 2.17(iii), we have that $(SEz, w) \in \text{Rem}_\tau \nu$. Thus $\xi_{(SEz, w)}^\tau$ is an SE-removable hook in τ . Note that $\text{cont}(\xi_{(SEz, w)}^\tau) = \delta$, so $\xi_{(SEz, w)}^\tau$ is minimal in τ since γ_2 is minimal by Theorem 6.14. Thus $\xi_{(SEz, w)}^\tau$ is cuspidal by Lemma 5.11. We have $\text{res}(SEz) = \text{res}(z) = \text{res}(c^1)$. But then we must have by Proposition 5.9 that $\xi_{(SEz, w)}^\tau = \zeta^{(\delta, SEz)} = SE(\zeta^{(\delta, z)})$. But then

$$w = (\xi_{(SEz, w)}^\tau)_{\text{NE}} = (SE(\zeta^{(\delta, z)}))_{\text{NE}} = SEw,$$

a contradiction.

Case 4: $z \nearrow u \nearrow w \nearrow v$. Then by Lemma 2.17(iv), we have that $(z, SEw) \in \text{Rem}_\tau \nu$, so $\xi_{(z, SEw)}^\tau$ is an SE-removable hook in ν , which implies a contradiction along the same lines as Case 3.

Thus, in any case we derive a contradiction, so $\text{res}(c^1) = \text{res}(c^2) = t$ for some $t \in \mathbb{Z}_e$. Then we have by Lemma 7.11 that $\tau = \text{dil}_t(\mu)$ for some connected skew shape $\mu \in \mathcal{S}_c(2)$, and we are done.

Now let τ be a connected, cuspidal shape with content $m\delta$, where $m \geq 3$, and make the induction assumption on all $m' < m$. First assume that τ' is disconnected. Let μ be any connected component of τ' . Then $\mu = \sqcup_{i \in I} \gamma_i$ for some $I \subset [1, m - 1]$, and

$\mu \sqcup \gamma_m = \sqcup_{i \in I \cup \{m\}} \gamma_i$ is a connected semicuspidal skew shape by Lemma 7.1. Then by the induction assumption we have $\text{res}(c^i) = \text{res}(c^m)$ for all $i \in I$. Applying this argument to all connected components of τ' gives the result.

Now assume that τ' is connected. By the induction assumption we have $\text{res}(c^i) = \text{res}(c^{m-1})$ for all $i \in [1, m-1]$. If $\gamma_{m-1} \sqcup \gamma_m$ is disconnected, then $(\gamma_1, \dots, \gamma_{m-2}, \gamma_m, \gamma_{m-1})$ is a cuspidal Kostant tableau for τ . If $\tau \setminus \gamma_{m-1}$ is disconnected, then it follows that $\text{res}(c^i) = \text{res}(c^{m-1})$ for all $i \in [1, m]$, giving the result. If $\tau \setminus \gamma_{m-1}$ is connected, then by the induction assumption we have $\text{res}(c^m) = \text{res}(c^1) = \text{res}(c^{m-1})$, so $\text{res}(c^i) = \text{res}(c^m)$ for all $i \in I$.

Finally, assume $\gamma_{m-1} \sqcup \gamma_m$ is connected. By the case for $m = 2$ above, we have that $\text{res}(c^{m-1}) = \text{res}(c^m)$, so $\text{res}(c^i) = \text{res}(c^m)$ for all $i \in I$, completing the proof. \square

LEMMA 7.15. *Let $t_1, t_2 \in \mathbb{Z}_e$, $\mu, \nu \in \mathcal{S}_c$. Then $\text{dil}_{t_1}(\mu) \sim_e \text{dil}_{t_2}(\nu)$ if and only if $\mu \sim \nu$ and $t_1 = t_2$.*

Proof. First, note that if $t_1 = t_2 = t$, then by the definition of the dilation map, there exists $c \in \mathcal{N}$ such that $T_c(\mu) = \nu$ if and only if $T_{c_1 y^t + c_2 x^t}(\text{dil}_t(\mu)) = \text{dil}_t(\nu)$. The result follows. If $t_1 \neq t_2$, then we note that $\text{res}(\text{dil}_{t_1}(\mu)_{\text{SW}}) = t_1$, and $\text{res}(\text{dil}_{t_2}(\nu)_{\text{SW}}) = t_2$, so $\text{dil}_{t_1}(\mu) \not\sim_e \text{dil}_{t_2}(\nu)$, completing the proof. \square

7.5. ARBITRARY IMAGINARY SEMICUSPIDAL SKEW SHAPES. Similarity is an equivalence relation on \mathcal{S}_c . Let $\tilde{\mathcal{S}}_c \subset \mathcal{S}_c$ be a set of distinguished representatives from each similarity class. For $k \leq m \in \mathbb{N}$, we write

$$\mathcal{S}(k, m) := \{(\tau, \varepsilon) \mid \varepsilon \in \mathbb{Z}_e^k, \tau \in \tilde{\mathcal{S}}_c^k, |\tau_1| + \dots + |\tau_k| = m\};$$

$$\mathcal{S}(m) := \bigsqcup_{k \in \mathbb{N}} \mathcal{S}(k, m).$$

For each $(\tau, \varepsilon) \in \mathcal{S}(k, m)$, we choose a distinguished skew shape $\zeta^{(\tau, \varepsilon)} \in \mathcal{S}(m\delta)$ from the e -similarity class:

$$\zeta^{(\tau, \varepsilon)} \in [\text{dil}_{\varepsilon_1}(\tau_1), \dots, \text{dil}_{\varepsilon_k}(\tau_k)]_e.$$

LEMMA 7.16. *Let $m \in \mathbb{N}$. Then the set $\{\zeta^{(\tau, \varepsilon)} \mid (\tau, \varepsilon) \in \mathcal{S}(m)\}$ is a complete and irredundant set of semicuspidal skew shapes of content $m\delta$, up to e -similarity.*

Proof. Completeness of this set follows from Proposition 7.14 and Corollary 7.2. Irredundancy follows from Lemma 7.15. \square

EXAMPLE 7.17. Let $e = 2$ and recall the imaginary cuspidal ribbons $\zeta^{\bar{0}}, \zeta^{\bar{1}}$ from Example 5.10. In Figure 16, we give an example of an element $(\tau, \varepsilon) \in \mathcal{S}(3, 15)$, and display the corresponding dilated semicuspidal skew shape $\zeta^{(\tau, \varepsilon)} \in \mathcal{S}(15\delta)$.

7.6. MAIN THEOREMS, SEMICUSPIDAL VERSION.

THEOREM 7.18. *The set $\{\zeta^{m\beta} \mid m \in \mathbb{N}, \beta \in \Phi_+^{\text{re}}\} \cup \{\zeta^{(\tau, \varepsilon)} \mid m \in \mathbb{N}, (\tau, \varepsilon) \in \mathcal{S}(m)\}$ represents a complete and irredundant set of semicuspidal skew shapes, up to e -similarity.*

Proof. Follows from Corollary 7.4 and Lemma 7.16. \square

THEOREM 7.19. *Let τ be a nonempty skew shape. Then there exists a unique semicuspidal strict Kostant tiling Γ_τ^{sc} for τ given by $\Gamma_\tau^{\text{sc}} = \{\sqcup_{\gamma \in \Gamma_\tau \cap \mathcal{S}(\beta)} \gamma \mid \beta \in \Psi, \kappa_\beta^{\Gamma_\tau} > 0\}$, and $\kappa_\tau^{\Gamma_\tau^{\text{sc}}} = \kappa^{\Gamma_\tau}$.*

Proof. Let $\beta \in \Psi, \kappa_\beta^{\Gamma_\tau} > 0$. Then $\sqcup_{\gamma \in \Gamma_\tau \cap \mathcal{S}(\beta)} \gamma$ is a nonempty skew shape by Lemma 2.14, and is semicuspidal by Lemma 7.1. The fact that Γ_τ^{sc} is strict Kostant follows from the definition of Γ_τ^{sc} and the fact that Γ_τ is Kostant. For uniqueness, assume that Λ is any strict semicuspidal Kostant tiling of τ . Let $\lambda \in \Lambda$. By Lemma 7.1,

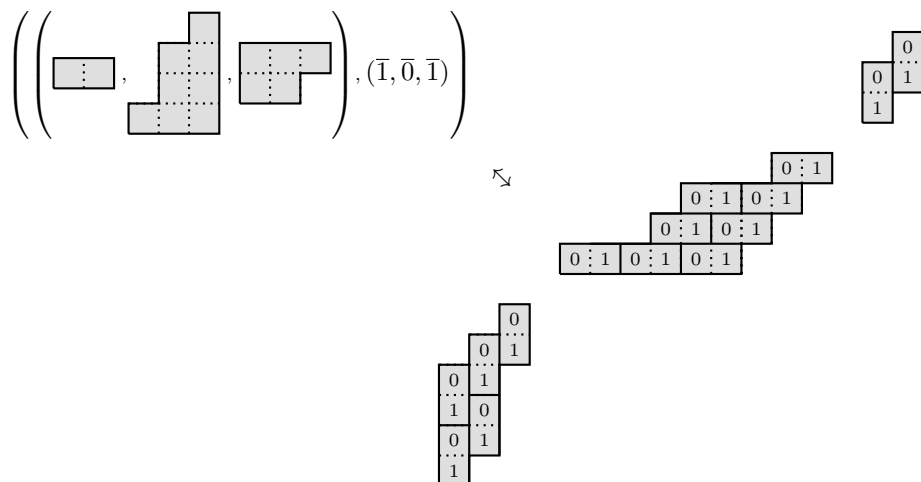


FIGURE 16. An element $(\tau, \varepsilon) \in \mathcal{S}(3, 15)$; corresponding semicuspidal shape $\zeta^{(\tau, \varepsilon)} \in \mathcal{S}(15\delta)$

every $\lambda \in \Lambda$ is tiled by cuspidal ribbons of content $\psi(\text{cont}(\lambda))$, so we may refine Λ to a cuspidal Kostant tiling of τ , which by Theorem 6.14 is equal to Γ_τ . By strictness of Λ , it follows then that every $\lambda \in \Lambda$ is a union of *all* $\gamma \in \Gamma_\tau$ of the same content, so $\Lambda = \Gamma_\tau^{sc}$, proving uniqueness. The final statement follows directly from the construction of Γ_τ^{sc} . \square

8. AN APPLICATION TO REPRESENTATION THEORY OF KLR ALGEBRAS

The combinatorial study of cuspidality and Kostant tilings for skew shapes in this paper is motivated by a connection to the theory of cuspidal systems and Specht modules over KLR algebras. We explain the connection in this section.

8.1. KLR ALGEBRAS. We continue with our choice of $e \in \mathbb{Z}_{>1}$, associated positive root system Φ_+ of type $A_{e-1}^{(1)}$, and convex preorder \succeq . We additionally fix an arbitrary ground field \mathbb{k} . For every element in the positive root lattice $\theta \in Q_+$, there is an associated \mathbb{Z} -graded \mathbb{k} -algebra R_θ , called a *KLR algebra*. This family of algebras categorifies the positive part of the quantum group $U_q(\widehat{\mathfrak{sl}}_e)$, see [13, 14, 24]. As we will focus on the combinatorics surrounding these algebras and not the specifics of, say, the presentation of R_θ , we refer the interested reader to the aforementioned papers for such details.

8.2. REPRESENTATION THEORY OF R_θ . We consider the category $R_\theta\text{-mod}$ of finitely generated \mathbb{Z} -graded R_θ -modules. We will use the \cong symbol to indicate a (degree-preserving) isomorphism of R_θ -modules, and \approx to indicate an isomorphism of R_θ -modules up to some grading shift. For $\theta_1, \dots, \theta_k \in Q_+$, there is an inclusion

$$R_{\theta_1, \dots, \theta_k} := R_{\theta_1} \otimes \cdots \otimes R_{\theta_k} \rightarrow R_{\theta_1 + \dots + \theta_k},$$

with accompanying induction and restriction functors

$$\begin{aligned} \text{Ind}_{\theta_1, \dots, \theta_k}^{\theta_1 + \dots + \theta_k} : R_{\theta_1, \dots, \theta_k}\text{-mod} &\rightarrow R_{\theta_1 + \dots + \theta_k}\text{-mod}, \\ \text{Res}_{\theta_1, \dots, \theta_k}^{\theta_1 + \dots + \theta_k} : R_{\theta_1 + \dots + \theta_k}\text{-mod} &\rightarrow R_{\theta_1, \dots, \theta_k}\text{-mod}, \end{aligned}$$

as defined, for instance, in [13].

8.3. CUSPIDAL SYSTEMS AND CLASSIFICATION OF SIMPLE R_θ -MODULES. Following [16, 18, 22, 26], for $m \in \mathbb{N}$, $\beta \in \Phi_+$, we say an $R_{m\beta}$ -module M is *semicuspidal* provided that for all $0 \neq \theta_1, \theta_2 \in Q_+$ with $\theta_1 + \theta_2 = m\beta$, we have $\text{Res}_{\theta_1, \theta_2}^{m\beta} M \neq 0$ only if θ_1 is a sum of positive roots $\preceq \beta$ and θ_2 is a sum of positive roots $\succeq \beta$. We say moreover that M is *cuspidal* if $m = 1$ and the comparisons above are strict. Cuspidal and semicuspidal modules are key building blocks in the representation theory of R_θ .

For $\theta \in Q_+$, we define a *root partition* $\pi = (\kappa, \lambda)$ as the data of a Kostant partition $\kappa = (\kappa_\beta)_{\beta \in \Psi} \in \Xi(\theta)$, together with an $(e - 1)$ -multipartition λ of κ_δ . We set $\Pi(\theta)$ to be the set of all root partitions of θ , and define the ‘forgetful’ map $\rho : \Pi(\theta) \rightarrow \Xi(\theta)$ via $\rho((\kappa, \lambda)) = \kappa$. The partial order \supseteq on $\Xi(\theta)$ induces a partial preorder on $\Pi(\theta)$ via ρ .

To each $\pi \in \Pi(\theta)$, one may associate a certain proper standard module $\Delta(\pi)$ which is an ordered induction product of simple semicuspidal modules, see for instance [18, (6.5)]. The module $\Delta(\pi)$ has a simple head $L(\pi)$, and $\{L(\pi) \mid \pi \in \Pi(\theta)\}$ is a complete and irredundant set of simple R_θ -modules up to isomorphism and grading shift, as explained in [16, 18, 22, 26].

8.4. SPECHT MODULES. It is shown in [5] that level ℓ cyclotomic quotients of KLR algebras are isomorphic to blocks of level ℓ cyclotomic Hecke algebras associated to complex reflection groups. Of particular interest is the case where e is prime and $\text{char } \mathbb{k} = e$; in this situation a level one quotient of $\bigoplus_{\text{ht}(\theta)=n} R_\theta$ is isomorphic to the symmetric group algebra $\mathbb{k}\mathfrak{S}_n$.

Along the lines of this connection, Kleshchev-Mathas-Ram describe in [17], for any ℓ -multipartition λ of multicharge \mathbf{c} and content θ , the presentation of an associated *Specht module* $S^\lambda \in R_\theta\text{-mod}$. Specht modules are cell modules in the cellular structure for cyclotomic quotients of R_θ defined in [8], and hence are key objects in the representation theory of these algebras. In [23], this construction was extended to define *skew Specht R_θ -modules* which are of primary interest in this section.

8.4.1. *Skew Specht modules.* Let $\tau \in \mathcal{S}(\theta)$. We define the (*row*) *skew Specht R_θ -module* S^τ using the presentation in [23, Definition 4.5]. We remark that, although the definition in that paper is given by considering τ as the set difference of Young diagrams $\lambda/\mu = \tau$, the presentation of the module depends only on the nodes in τ , and the choices of Young diagrams λ, μ that realize τ only serve to determine the overall grading shift of the module. As we are not invested in grading shifts in this paper, we will simply assume that the generating vector of [23, Definition 4.5] is placed in \mathbb{Z} -degree zero. We note then that $S^\tau \cong S^\mu$ whenever $\tau \sim_e \mu$.

REMARK 8.1. Every (higher level) skew Specht module $S^{\lambda/\mu}$ is isomorphic (up to grading shift) to some S^τ described herein. Indeed, one may associate λ/μ with a skew shape τ as in §4.3. The resultant modules S^τ and $S^{\lambda/\mu}$ are then isomorphic up to grading shift.

The specifics of the presentation of S^τ are not needed here, so we refer interested readers to [17, 23] for more information. Our investigation of these modules will rely primarily on the basic combinatorial tool provided in the next proposition, which follows from [23, Theorem 5.12]. Some details are included in [arXiv](#) version of the paper as explained in §1.4.

PROPOSITION 8.2. *Let $0 \neq \theta, \theta_1, \dots, \theta_k \in Q_+$, with $\theta = \theta_1 + \dots + \theta_k$. Let $\tau \in \mathcal{S}(\theta)$. Then $\text{Res}_{\theta_1, \dots, \theta_k}^\theta S^\tau \neq 0$ if and only if there exists a tableau (τ_1, \dots, τ_k) for τ such that $\tau_i \in \mathcal{S}(\theta_i)$ for all $i \in [1, k]$.*

8.5. CUSPIDAL SPECHT MODULES. Our Definition 5.1 of cuspidality for skew shapes is motivated by a connection with cuspidal Specht modules, as detailed in the following proposition.

PROPOSITION 8.3. *Let τ be a skew shape. Then the Specht module S^τ is cuspidal (resp. semicuspidal) if and only if the skew shape τ is cuspidal (resp. semicuspidal).*

Proof. We prove the statement for cuspidality; the semicuspidality statement is similar. Let $\beta \in \Phi_+$, and $\tau \in \mathcal{S}(\beta)$.

(\implies) Assume S^τ is cuspidal. Let (τ_1, τ_2) be a tableau for τ . Write $\theta_1 = \text{cont}(\tau_1)$, $\theta_2 = \text{cont}(\tau_2)$. Then $\text{Res}_{\theta_1, \theta_2}^\beta S^\tau \neq 0$ by Proposition 8.2. But then by cuspidality of S^τ , we have that θ_1 is a sum of positive roots $\prec \beta$, and θ_2 is a sum of positive roots $\succ \beta$. Thus τ is cuspidal by Definition 5.1.

(\impliedby) Assume τ is cuspidal. Let $0 \neq \theta_1, \theta_2 \in Q_+$ with $\theta_1 + \theta_2 = \beta$. If $\text{Res}_{\theta_1, \theta_2}^\beta S^\tau \neq 0$, then by Proposition 8.2 there must be a tableau (τ_1, τ_2) for τ with $\tau_1 \in \mathcal{S}(\theta_1)$ and $\tau_2 \in \mathcal{S}(\theta_2)$. By the cuspidality of τ , we have that θ_1 is a sum of positive roots $\prec \beta$, and θ_2 is a sum of positive roots $\succ \beta$. Thus S^τ is cuspidal. \square

In view of Proposition 8.3 and Remark 8.1, Theorems 6.13 and 7.18 give a full classification and construction (up to grading shift) of all cuspidal and semicuspidal skew Specht modules for the KLR algebra.

8.5.1. *Simple semicuspidal modules.* Using representation-theoretic results, it is established in [23, Proposition 8.5] that when \succeq is a ‘balanced’ convex preorder, every real simple cuspidal module is isomorphic to (a grading shift of) a certain ribbon Specht module. We extend this result to arbitrary convex preorders in the following proposition.

PROPOSITION 8.4. *Let $m \in \mathbb{N}$, $\beta \in \Phi_+^e$. Then S^{ζ^β} is the unique simple cuspidal R_β -module, and $S^{\zeta^{m\beta}}$ is the unique simple semicuspidal $R_{m\beta}$ -module, up to grading shift.*

Proof. By [18, Theorem 5.2], there is a unique simple cuspidal module $L(\beta)$. By Theorem 6.13, $\zeta^\beta \in \mathcal{S}(\beta)$ is cuspidal. By Proposition 8.3 then, S^{ζ^β} is cuspidal, so every simple factor of S^{ζ^β} must be cuspidal, and thus all are isomorphic to $L(\beta)$ up to some grading shifts. An extremal word argument, using [16, Lemma 2.28] as in the proof of [23, Lemma 8.3] shows that this factor may occur only once. Thus $S^{\zeta^\beta} \approx L(\beta)$.

Let $m \in \mathbb{N}$. By [18, Theorem 5.2] there is a unique simple semicuspidal $R_{m\beta}$ -module $L(\beta^m)$ up to grading shift. Moreover, we have $L(\beta^m) \cong \text{Ind}_{\beta, \dots, \beta}^{m\beta}(L(\beta)^{\boxtimes m})$. By Theorem 7.18, $\zeta^{m\beta}$ is semicuspidal, and consists of m connected components, each of which is e -similar to ζ^β . By [23, Theorem 5.15], we have then that $S^{\zeta^{m\beta}} \approx \text{Ind}_{\beta, \dots, \beta}^{m\beta}((S^{\zeta^\beta})^{\boxtimes m})$. Then it follows from the first paragraph that $S^{\zeta^\beta} \approx L(\beta^m)$, as desired. \square

8.6. SIMPLE FACTORS OF SKEW SPECHT MODULES. One of the central open problems in the representation theory of cyclotomic Hecke algebras, and by extension in the representation theory of KLR algebras, is the determination of the simple factors and decomposition numbers of Specht modules. The following theorem constitutes a tight upper bound (in the bilxicographic order on root partitions) for the simple factors of skew Specht modules.

THEOREM 8.5. *Let $\tau \in \mathcal{S}(\theta)$. Then the Specht module S^τ has a simple factor $L(\pi)$ with $\rho(\pi) = \kappa^\Gamma \tau$, and $\kappa^\Gamma \tau \succeq \rho(\mu)$ for all simple factors $L(\mu)$ of S^τ .*

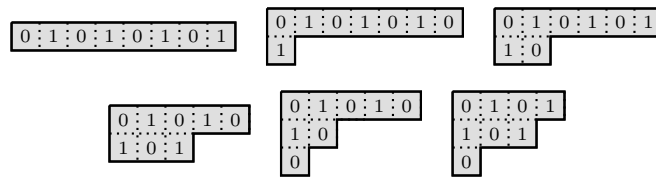


FIGURE 17. 2-regular Young diagrams (8) ; $(7, 1)$; $(6, 2)$; $(5, 3)$; $(5, 2, 1)$; $(4, 3, 1)$.

Proof. Assume $L(\mu)$ is a simple factor of S^τ . By [18, Theorem 6.8(v)], $\text{Res}_{\rho(\mu)} S^\tau \neq 0$. Then by Proposition 8.2, there exists a Kostant tiling Λ for τ with $\kappa^\Lambda = \rho(\mu)$. But then, by Theorem 6.14, $\kappa^{\Gamma_\tau} \supseteq \rho(\mu)$.

By [18, Theorem 6.8(v)], if $\kappa^{\Gamma_\tau} \supset \rho(\mu)$ for all simple factors $L(\mu)$ of S^τ , then we must have $\text{Res}_{\kappa^{\Gamma_\tau}} S^\tau = 0$. But Γ_τ^{sc} is a tiling with $\kappa^{\Gamma_\tau^{sc}} = \kappa^{\Gamma_\tau}$ by Theorem 7.19, so $\text{Res}_{\kappa^{\Gamma_\tau}} S^\tau \neq 0$. Therefore S^τ has some simple factor $L(\pi)$ with $\rho(\pi) = \kappa^{\Gamma_\tau}$. \square

8.7. RELATED QUESTIONS AND CONNECTIONS.

8.7.1. *Simple labels.* Simple R_θ -modules have two differing sets of labels, coming from the stratified structure of the full affine KLR algebra, or alternatively from the cellular structure of cyclotomic quotients of the KLR algebra. As noted in §8.3, simple modules may be labeled by $L(\pi)$ for $\pi \in \Pi(\theta)$ via the stratified structure of R_θ -mod. Alternatively, R_θ -modules have labels of the form D^λ , where λ is a Kleshchev multipartition, and D^λ is a simple factor in the associated Specht module S^λ , see [1, 8]. Theorem 8.5 gives a bound on simple factors of Specht modules in terms of root partitions, and is thus a rough step in the direction of understanding the connection between these approaches. We expect a much more delicate combinatorial process is required to match the Kleshchev multipartition λ with the root partition π which labels the same simple module.

8.7.2. *James' regularization theorem.* Readers may notice some resemblance of Theorem 8.5 to James' regularization theorem [10, Theorem A], so we include a brief remark comparing these results. To a Young diagram λ , James associates an e -regular Young diagram λ^R , shows that S^λ possesses simple factor D_{λ^R} with multiplicity one, and that all other simple factors D_μ in S^λ have labels μ which are greater than λ^R in the dominance order on Young diagrams. (We remark that the row Specht modules S^λ which are the focus of this paper are duals of James' column Specht modules S_λ (see [17]), but as the simples D_λ are self dual, we will freely use [10, 11] in considering simple factors of S^λ in this section).

Theorem 8.5 and regularization are related in spirit, but there is no clear connection between these results. Beyond the fact that both results work with differing sets of labels for simple modules, the bilxicographic order \supseteq (which depends on a choice of convex preorder) is in general unconnected to the dominance order on Young diagrams, and there is no multiplicity-one result implied in Theorem 8.5.

In situations where a correspondence between the differing labeling sets for simple modules can be understood (as alluded to in §8.7.1), the information supplied by Theorem 8.5 and regularization is generally distinct and complementary, as highlighted in the following example.

EXAMPLE 8.6. Take $e = 2$, $\text{char}(\mathbb{k}) = 2$. We consider some Specht modules associated to Young diagrams of size 8 and charge 0 in this example (which, as noted in §8.4 are modules over $\mathbb{k}\mathfrak{S}_8$). We have six 2-regular Young diagrams of size 8, as shown in Figure 17.

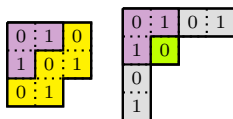


FIGURE 18. Young diagrams $(3^2, 2)$ and $(4, 2, 1^2)$, with cuspidal Kostant tilings displayed. The associated Kostant partitions are $(\delta + \alpha_1 \mid 2\delta + \alpha_0)$ and $(\delta + \alpha_1 \mid 2\delta \mid \alpha_0)$, respectively.

Taking the convex preorder \succeq as in Example 3.3, it is possible to directly compute:

$$\begin{aligned}
 D_{(8)} &\cong L((4\delta), (1^4)) & D_{(7,1)} &\cong L((\delta + \alpha_1 \mid 2\delta \mid \alpha_0), (1^2)) \\
 D_{(6,2)} &\cong L((\delta + \alpha_1 \mid \delta \mid \delta + \alpha_0), (1)) & D_{(5,3)} &\cong L((\delta + \alpha_1 \mid 2\delta \mid \alpha_0), (2)) \\
 D_{(5,2,1)} &\cong L((\delta + \alpha_1 \mid \delta \mid \alpha_0^3), (1)) & D_{(4,3,1)} &\cong L((\delta + \alpha_1 \mid 2\delta + \alpha_0), \emptyset),
 \end{aligned}$$

where D_λ is the simple socle of S^λ , and $L(\pi)$ is the simple module associated to the root partition π as described in §8.3. These comprise a complete list of simple factors which may arise in Specht modules associated to Young diagrams with charge 0 and size 8. We compare the implications of the regularization theorem and Theorem 8.5 on a pair of Specht modules in this setting:

- (1) By the regularization theorem, $S^{(3^2, 2)}$ must have simple factor $D_{(4,3,1)}$ with multiplicity one, and James' dominance criteria for other simple factors provides no further useful information, since all the other 2-regular Young diagrams dominate $(4, 3, 1)$. On the other hand, by Theorem 8.5, $S^{(3^2, 2)}$ must have a factor $L(\pi)$ with $\rho(\pi) = (\delta + \alpha_1 \mid 2\delta + \alpha_0)$ (see Figure 18). So $S^{(3^2, 2)}$ is again seen to have simple factor $L((\delta + \alpha_1 \mid 2\delta + \alpha_0), \emptyset) \cong D_{(4,3,1)}$ with nonzero multiplicity. But now the billexicographic dominance criteria on Kostant partitions for other simple factors implies that $L((4\delta), (1^4)) \cong D_{(8)}$ is the only other simple factor that can arise. Indeed, one may see in [11] that the simple factors of $S^{(3^2, 2)}$ are $D_{(4,3,1)}$ once and $D_{(8)}$ twice.
- (2) By the regularization theorem, $S^{(4, 2, 1^2)}$ is known to have simple factor $D_{(4,3,1)}$ with multiplicity one, and again James' dominance criteria provides no useful constraints on other factors. On the other hand, by Theorem 8.5, $S^{(4, 2, 1^2)}$ is known to have a factor $L(\pi)$ with $\rho(\pi) = (\delta + \alpha_1 \mid 2\delta \mid \alpha_0)$ (see Figure 18). Hence at least one of $L((\delta + \alpha_1 \mid 2\delta \mid \alpha_0), (1^2)) \cong D_{(7,1)}$ or $L((\delta + \alpha_1 \mid 2\delta \mid \alpha_0), (2)) \cong D_{(5,3)}$ is a factor of $S^{(4, 2, 1^2)}$ as well. One may see in [11] that the simple factors of $S^{(4, 2, 1^2)}$ are $D_{(4,3,1)}$, $D_{(5,3)}$ once, and $D_{(6,2)}$, $D_{(7,1)}$, $D_{(8)}$ twice.

8.7.3. *Simple imaginary semicuspidal modules.* In general, simple imaginary semicuspidal $R_{n\delta}$ -modules are not isomorphic to skew Specht modules, but do appear to arise as heads (or socles) of imaginary semicuspidal skew Specht modules. For a balanced convex preorder, evidence for this assertion appears in [6], which relates some semicuspidal $R_{n\delta}$ -modules to RoCK blocks of Hecke algebras via Morita equivalence. For arbitrary convex preorders, we expect a similar connection to hold with blocks which are Scopes equivalent to RoCK blocks.

8.7.4. *Other types.* Cuspidal modules for KLR algebras of all untwisted affine types were defined and studied in [16]. Specht modules, defined in the combinatorial setting of Young diagrams, albeit with a different treatment for residues, have been defined for the KLR algebra of affine type C in [2]. We expect that, with reasonable modifications,

versions of Theorems 6.13 and 7.18 should hold in this combinatorial setting, allowing for a presentation of cuspidal and semicuspidal modules via (skew) Specht modules in affine type C.

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