ALGEBRAIC COMBINATORICS

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Principal subspaces of basic modules for twisted affine Lie algebras, q-series multisums, and Nandi's identities

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ABSTRACT We provide an observation relating several known and conjectured q-series identities to the theory of principal subspaces of basic modules for twisted affine Lie algebras. We also state and prove two new families of q-series identities. The first family provides quadruple sum representations for Nandi's identities, including a manifestly positive representation for the first identity. The second is a family of new mod 10 identities connected with principal characters of integrable, level 4, highest-weight modules of $\mathrm{D}_4^{(3)}$.

1. INTRODUCTION

Principal subspaces of standard (i.e., highest-weight and integrable) modules for untwisted affine Lie algebras were introduced and studied by Feigin and Stoyanovsky [21, 42], and their study from a vertex-algebraic point of view has been developed by Calinescu, Capparelli, Lepowsky, and Milas [15, 16, 9, 10, 11], and many others. In particular, the graded dimensions of principal subspaces are interesting due to their connection to various partition identities and recursions they satisfy. The study of principal subspaces for standard modules of twisted affine Lie algebras was initiated by Calinescu, Lepowsky, and Milas [12], and further developed in works by Calinescu, Milas, Penn, and the fourth author [13, 35, 36, 14]. The multigraded dimensions for principal subspaces of basic (i.e., the standard module with highest weight Λ_0, see, for example, Carter's book [18, P. 508]) modules for twisted affine Lie algebras are well-known, and have been studied in several of those papers [13, 35, 36]. In particular, they take the form

$$
(1) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^d} \frac{q^{\frac{\mathbf{m}^t A[\nu] \mathbf{m}}{2}}}{(q^{\frac{k}{l_1}}; q^{\frac{k}{l_1}})_{m_1} \cdots (q^{\frac{k}{l_d}}; q^{\frac{k}{l_d}})_{m_d}} x_1^{m_1} \cdots x_d^{m_d}
$$

where $A[\nu]$ is a matrix obtained by "folding" a Cartan matrix A of type A, D, or E by a Dynkin Diagram automorphism ν of order k, and $l_1, \ldots, l_d$ are the sizes of the orbits of various simple roots (this folding is defined generally by Penn, Webb, and the fourth author [37]). The matrices $A[\nu]$ are symmetrized Cartan matrices of types B, C, F, G, and, in the case $\mathrm{A}_{2n}^{(2)}$, of the tadpole Dynkin diagram.

Another recently active field of research is finding and proving Rogers–Ramanujan-type (multi)sum-to-product identities corresponding mainly to the principal characters of various affine Lie algebras. Here, the principal characters refer to principally specialized characters divided by a certain factor depending on the affine Lie algebra in question. For more on this terminology, see the works of the second and third authors [26], [27] or Sills' textbook [40]. This use of "principal" is not to be confused with the "principal" subspaces mentioned above; these correspond to completely different notions. The second and third authors conjectured identities [25] regarding principal characters of standard modules with level 2 of $A_9^{(2)}$, which were later proved by Bringmann, Jennings-Shaffer, and Mahlburg [8] and Rosengren [38]; Takigiku and Tsuchioka [45] provided various results on levels 5 and 7 of $A_2^{(2)}$ and some conjectures on standard modules with level 2 of $A_{13}^{(2)}$; the authors [26] proved identities for all standard modules of $A_2^{(2)}$. Andrews, Schilling, and Warnaar [4], Corteel, Dousse, and Uncu [20], Warnaar [50], the second and third authors [27], and Tsuchioka [46] all provided conjectures and/or proved results on identities related to the standard modules of $A_2^{(1)}$. Finally, Griffin, Ono, and Warnaar [23] demonstrated many identities for (not necessarily principal) characters for a variety of affine Lie algebra modules. For an excellent overview of Rogers–Ramanujan-type identities, we refer the reader to the textbook of Sills [40].

This work grew out of the following observations: Calinescu, Milas, and Penn [13] studied the graded dimension of the principal subspace of the basic $A_{2n}^{(2)}$ module and showed that it is given by

$$(2) \qquad \sum_{\mathbf{m}\in(\mathbb{Z}_{\geqslant 0})^n} \frac{q^{\frac{\mathbf{m}^t T_n \mathbf{m}}{2}}}{(q;q)_{m_1}\cdots(q;q)_{m_n}}$$

where T_n is the Cartan matrix of the tadpole Dynkin diagram. Meanwhile, Calinescu, Penn, and the fourth author [14] conjectured that the graded dimension of the principal subspace of the standard $A_2^{(2)}$ module having the highest weight $n\Lambda_0$ is given by

$$(3) \qquad \sum_{\mathbf{m}\in(\mathbb{Z}_{\geqslant 0})^n} \frac{q^{\frac{\mathbf{m}^t 2T_n^{-1}\mathbf{m}}{2}}}{(q^2;q^2)_{m_1}\cdots(q^2;q^2)_{m_n}},$$

which is the sum side of Stembridge's variant of the Andrews–Gordon and Göllnitz–Gordon–Andrews identities [41] (see also [6] and [49] for more general sums of this form). This conjectured graded dimension has been proved by Takenaka [43]. Similarly, Penn and the fourth author [35] showed that the graded dimension of the basic $D_4^{(3)}$-module is given by

$$(4) \qquad \sum_{\mathbf{m}\in(\mathbb{Z}_{\geqslant 0})^2} \frac{q^{\frac{\mathbf{m}^t A[\nu]\mathbf{m}}{2}}}{(q^3;q^3)_{m_1}(q;q)_{m_2}},$$

where

$$(5) \qquad A[\nu] = \begin{bmatrix} 6 & -3 \\ -3 & 2 \end{bmatrix}.$$

Meanwhile, Penn, Webb, and the fourth author [37] constructed a principal subspace of a twisted module for a lattice vertex operator algebra whose graded dimension is

$$
(6) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^2} \frac{q^{\frac{\mathbf{m}^t 3A[\nu]^{-1} \mathbf{m}}{2}}}{(q;q)_{m_1} (q^3;q^3)_{m_2}},
$$

which is precisely the sum side of one of the mod 9 conjectures of the second and third authors [24] as found by Kurşungöz [30].

The present work is the result of a discussion at the AMS Fall Sectional Meeting at Binghamton University involving Alejandro Ginory, the second author, and the fourth author. Namely, generalizing the shapes of (2) and (3) (or (4) and (6)), do the sum sides of any other identities emerge when the matrix used in the exponent of the numerator is replaced by a multiple of its inverse and the q-Pochhammer symbols in the denominator are modified in some systematic way? In this work, we show that, for matrices A of type A, D, or E_6, we obtain sum-sides for certain q-series identities, and give several new identities. In particular, we replace $A[\nu]$ with a suitable multiple (large enough to clear fractional entries) of $A[\nu]^{-1}$ and manipulate the denominator of each sum of the form (1) in the following way: if the diagram automorphism has order $k = 2$ or $k = 3$, we replace instances of $(q;q)_n$ with $(q^k;q^k)_n$ and vice-versa. In all cases except when A is of type A_{2n-1} for $n \geqslant 2$ these identities come in pairs, producing two families of identities.

We emphasize that at present, we do not have a clear understanding of why this remarkable "duality" among the families of identities holds nor do we have an algebraic explanation for why manipulating the graded dimensions of these principal subspaces causes these identities to arise. It would surely be a worthy goal to understand representation-theoretic, number-theoretic, and combinatorial underpinnings of this phenomenon.

After experimentation by the first and fourth authors using Garvan's `qseries` Maple package [22], new identities were found using a matrix A of type $E_6^{(2)}$:

$$
(7) \qquad \sum_{i,j,k,\ell \geqslant 0} \frac{q^{4i^2 + 12ij + 8ik + 4i\ell + 12j^2 + 16jk + 8j\ell + 6k^2 + 6k\ell + 2\ell^2}}{(q^2;q^2)_i (q^2;q^2)_j (q;q)_k (q;q)_\ell} = \left(q^2, q^3, q^4, q^{10}, q^{11}, q^{12}; q^{14} \right)_\infty^{-1},
$$

$$
(8) \qquad \sum_{i,j,k,\ell \geqslant 0} \frac{q^{2i^2 + 6ij + 4ik + 2i\ell + 6j^2 + 8jk + 4j\ell + 3k^2 + 3k\ell + \ell^2}}{(q^2;q^2)_i (q^2;q^2)_j (q;q)_k (q;q)_\ell} = \left(q, q^2, q^4, q^6, q^8, q^9; q^{10} \right)_\infty^{-1}.
$$

Notably, the product side of (7) matches one of Nandi's identities. These were first conjectured by Nandi in his thesis [33] and later proved by Takigiku and Tsuchioka [44]. Remarkably, the (new) expression on the left side of (7) above is a manifestly positive quadruple sum. (The sums used in Takigiku and Tsuchoika's proof are double sums, but are not manifestly positive.) Nandi's identities are connected to principal characters of standard modules of $A_2^{(2)}$ of level 4 (and also level 2 of $A_{11}^{(2)}$).

In (8), the left side is again a manifestly positive quadruple sum. In fact, the exponent of q in the terms on the left side of (7) is exactly twice the exponent of q in the terms on the left side of (8). The product side here is connected to level 4 of $D_4^{(3)}$. The same relationship (of doubling the quadratic form) holds between Capparelli's identities [17, 25, 29] which reside at level 3 of $A_2^{(2)}$ and Kurşungöz's (multi)sum-to-product companions [30] to the conjectures of the second and third authors [24] related to level 3 of $D_4^{(3)}$.

Sections 5 and 6 are dedicated to proofs of these identities and others in their families. We now outline our proof strategy.

We begin by deducing x, q-relations (or, in the second case, x, y, q-relations) that sum sides equalling each respective product side are known to satisfy. After appropriately generalizing the quadruple sums, we then demonstrate relations that these generalized quadruple sums must satisfy. We finish our proofs by showing that that the desired relations follow from these known relations. In the case of the Nandi identities, this proof requires the use of a computer. This technique is similar to one that the second and third authors used in a previous paper [27] (see also the work of Chern [19]). The Maple code for the computations used in our research can be found on the journal's website under `https://doi.org/10.5802/alco.311`.

2. Notation and preliminaries

2.1. Partitions. We will write partitions in a weakly decreasing order. If $\lambda = \lambda_1 + \lambda_2 + \cdots + \lambda_j$ is a partition of n, we will say that the weight of λ is $|\lambda| = n$ and we will let $j = \ell(\lambda)$ be the length, or the number of parts, of λ. Each λ_i will be called a part of λ. Given a positive integer i, we denote by $m_i(\lambda)$ the multiplicity of i in λ. By a (contiguous) sub-partition of λ, we mean the subsequence $\lambda_s + \cdots + \lambda_t$ of λ. We say that λ satisfies the difference condition $[d_1, d_2, \cdots, d_{j-1}]$ if $\lambda_i - \lambda_{i+1} = d_i$ for all $1 \leqslant i \leqslant j - 1$.

Let $\mathcal{C}$ be any set of partitions. In the usual way, the two-variable generating function of $\mathcal{C}$ is defined as

$$(9) \qquad f_{\mathcal{C}}(x, q) = \sum_{\lambda \in \mathcal{C}} x^{\ell(\lambda)} q^{|\lambda|}.$$

Then, the q-generating function of $\mathcal{C}$ is simply $f_{\mathcal{C}}(1, q)$ (sometimes denoted just by $f_{\mathcal{C}}(q)$).

As usual, we will let $\mathbb{Z}[[x, q]]$ be the ring of power series in variables x, q with coefficients in $\mathbb{Z}$. However, note that all of our series will actually be in the sub-ring $\mathbb{Z}[x][[q]]$. We will require a subset $\mathcal{S} \subset \mathbb{Z}[[x, q]]$:

$$(10) \qquad \mathcal{S} = \{ f \in \mathbb{Z}[[x, q]] \mid f(0, q) = f(x, 0) = 1 \}.$$

2.2. q-Series. We shall use standard notation regarding q-series. All of our series are formal, and issues of analytic convergence are disregarded.

For $n \in \mathbb{Z}_{\geqslant 0} \cup \{\infty\}$ we define:

$$(11) \qquad (a; q)_n = \prod_{0 \leqslant t < n} (1 - aq^t).$$

We will simply write $(a)_n$ when the base q is understood. To compress notation, we will write

$$(12) \qquad (a_1, a_2, \ldots, a_r; q)_n = (a_1; q)_n (a_2; q)_n \cdots (a_r; q)_n.$$

We will also use modified theta functions:

$$(13) \qquad \theta(a; q) = (a; q)_\infty (q/a; q)_\infty,$$

and

$$(14) \qquad \theta(a_1, a_2, \ldots, a_r; q) = \theta(a_1; q)\theta(a_2; q) \cdots (a_r; q).$$

2.3. VERTEX-ALGEBRAIC BACKGROUND. We recall certain details relevant to this work from the vertex-algebraic constructions found in the works of Calinescu, Lepowsky, Milas, Penn, Webb, and the fourth author [12, 14, 35, 36, 37]. Suppose

$$L = \mathbb{Z}\alpha_1 \oplus \cdots \oplus \mathbb{Z}\alpha_D \tag{15}$$

is a rank D positive-definite even lattice, equipped with a nondegenerate $\mathbb{Z}$-bilinear form

$$\langle \cdot, \cdot \rangle : L \times L \to \mathbb{Z} \tag{16}$$

whose Gram matrix is either a Cartan matrix of type A, D, E or contains only nonnegative entries. Consider the complexification of L given by

$$\mathfrak{h} = L \otimes_{\mathbb{Z}} \mathbb{C}$$

to which we extend $\langle \cdot, \cdot \rangle$. Let $\lambda_1, \ldots, \lambda_D \in \mathfrak{h}$ be dual to the $\alpha_1, \ldots, \alpha_D$ such that

$$\langle \alpha_i, \lambda_j \rangle = \delta_{i,j}$$

for $1 \leqslant i, j \leqslant D$. Suppose that $\nu : L \to L$ is an automorphism of order k which permutes $\alpha_1, \ldots, \alpha_D$. Restricting the action of ν to the set $\{\alpha_1, \ldots, \alpha_D\}$, the ν-orbits partition the set $\{\alpha_1, \ldots, \alpha_D\}$. Let d be the number of distinct ν-orbits of the action of ν on $\{\alpha_1, \ldots, \alpha_D\}$ and fix some ordering of these orbits, labelling them orbits $1, 2, \ldots, d$. For each j satisfying $1 \leqslant j \leqslant d$, we choose an element from orbit j which we call α_{i_j}. For $1 \leqslant j \leqslant d$ we define

$$\beta_j = \frac{1}{k} \left(\alpha_{i_j} + \nu\alpha_{i_j} + \cdots + \nu^{k-1}\alpha_{i_j} \right)$$

and

$$\gamma_j = \frac{1}{k} \left(\lambda_{i_j} + \nu\lambda_{i_j} + \cdots + \nu^{k-1}\lambda_{i_j} \right).$$

We define the matrix $A[\nu]$ by

$$(A[\nu])_{i,j} = k\langle \beta_i, \beta_j \rangle$$

for $1 \leqslant i, j \leqslant d$. For later use, we also let ℓ_j denote the length of orbit j for $1 \leqslant j \leqslant d$.

Let V_L be the lattice vertex operator algebra constructed from L (cf. the text of Lepowsky and Li [32]). The automorphism ν can be extended to an automorphism $\hat{\nu}$ of V_L^T of order k or $2k$ (depending on L). Let V_L^T be its $\hat{\nu}$-twisted modules as constructed by Lepowsky [31] (cf. also the work of Calinescu, Lepowsky, and Milas [12]). Let W_L^T be the principal subspace of V_L^T. Calinescu, Lepowsky, and Milas [12] demonstrate that V_L^T and W_L^T are given compatible gradings by the operators $kL(0), \ell_1\gamma_1(0), \ldots, \ell_d\gamma_d(0)$ arising from the lattice construction of V_L and V_L^T. In particular, W_L^T is decomposed into finite-dimensional eigenspaces of these operators as

$$W_L^T = \coprod_{n \in \mathbb{Q}, m_1, \cdots, m_d \in \mathbb{N}} \left(W_L^T \right)_{(n, m_1, \ldots, m_d)} \tag{17}$$

We thus define

$$\chi_{W_L^T}(x_1, x_2, \ldots, x_d, q) = q^{-\mathrm{wt}1_T} \mathrm{Tr}|_{W_L^T} q^{kL(0)} x_1^{\ell_1\gamma_1(0)} \cdots x_d^{\ell_d\gamma_d(0)}$$

where 1_T is a vector of lowest conformal weight in V_L^T (see Section 3 of [37] for this notation) and $q^{-\mathrm{wt}1_T}$ is introduced to ensure that all powers of q are nonnegative integers. Following Penn, Webb, and the fourth author [35, 36, 37], we have that for $1 \leqslant i \leqslant d$:

$$\chi(x_1, \ldots, x_d, q) = \chi(x_1, \ldots, q^{\frac{k}{\ell_i}} x_i, \ldots, x_d, q) + x_i q^{k \frac{\langle \beta_i, \beta_i \rangle}{2}} \chi(x_1^{k\langle \beta_1, \beta_i \rangle}, \ldots, x_d^{k\langle \beta_d, \beta_i \rangle}, q) \tag{18}$$

which gives

$$(19) \qquad \chi(x_1, \ldots, x_d, q) = \sum_{\mathbf{m} \in \mathbb{N}^d} \frac{q^{\frac{\mathbf{m}^t A[\nu] \mathbf{m}}{2}}}{(q^{\frac{k}{\ell_1}}; q^{\frac{k}{\ell_1}}) \cdots (q^{\frac{k}{\ell_d}}; q^{\frac{k}{\ell_d}})} x_1^{m_1} \cdots x_d^{m_d}.$$

We call $\chi(x_1, \ldots, x_d, q)$ the *multigraded dimension* of W_L^T and call $\chi(1, \ldots, 1, q)$ simply the *graded dimension* of W_L^T. In this work we are primarily interested in the graded dimensions of W_L^T.

3. Warmups

3.1. The Gordon–Andrews and Göllnitz–Gordon–Andrews identities from $A_{2n}^{(2)}$.
Here, we begin with the multigraded dimension of the principal subspace of the basic $A_{2n}^{(2)}$ module found by Calinescu, Milas, and Penn [13]. In this case, the graded dimension is given by:

$$(20) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^n} \frac{q^{\frac{\mathbf{m}^t A[\nu] \mathbf{m}}{2}}}{(q; q)_{m_1} \cdots (q; q)_{m_n}}$$

where $A[\nu]$ is the Cartan matrix of the tadpole Dynkin diagram with rank n. We now manipulate this sum as follows:

- Replace $A[\nu]$ with $2A[\nu]^{-1}$.
- Replace each instance of $(q; q)_m$ with $(q^2; q^2)_m$.

We note that $A[\nu]_{i,j}^{-1} = \min(i, j)$. To recognize the resulting sum as belonging to a known identity, we use Euler's algorithm to convert it into a product (we use `prodmake` from Garvan's `qseries` package [22]). This product form helps us identify the following known identity (Corollary 1.5 (b) of Stembridge [41], see also [6] and Theorem 4.1 in [49]):

$$(21) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^n} \frac{q^{\frac{\mathbf{m}^t 2A[\nu]^{-1} \mathbf{m}}{2}}}{(q^2; q^2)_{m_1} \cdots (q^2; q^2)_{m_n}} = \frac{(-q; q^2)_\infty}{(q^2; q^2)_\infty} (q^{n+1}, q^{n+3}, q^{2n+4}; q^{2n+4})_\infty.$$

When n is even, the product-side of this identity is the same as in the corresponding Göllnitz–Gordon–Andrews identity. We note that

$$\frac{\mathbf{m}^t 2A[\nu]^{-1} \mathbf{m}}{2} = M_1^2 + M_2^2 + \cdots + M_n^2$$

where we take

$$(22) \qquad M_i = m_i + m_{i+1} + \cdots + m_n$$

for $1 \leqslant i \leqslant n$, so that we can rewrite this identity as in the next theorem.

THEOREM 3.1.

$$(23) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^n} \frac{q^{M_1^2 + M_2^2 + \cdots + M_n^2}}{(q^2; q^2)_{m_1} \cdots (q^2; q^2)_{m_n}} = \frac{(-q; q^2)_\infty}{(q^2; q^2)_\infty} (q^{n+1}, q^{n+3}, q^{2n+4}; q^{2n+4})_\infty.$$

The sum side of this equation is the graded dimension for the principal subspace of the level n $A_2^{(2)}$ vacuum module (see the work of Calinescu, Penn, and the fourth author [14] and of Takenaka [43]). Here, vacuum module refer to a standard module with highest weight $n\Lambda_0$.

Finally, we note that doubling the exponent of q in the numerator of the sum side yields one of the Andrews–Gordon identities, dilated by $q \mapsto q^2$:

THEOREM 3.2.

$$(24) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^n} \frac{q^{\frac{\mathbf{m}^t 4A[\nu]^{-1}\mathbf{m}}{2}}}{(q^2;q^2)_{m_1} \cdots (q^2;q^2)_{m_n}} = \frac{(q^{2n+2}, q^{2n+4}, q^{4n+6}; q^{4n+6})_\infty}{(q^2;q^2)_\infty}.$$

Varying the linear term in the exponent of q in the numerator of the left hand side of the equation yields the $q \mapsto q^2$ dilations of the remaining Andrews–Gordon identities [1].

For a very different circle of ideas that connects the affine Lie algebra $A_2^{(2)}$ with the Gordon–Andrews identities, see Griffin, Ono and Warnaar's article [23].

3.2. THE BRESSOUD IDENTITIES AND PARTNER IDENTITIES FROM $D_n^{(2)}$. Here, we examine the graded dimension of the principal subspace of the $D_n^{(2)}$ basic module where $n \geqslant 3$. The graded dimension of the principal subspace of the basic module for $D_n^{(2)}$ is given by [36]:

$$(25) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^{n-1}} \frac{q^{\frac{\mathbf{m}^t A[\nu]\mathbf{m}}{2}}}{(q^2;q^2)_{m_1} \cdots (q^2;q^2)_{m_{n-2}}(q;q)_{m_{n-1}}}$$

where $\frac{1}{2}A[\nu]$ is the Cartan matrix for the tadpole Dynkin diagram of rank $n-1$.

We now manipulate this sum as follows:

- Replace $A[\nu]$ with $2A[\nu]^{-1}$.
- Replace each instance of $(q^2;q^2)_m$ with $(q;q)_m$ and replace each instance of $(q;q)_m$ with $(q^2;q^2)_m$
- Dilate with $q \mapsto q^2$.

We note that $A[\nu]^{-1}_{i,j} = \frac{\min(i,j)}{2}$ for $1 \leqslant i,j \leqslant n-1$. In this case, our sum becomes:

$$(26) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^{n-1}} \frac{q^{\frac{\mathbf{m}^t 4A[\nu]^{-1}\mathbf{m}}{2}}}{(q^2;q^2)_{m_1} \cdots (q^2;q^2)_{m_{n-2}}(q^4;q^4)_{m_{n-1}}}$$

where

$$(27) \qquad \frac{\mathbf{m}^t 4A[\nu]^{-1}\mathbf{m}}{2} = M_1^2 + M_2^2 + \cdots + M_{n-1}^2$$

using the notation defined in (22) above. Using `prodmake` from Garvan's `qseries` package [22], we are able to recognize the following known identity; see equation (5.15) in [49].

THEOREM 3.3.

$$(28) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^{n-1}} \frac{q^{M_1^2 + M_2^2 + \cdots + M_{n-1}^2}}{(q^2;q^2)_{m_1} \cdots (q^2;q^2)_{m_{n-2}}(q^4;q^4)_{m_{n-1}}} = \frac{(q^n, q^{n+1}, q^{2n+1}; q^{2n+1})_\infty}{(q, q^3, q^4; q^4)_\infty}.$$

Finally, we note that if we modify the series (26) as follows:

- Replace $4A[\nu]^{-1}$ with $8A[\nu]^{-1}$
- Make the substitution $q \mapsto q^{1/2}$.

we obtain the sum side of one of Bressoud's mod $2n$ identities [7]:

$$(29) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^{n-1}} \frac{q^{\frac{\mathbf{m}^t 4A[\nu]^{-1}\mathbf{m}}{2}}}{(q;q)_{m_1} \cdots (q;q)_{m_{n-2}}(q^2;q^2)_{m_{n-1}}} = \frac{(q^n, q^n, q^{2n}; q^{2n})_\infty}{(q)_\infty}.$$

Of course, varying the linear terms in the exponent of q in the sum yields the remaining of Bressoud's   mod $2n$ identities.

3.3. Identities from $A_{2n-1}^{(2)}$. Here, we repeat and adapt the process described above for the graded dimension of the principal subspace of the $A_{2n-1}^{(2)}$ basic module. In particular, from [37] the graded dimension of the principal subspace of the basic $A_{2n-1}^{(2)}$-module is

$$\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^n} \frac{q^{\frac{\mathbf{m}^t A[\nu] \mathbf{m}}{2}}}{(q;q)_{m_1} \cdots (q;q)_{m_{n-1}} (q^2;q^2)_{m_n}}$$

where

$$A[\nu] = \begin{bmatrix} 2 & -1 & 0 & 0 & 0 & \ldots & \ldots & 0 \\ -1 & 2 & -1 & 0 & 0 & \ldots & \ldots & 0 \\ 0 & -1 & 2 & -1 & 0 & \ldots & \ldots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \ldots & \ldots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \ddots & \ldots & \ldots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & 2 & -1 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & -1 & 2 & -2 \\ \vdots & \vdots & \vdots & \vdots & \vdots & 0 & -2 & 4 \end{bmatrix}.$$

We now manipulate the sum as follows:

- Replace $A[\nu]$ with $4A[\nu]^{-1}$.
- Replace $(q^2;q^2)_m$ with $(q;q)_m$ and replace $(q;q)_m$ with $(q^2;q^2)_m$.
- Dilate $q \mapsto q^2$ in the entire sum to avoid non-integer powers of q when n is odd.

Here, we have that

$$4A[\nu]^{-1} = \begin{bmatrix} 4 & 4 & 4 & 4 & 4 & \ldots & \ldots & 2 \\ 4 & 8 & 8 & 8 & 8 & \ldots & \ldots & 4 \\ 4 & 8 & 12 & 12 & 12 & \ldots & \ldots & 6 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \ldots & \ldots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \ddots & \ldots & \ldots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & 4n-8 & 4n-8 & 2n-4 \\ \vdots & \vdots & \vdots & \vdots & \vdots & 4n-8 & 4n-4 & 2n-2 \\ 2 & 4 & 6 & 8 & \ldots & 2n-4 & 2n-2 & n \end{bmatrix}$$

i.e.

$$(30) \qquad (4A[\nu]^{-1})_{i,j} = \begin{cases} 4\min(i,j) & 1 \leqslant i < n, 1 \leqslant j < n \\ 2j & i = n, 1 \leqslant j < n \\ 2i & j = n, 1 \leqslant i < n \\ n & i = j = n. \end{cases}$$

Our new sum has the form:

$$(31) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^n} \frac{q^{\mathbf{m}^t 8 A[\nu]^{-1} \mathbf{m}/2}}{(q^4;q^4)_{m_1} \cdots (q^4;q^4)_{m_{n-1}} (q^2;q^2)_{m_n}}$$

Using the `qseries` package [22] we get:

$$(32) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^n} \frac{q^{\mathbf{m}^t 8 A[\nu]^{-1} \mathbf{m}/2}}{(q^4;q^4)_{m_1} \cdots (q^4;q^4)_{m_{n-1}} (q^2;q^2)_{m_n}} = \frac{(-q^n, -q^{n+2}, q^{2n+2}; q^{2n+2})_\infty}{(q^4;q^4)_\infty}.$$

We adopt the notation

$$(33) \qquad N_i = \begin{cases} 2m_i + 2m_{i+1} + \cdots + 2m_{n-1} + m_n & 1 \leqslant i \leqslant n-1 \\ m_n & i = n \end{cases}$$

so that the identity 32 can be rewritten as in the following Theorem.

THEOREM 3.4.

$$\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^n} \frac{q^{N_1^2 + N_2^2 + \cdots + N_n^2}}{(q^4;q^4)_{m_1} \cdots (q^4;q^4)_{m_{n-1}} (q^2;q^2)_{m_n}} = \frac{(-q^n, -q^{n+2}, q^{2n+2}; q^{2n+2})_\infty}{(q^4;q^4)_\infty}.$$

Proof. Following the suggestion of the anonymous referee, we show how it could be easily deduced from the results of [34] (our earlier proof relied on Bailey pairs and the results of [2]).

Due to the definition of the N_i, it is clear that they all have the same parity. Thus, we make two cases – when each N_i is even, we take $N_i = 2J_i$ and when each N_i is odd, we take $N_i = 2J_i + 1$. The sum then can be written as:

$$\sum_{J_1 \geqslant \cdots \geqslant J_n \geqslant 0} \frac{q^{4J_1^2 + \cdots + 4J_n^2}}{(q^4;q^4)_{J_1 - J_2} \cdots (q^4;q^4)_{J_{n-1} - J_n} (q^2;q^2)_{2J_n}}$$

$$(34) \qquad + q^n \sum_{J_1 \geqslant \cdots \geqslant J_n \geqslant 0} \frac{q^{4J_1^2 + \cdots + 4J_k^2 + 4J_1 + \cdots + 4J_n}}{(q^4;q^4)_{J_1 - J_2} \cdots (q^4;q^4)_{J_{n-1} - J_n} (q^2;q^2)_{2J_n + 1}}.$$

These sums can be obtained by taking $k \mapsto n + 1$ and $q \mapsto -q^2$ in equations (54) and (53), respectively, of [34]. Thus, (34) equals:

$$\frac{(q^4;q^8)_\infty (-q^{4n+2}, -q^{4n+6}, q^{8n+8}; q^{8n+8})}{(-q^2; -q^2)_\infty (q^2;q^4)_\infty} + q^n \frac{(q^4;q^8)_\infty (-q^2, -q^{8n+6}, q^{8n+8}; q^{8n+8})}{(-q^2; -q^2)_\infty (q^2;q^4)_\infty}$$

$$= \frac{(q^4;q^8)_\infty}{(-q^2; -q^2)_\infty (q^2;q^4)_\infty} \left(\sum_{a \in \mathbb{Z}} q^{(4n+4)a^2 + 2a} + q^n \sum_{a \in \mathbb{Z}} q^{(4n+4)a^2 - (4n+2)a} \right)$$

$$= \frac{1}{(q^4;q^4)_\infty} \sum_{a \in \frac{1}{2}\mathbb{Z}} q^{(4n+4)a^2 + 2a} = \frac{1}{(q^4;q^4)_\infty} \sum_{a \in \mathbb{Z}} q^{(n+1)a^2 + a}$$

$$= \frac{(-q^n, -q^{n+2}, q^{2n+2}; q^{2n+2})_\infty}{(q^4;q^4)_\infty},$$

where we have repeatedly used the Jacobi Triple Product identity ([3, Theorem 2.8]) to convert infinite products into sums over $\mathbb{Z}$. $\qquad \square$

We remark that the $n = 2$ case of the theorem above is one of Bressoud's modulus-6 identities with $q \mapsto q^2$, [7].

3.4. IDENTITIES FROM $D_4^{(3)}$.

This process can also be used to rediscover double sums for Capparelli's identities and the mod 9 conjectured identities of the second and third authors [24], in the form given by Kurşungöz [30].

Here, we repeat and adapt the process described above for the character of the principal subspace of the $D_4^{(3)}$ basic module.

The graded dimension of the principal subspace of the basic $D_4^{(3)}$-module is

$$(35) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^2} \frac{q^{\frac{\mathbf{m}^t A[\nu] \mathbf{m}}{2}}}{(q^3;q^3)_{m_1} (q;q)_{m_2}}$$

where

$$A[\nu] = \begin{bmatrix} 6 & -3 \\ -3 & 2 \end{bmatrix}.$$

We manipulate the sum as follows:

- Replace $A[\nu]$ with $3A[\nu]^{-1}$.
- Replace $(q^3; q^3)_m$ with $(q; q)_m$ and replace $(q; q)_m$ with $(q^3; q^3)_m$.

$$(36) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^4} \frac{q^{\frac{\mathbf{m}^t 3A[\nu]^{-1}\mathbf{m}}{2}}}{(q; q)_{m_1}(q^3; q^3)_{m_2}}$$

Here, we have that

$$3A[\nu]^{-1} = \begin{bmatrix} 2 & 3 \\ 3 & 6 \end{bmatrix}$$

and that

$$\frac{\mathbf{m}^t 3A[\nu]^{-1}\mathbf{m}}{2} = m_1^2 + 3m_1m_2 + 3m_2^2.$$

The sum under consideration is thus:

$$\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^2} \frac{q^{m_1^2 + 3m_1m_2 + 3m_2^2}}{(q; q)_{m_1}(q^3; q^3)_{m_2}},$$

which was originally shown by Kurşungöz [30] to be an analytic sum side for the identity I_1 of the second and third authors [24]. Varying the linear terms produces further conjectural results from those papers (see also the work of Hickerson as given by Konenkov [28], which in turn is inspired by the paper of Uncu and Zudilin [48]):

CONJECTURE 3.5.

$$\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^2} \frac{q^{m_1^2 + 3m_1m_2 + 3m_2^2}}{(q; q)_{m_1}(q^3; q^3)_{m_2}} = \frac{1}{\theta(q, q^3; q^9)},$$

$$\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^2} \frac{q^{m_1^2 + 3m_1m_2 + 3m_2^2 + m_1 + 3m_2}}{(q; q)_{m_1}(q^3; q^3)_{m_2}} = \frac{1}{\theta(q^2, q^3; q^9)},$$

$$\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^2} \frac{q^{m_1^2 + 3m_1m_2 + 3m_2^2 + 2m_1 + 3m_2}}{(q; q)_{m_1}(q^3; q^3)_{m_2}} = \frac{1}{\theta(q^3, q^4; q^9)}.$$

We note here that these identities are related to the principal characters of standard modules of level 3 for the twisted affine Lie algebra $D_4^{(3)}$. Recently, Tsuchioka has proved [47] that for each of the three conjectural identities above, the coefficient-wise inequality $\geqslant$ holds; the reverse inequality remains open. We also note that doubling the exponent of q in the numerator of the above analytic sum side (i.e. using $6A[\nu]^{-1}$ in place of $3A[\nu]^{-1}$) yields the following double sum version of Capparelli's identity, as deduced by the second and third authors [25] and independently by Kurşungöz [29]:

THEOREM 3.6.

$$\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^2} \frac{q^{2m_1^2 + 6m_1m_2 + 6m_2^2}}{(q; q)_{m_1}(q^3; q^3)_{m_2}} = \frac{1}{\theta(q^2, q^3; q^{12})}.$$

4. Identities from $\mathrm{E}_6^{(2)}$

Here, we repeat the process described above for the character of the principal subspace of the $\mathrm{E}_6^{(2)}$ basic module. In this case, we have

$$
A[\nu] = \begin{bmatrix} 2 & -1 & 0 & 0 \\ -1 & 2 & -2 & 0 \\ 0 & -2 & 4 & -2 \\ 0 & 0 & -2 & 4 \end{bmatrix}
$$

and the principal subspace of the basic $\mathrm{E}_6^{(2)}$-module has graded dimension given by:

$$
(37) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^4} \frac{q^{\frac{\mathbf{m}^t A[\nu] \mathbf{m}}{2}}}{(q;q)_{m_1}(q;q)_{m_2}(q^2;q^2)_{m_3}(q^2;q^2)_{m_4}}.
$$

We manipulate the sum as follows:

- Replace $A[\nu]$ with $2A[\nu]^{-1}$.
- Replace $(q^2;q^2)_m$ with $(q;q)_m$ and replace $(q;q)_m$ with $(q^2;q^2)_m$

which now gives us the sum:

$$
(38) \qquad \sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^4} \frac{q^{\frac{\mathbf{m}^t 2A[\nu]^{-1} \mathbf{m}}{2}}}{(q^2;q^2)_{m_1}(q^2;q^2)_{m_2}(q;q)_{m_3}(q;q)_{m_4}}.
$$

We have that

$$
2A[\nu]^{-1} = \begin{bmatrix} 4 & 6 & 4 & 2 \\ 6 & 12 & 8 & 4 \\ 4 & 8 & 6 & 3 \\ 2 & 4 & 3 & 2 \end{bmatrix}
$$

so that

$$
\frac{\mathbf{m}^t 2A[\nu]^{-1} \mathbf{m}}{2} = 2m_1^2 + 6m_1m_2 + 4m_1m_3 + 2m_1m_4 + 6m_2^2
$$
$$
+ 8m_2m_3 + 4m_2m_4 + 3m_3^2 + 3m_3m_4 + m_4^2.
$$

Thus the sum under consideration is:

$$
\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^4} \frac{q^{2m_1^2 + 6m_1m_2 + 4m_1m_3 + 2m_1m_4 + 6m_2^2 + 8m_2m_3 + 4m_2m_4 + 3m_3^2 + 3m_3m_4 + m_4^2}}{(q^2;q^2)_{m_1}(q^2;q^2)_{m_2}(q;q)_{m_3}(q;q)_{m_4}}.
$$

Using Garvan's package [22], we obtain the following identity, which we will subsequently prove:

$$
\sum_{\mathbf{m} \in (\mathbb{Z}_{\geqslant 0})^4} \frac{q^{2m_1^2 + 6m_1m_2 + 4m_1m_3 + 2m_1m_4 + 6m_2^2 + 8m_2m_3 + 4m_2m_4 + 3m_3^2 + 3m_3m_4 + m_4^2}}{(q^2;q^2)_{m_1}(q^2;q^2)_{m_2}(q;q)_{m_3}(q;q)_{m_4}}
$$
$$
= \frac{1}{\theta(q;q^5)\theta(q^2;q^{10})}.
$$

The product-side of this expression is the principal character of a standard $\mathrm{D}_4^{(3)}$-module at level 4. Adding appropriate linear terms to the exponent of q in the numerator of the analytic sum-side of the previous conjecture yields the conjectural identities related to the remaining standard modules of $\mathrm{D}_4^{(3)}$ with level 4. This family of identities along with proofs is presented in Section 6 below.

Additionally, doubling the the exponent of q used in the above analytic sum side, (i.e., using $4A[\nu]^{-1}$ in place of $2A[\nu]^{-1}$) yields the following conjecture, where the

product side is exactly that of one of Nandi's identities related to principal characters of $A_2^{(2)}$ at level 4 [33].

$$(39) \qquad \sum_{\mathbf{m} \in \left(\mathbb{Z}_{\geqslant 0}\right)^4} \frac{q^{4m_1^2 + 12m_1 m_2 + 8m_1 m_3 + 4m_1 m_4 + 12m_2^2 + 16m_2 m_3 + 8m_2 m_4 + 6m_3^2 + 6m_3 m_4 + 2m_4^2}}{(q^2; q^2)_{m_1} (q^2; q^2)_{m_2} (q; q)_{m_3} (q; q)_{m_4}}$$
$$= \frac{1}{\theta(q^2, q^3, q^4; q^{14})}.$$

5. Quadruple sums for Nandi's identities

In this section, we will state and prove the quadruple sum representations for Nandi's identities, including (39).

5.1. The identities. Nandi conjectured the following partition identities in his thesis [33]. These identities were then proved by Takigiku and Tsuchioka [44]. We now recall these identities.

Let $\mathcal{N}$ be the set of partitions λ that satisfy both the following conditions:
  (1) No sub-partition of λ satisfies the difference conditions $[1], [0, 0], [0, 2], [2, 0]$ or $[0, 3]$.
  (2) No sub-partition with an odd weight satisfies the difference conditions $[3, 0], [0, 4], [4, 0]$ or $[3, 2*, 3, 0]$ (where $2*$ indicates zero or more occurrences of 2).

We further define the following sets:

$$(40) \qquad \mathcal{N}_1 = \{\lambda \in \mathcal{N} \,|\, m_1(\lambda) = 0\},$$

$$(41) \qquad \mathcal{N}_2 = \{\lambda \in \mathcal{N} \,|\, m_1(\lambda), m_2(\lambda), m_3(\lambda) \leqslant 1\},$$

$$(42) \qquad \mathcal{N}_3 = \left\{ \lambda \in \mathcal{N} \,\left|\, \begin{array}{c} m_1(\lambda) = m_3(\lambda) = 0, m_2(\lambda) \leqslant 1, \\ \lambda \text{ has no subpartition of the form} \\ (2k+3) \,+\, 2k + (2k-2) + \cdots + 4 + 2 \text{ with } k \geqslant 1 \end{array} \right. \right\}.$$

Using this notation, we can state the following theorem.

Theorem 5.1 (Conjectured by Nandi [33], proved by Takigiku and Tsuchioka [44]). *For any $n \geqslant 0$, we have the following three identities.*
  (1) *The number of partitions of n belonging to $\mathcal{N}_1$ is the same as the number of partitions of n into parts congruent to ± 2, ± 3 or ± 4 modulo 14.*
  (2) *The number of partitions of n belonging to $\mathcal{N}_2$ is the same as the number of partitions of n into parts congruent to ± 1, ± 4 or ± 6 modulo 14.*
  (3) *The number of partitions of n belonging to $\mathcal{N}_3$ is the same as the number of partitions of n into parts congruent to ± 2, ± 5 or ± 6 modulo 14.*

5.2. Difference equations. Takigiku and Tsuchioka's remarkable proof of these identities [44] relies on a certain system of difference equations satisfied by the generating functions of $\mathcal{N}_1$, $\mathcal{N}_2$ and $\mathcal{N}_3$.

Consider the following system:

$$(43) \qquad \begin{bmatrix} F_0(x,q) \\ F_1(x,q) \\ F_2(x,q) \\ F_3(x,q) \\ F_4(x,q) \\ F_5(x,q) \\ F_7(x,q) \end{bmatrix} = \begin{bmatrix} 1 & xq^2 & x^2q^4 & xq & x^2q^2 & 0 & 0 \\ 0 & xq^2 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & xq^2 & 0 & xq & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & xq^2 & 0 & 1 \\ 1 & xq^2 & x^2q^4 & xq & 0 & 0 & 0 \\ 1 & xq^2 & x^2q^4 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} F_0(xq^2,q) \\ F_1(xq^2,q) \\ F_2(xq^2,q) \\ F_3(xq^2,q) \\ F_4(xq^2,q) \\ F_5(xq^2,q) \\ F_7(xq^2,q) \end{bmatrix}$$

where each $F_i(x, q) \in \mathbb{Z}[[x, q]]$ for $i = 0, \ldots, 5$ or $i = 7$ is a generating function of certain set of partitions, say $\mathcal{C}_i$. Then, Takigiku and Tsuchioka prove that the generating functions $f_{\mathcal{N}_i}(x, q)$ with $i = 1, 2, 3$ satisfy this system if we take:

$$\tag{44} F_7(x, q) = f_{\mathcal{N}_1}(x, q),$$

$$\tag{45} F_3(x, q) = f_{\mathcal{N}_2}(x, q),$$

$$\tag{46} F_4(x, q) = f_{\mathcal{N}_3}(x, q).$$

From here, we may use the modified Murray–Miller algorithm to obtain an x, q-difference equation satisfied by each of the F_is with $i = 0, \ldots, 5$ or $i = 7$. We shall follow Takigiku and Tsuchioka's exposition of this algorithm [44]; see also the expositions of Andrews [3, Ch. 8] and Chern [19] for more examples. In each case, it is easy to see that the resulting difference equation has a unique solution in the set $\mathcal{S}$ (see (10)).

PROPOSITION 5.2. *The power series* $F_1(x, q)$ *is the unique solution in* $\mathcal{S}$ *of:*

$$
\begin{aligned}
0 = {}& F_1(x, q) + (-q^5 x - q^4 x - q^2 x - 1) F_1(xq^2, q) \\
& + q^3 x (q^8 x + q^6 x + q^2 + q - 1) F_1(xq^4, q) \\
& + x^2 q^8 (q^8 x + q^6 x - q^3 + q - 1) F_1(xq^6, q) \\
& - q^{16} x^3 (q^{11} x + q^9 x + q^8 x - q^3 - q - 1) F_1(xq^8, q) \\
\tag{47} & + x^3 q^{19} (q^{18} x^2 - q^{10} x - q^8 x + 1) F_1(xq^{10}, q).
\end{aligned}
$$

The power series $F_5(x, q)$ *is the unique solution in* $\mathcal{S}$ *of:*

$$
\begin{aligned}
0 = {}& F_5(x, q) + (-q^4 x - q^3 x - q^2 x - 1) F_5(xq^2, q) \\
& + xq(q^8 x + q^7 x + q^6 x - q^3 x + q^3 + q^2 - 1) F_5(xq^4, q) \\
& - x^2 q^4 (q^{11} x - q^8 x - q^7 x - q^6 x + q^5 - q^3 + 1) F_5(xq^6, q) \\
& - q^{11} x^3 (q^{10} x + q^9 x + q^8 x - q^2 - q - 1) F_5(xq^8, q) \\
\tag{48} & + q^{13} x^3 (q^{18} x^2 - q^{10} x - q^8 x + 1) F_5(xq^{10}, q).
\end{aligned}
$$

The power series $F_7 = f_{\mathcal{N}_1}$ *is the unique solution in* $\mathcal{S}$ *of:*

$$
\begin{aligned}
0 = {}& F_7(x, q) + (-q^4 x - q^3 x - q^2 x - 1) F_7(xq^2, q) \\
& + (q^5 x + q^4 x + q^3 x - x + 1) q^4 x F_7(xq^4, q) \\
& - x^2 q^6 (q^9 x - q^6 x - q^5 x - q^4 x + 1) F_7(xq^6, q) \\
& - x^3 q^{13} (q^8 x + q^7 x + q^6 x - q^2 - q - 1) F_7(xq^8, q) \\
\tag{49} & + x^3 q^{17} (q^{14} x^2 - q^8 x - q^6 x + 1) F_7(xq^{10}, q).
\end{aligned}
$$

(*For this last equation, see also equation (C.1) of Takigiku and Tsuchioka* [44].)

Once unique solutions to $F_1, F_5, F_7 \in \mathcal{S}$ have been found, F_0, F_2, F_3, F_4 are uniquely determined due to the system (43) as follows.

PROPOSITION 5.3. *We have:*

$$\tag{50} F_2(x, q) = F_7(xq^2, q),$$

$$\tag{51} F_3(x, q) = F_1(x, q) + F_5(x, q) - F_7(x, q),$$

$$\tag{52} F_0(x, q) = F_7(xq^{-2}, q) - x F_1(x, q) - x^2 F_2(x, q),$$

$$\tag{53} F_4(x, q) = x^{-2} q^2 F_0(xq^{-2}, q) - x^{-2} q^2 F_5(xq^{-2}, q).$$

Proof. (51) follows by comparing the recurrences for $F_1(x,q)$, $F_5(x,q)$ and $F_7(x,q)$; (52) follows by solving the recurrence for $F_7(x,q)$ in (43); and (53) by comparing the recurrences for $F_0(x,q)$ and $F_5(x,q)$. $\qquad\square$

5.3. Proofs of our sum sides. To enable us to deduce x,q-recurrences, we modify the quadruple sum in (7) by inserting in the variable x, along with including linear terms in the exponent of q. To this end, we define:

(54)
$$S_{A,B,C,D}(x,q)$$
$$= \sum_{i,j,k,\ell \geqslant 0} \frac{x^{2i+3j+2k+\ell}q^{4i^2+12ij+8ik+4i\ell+12j^2+16jk+8j\ell+6k^2+6k\ell+2\ell^2+Ai+Bj+Ck+D\ell}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}.$$

We will typically suppress the x and q arguments when they are clear.

Our main theorem of this section is the following.

THEOREM 5.4. *We have:*

(55) $$F_1(x,q) = S_{2,2,1,0}(x,q),$$

(56) $$F_5(x,q) = S_{0,-2,-2,-1}(x,q),$$

(57) $$F_7(x,q) = S_{0,0,0,0}(x,q).$$

The rest of this section is devoted to the proof of this theorem. We begin by deducing certain fundamental relations satisfied by $S_{A,B,C,D}$. We clearly have:

(58) $$S_{A,B,C,D}(xq,q) = S_{A+2,B+3,C+2,D+1}(x,q).$$

Additionally, we have:

(59) $\widehat{n_1}(A,B,C,D):$ $\quad S_{A,B,C,D} - S_{A+2,B,C,D} - x^2 q^{4+A} S_{A+8,B+12,C+8,D+4} \quad = 0,$

(60) $n_2(A,B,C,D):$ $\quad S_{A,B,C,D} - S_{A,B+2,C,D} - x^3 q^{12+B} S_{A+12,B+24,C+16,D+8} = 0,$

(61) $\widehat{n_3}(A,B,C,D):$ $\quad S_{A,B,C,D} - S_{A,B,C+1,D} - x^2 q^{6+C} S_{A+8,B+16,C+12,D+6} \quad = 0,$

(62) $\widehat{n_4}(A,B,C,D):$ $\quad S_{A,B,C,D} - S_{A,B,C,D+1} - x q^{2+D} S_{A+4,B+8,C+6,D+4} \quad = 0.$

(compare with (18)). We will be modifying $\widehat{n_1},\widehat{n_3},\widehat{n_4}$ shortly to our final relations n_1,n_3,n_4, respectively. To prove (59):

$$S_{A,B,C,D} - S_{A+2,B,C,D}$$
$$= \sum_{i,j,k,\ell\geqslant 0} \frac{\left(1-q^{2i}\right)}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$
$$\times x^{2i+3j+2k+\ell}q^{4i^2+12ij+8ik+4i\ell+12j^2+16jk+8j\ell+6k^2+6k\ell+2\ell^2+Ai+Bj+Ck+D\ell}$$
$$= \sum_{i,j,k,\ell\geqslant 0} \frac{x^{2i+3j+2k+\ell}q^{4i^2+12ij+8ik+4i\ell+12j^2+16jk+8j\ell+6k^2+6k\ell+2\ell^2+Ai+Bj+Ck+D\ell}}{(q^2;q^2)_{i-1} \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$
$$= \sum_{\mathbf{i},j,k,\ell\geqslant 0} \frac{x^{2(\mathbf{i}+1)+3j+2k+\ell}}{(q^2;q^2)_{\mathbf{i}} \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$
$$\times q^{4(\mathbf{i}+1)^2+12(\mathbf{i}+1)j+8(\mathbf{i}+1)k+4(\mathbf{i}+1)\ell+12j^2+16jk+8j\ell+6k^2+6k\ell+2\ell^2+A(\mathbf{i}+1)+Bj+Ck+D\ell}$$
$$= x^2 q^{4+A} \sum_{\mathbf{i},j,k,\ell\geqslant 0} \frac{x^{2\mathbf{i}+3j+2k+\ell}}{(q^2;q^2)_{\mathbf{i}} \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$
$$\times q^{4\mathbf{i}^2+12\mathbf{i}j+8\mathbf{i}k+4\mathbf{i}\ell+12j^2+16jk+8j\ell+6k^2+6k\ell+2\ell^2+(A+8)\mathbf{i}+(B+12)j+(C+8)k+(D+4)\ell}$$

$$= x^2 q^{4+A} S_{A+8,B+12,C+8,D+4}$$

Note the reindexing $\mathbf{i} = i + 1$ in the middle of the above calculation. Proofs for the other three fundamental relations are similar, involving multiplication by $\left(1 - q^{2j}\right)$, $\left(1 - q^{k}\right)$, and $\left(1 - q^{\ell}\right)$, respectively.

Because of the structure of what we will actually need to prove, we will prefer to work with modified versions of relations $\widehat{n_1}$, $\widehat{n_3}$ and $\widehat{n_4}$. To modify $\widehat{n_1}(A, B, C, D)$, we combine three copies of $\widehat{n_1}$ in the following way:

$$\widehat{n_1}(A, B, C, D) + \widehat{n_1}(A + 2, B, C, D) - x^2 q^{6+A} \widehat{n_1}(A + 8, B + 12, C + 8, D + 4)$$

$$\begin{aligned}
&= S_{A,B,C,D} - S_{A+2,B,C,D} - x^2 q^{4+A} S_{A+8,B+12,C+8,D+4} \\
&\quad + S_{A+2,B,C,D} - S_{A+4,B,C,D} - x^2 q^{6+A} S_{A+10,B+12,C+8,D+4} \\
&\quad - x^2 q^{6+A} \left(S_{A+8,B+12,C+8,D+4} - S_{A+10,B+12,C+8,D+4} \right. \\
&\qquad\qquad \left. - x^2 q^{12+A} S_{A+16,B+24,C+16,D+8} \right) \\
&= S_{A,B,C,D} - x^2 q^{4+A} S_{A+8,B+12,C+8,D+4} - S_{A+4,B,C,D} \\
&\quad - x^2 q^{6+A} S_{A+8,B+12,C+8,D+4} + x^4 q^{18+2A} S_{A+16,B+24,C+16,D+8} \\
&= 0.
\end{aligned}$$

We call this final relation $n_1(A, B, C, D)$. Similarly, to find our $n_3(A, B, C, D)$ relation, we combine

$$\widehat{n_3}(A, B, C, D) + \widehat{n_3}(A, B, C + 1, D) - x^2 q^{7+C} \widehat{n_3}(A + 8, B + 16, C + 12, D + 6),$$

and to find our $n_4(A, B, C, D)$ relation, we combine

$$\widehat{n_4}(A, B, C, D) + \widehat{n_4}(A, B, C, D + 1) - xq^{3+D} \widehat{n_4}(A + 4, B + 8, C + 6, D + 4).$$

We record the final list of relations in the following proposition.

PROPOSITION 5.5. *The objects $S_{A,B,C,D}$ satisfy the following set of relations.*

$$n_1(A, B, C, D):$$

$$S_{A,B,C,D} - x^2 q^{4+A}(1 + q^2) S_{A+8,B+12,C+8,D+4} - S_{A+4,B,C,D}$$

(63) $$\qquad + x^4 q^{18+2A} S_{A+16,B+24,C+16,D+8} = 0,$$

$$n_2(A, B, C, D):$$

(64) $$\qquad S_{A,B,C,D} - S_{A,B+2,C,D} - x^3 q^{12+B} S_{A+12,B+24,C+16,D+8} = 0,$$

$$n_3(A, B, C, D):$$

$$S_{A,B,C,D} - x^2 q^{6+C} S_{A+8,B+16,C+12,D+6} - S_{A,B,C+2,D}$$

(65) $$\qquad - x^2 q^{7+C} S_{A+8,B+16,C+12,D+6} + x^4 q^{25+2C} S_{A+16,B+32,C+24,D+12} = 0,$$

$$n_4(A, B, C, D):$$

$$S_{A,B,C,D} - xq^{2+D} S_{A+4,B+8,C+6,D+4} - S_{A,B,C,D+2}$$

(66) $$\qquad - xq^{3+D} S_{A+4,B+8,C+6,D+4} + x^2 q^{9+2D} S_{A+8,B+16,C+12,D+8} = 0.$$

We now prove Theorem 5.4.

Proof of Theorem 5.4. The main idea is to show that in each of the equations (57), (55) and (56), both sides are the (unique) solutions in $\mathcal{S}$ to the corresponding difference equations given in Proposition 5.2.

It is clear that $S_{0,0,0,0}(x, q)$, $S_{2,2,1,0}(x, q)$, $S_{0,-2,-2,-1}(x, q)$ belong to $\mathcal{S}$.

Establishing the recurrence (47) for $S_{2,2,1,0}(x, q)$ amounts to proving:

$$0 = S_{2,2,1,0} + (-q^5 x - q^4 x - q^2 x - 1) S_{6,8,5,2}$$

$$+ q^3x(q^8x + q^6x + q^2 + q - 1)S_{10,14,9,4}$$
$$+ x^2q^8(q^8x + q^6x - q^3 + q - 1)S_{14,20,13,6}$$
$$- q^{16}x^3(q^{11}x + q^9x + q^8x - q^3 - q - 1)S_{18,26,17,8}$$
$$\text{(67)} \qquad + x^3q^{19}(q^{18}x^2 - q^{10}x - q^8x + 1)S_{22,32,21,10}.$$

The file `F1.txt` provides this relation as a (huge!) linear combination of the fundamental relations in Proposition 5.5.

Establishing the recurrence (48) for $S_{0,-2,-2,-1}(x,q)$ amounts to proving:

$$0 = S_{0,-2,-2,-1} + (-q^4x - q^3x - q^2x - 1)S_{4,4,2,1}$$
$$+ xq(q^8x + q^7x + q^6x - q^3x + q^3 + q^2 - 1)S_{8,10,6,3}$$
$$- x^2q^4(q^{11}x - q^8x - q^7x - q^6x + q^5 - q^3 + 1)S_{12,16,10,5}$$
$$- q^{11}x^3(q^{10}x + q^9x + q^8x - q^2 - q - 1)S_{16,22,14,7}$$
$$\text{(68)} \qquad + q^{13}x^3(q^{18}x^2 - q^{10}x - q^8x + 1)S_{20,28,18,9}.$$

The file `F5.txt` provides this relation as a linear combination of the fundamental relations in Proposition 5.5.

Using (58), establishing the recurrence (49) for $S_{0,0,0,0}(x,q)$ amounts to proving:

$$0 = S_{0,0,0,0} + (-q^4x - q^3x - q^2x - 1)S_{4,6,4,2}$$
$$+ q^4x(q^5x + q^4x + q^3x - x + 1)S_{8,12,8,4}$$
$$- x^2q^6(q^9x - q^6x - q^5x - q^4x + 1)S_{12,18,12,6}$$
$$- x^3q^{13}(q^8x + q^7x + q^6x - q^2 - q - 1)S_{16,24,16,8}$$
$$\text{(69)} \qquad + x^3q^{17}(q^{14}x^2 - q^8x - q^6x + 1)S_{20,30,20,10}.$$

The file `F7.txt` provides this relation as a linear combination of the fundamental relations in Proposition 5.5.

In each case above, the linear combinations are very large and it is impossible to check them by hand. One may simply import the files above in a computer algebra system, systematically replace all symbols n_1, n_2, n_3, n_4 by the corresponding relations, simplify the answer and finally check that the required relations are obtained. This can be implemented very easily and we provide the required programs. See Appendix B for details. □

Now that Theorem 5.4 is proved, we may use Proposition 5.3 to finally arrive at sum sides for all of Nandi's identities.

THEOREM 5.6. *We have:*

$$\text{(70)} \qquad f_{\mathcal{N}_1}(x,q) = F_7(x,q) = S_{0,0,0,0}(x,q),$$
$$\text{(71)} \qquad f_{\mathcal{N}_2}(x,q) = F_3(x,q) = S_{0,-2,-2,-1}(x,q) - S_{0,0,0,0}(x,q) + S_{2,2,1,0}(x,q),$$

$$f_{\mathcal{N}_3}(x,q) = F_4(x,q) = \frac{q^2}{x^2}S_{-8,-12,-8,-4}(x,q) - \frac{1}{x}S_{-2,-4,-3,-2}(x,q)$$
$$\text{(72)} \qquad\qquad - \frac{1}{q^2}S_{0,0,0,0}(x,q) - \frac{q^2}{x^2}S_{-4,-8,-6,-3}(x,q).$$

Setting $x \mapsto 1$ and using the truth of Nandi's identities [44], *we obtain:*

$$\sum_{i,j,k,\ell \geqslant 0} \frac{q^{4i^2 + 12ij + 8ik + 4i\ell + 12j^2 + 16jk + 8j\ell + 6k^2 + 6k\ell + 2\ell^2}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$

$$(73) \qquad = \frac{1}{\theta(q^2, q^3, q^4; q^{14})},$$

$$\sum_{i,j,k,\ell \geqslant 0} \frac{q^{4i^2+12ij+8ik+4i\ell+12j^2+16jk+8j\ell+6k^2+6k\ell+2\ell^2}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell} \left(q^{2i+2j+k} + q^{-2j-2k-\ell} - 1 \right)$$

$$(74) \qquad = \frac{1}{\theta(q, q^4, q^6; q^{14})},$$

$$\sum_{i,j,k,\ell \geqslant 0} \frac{q^{4i^2+12ij+8ik+4i\ell+12j^2+16jk+8j\ell+6k^2+6k\ell+2\ell^2}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$

$$\times \left(q^{-8i-12j-8k-4\ell+2} - q^{-2i-4j-3k-2\ell} - q^{-4i-8j-6k-3\ell+2} - q^{-2} \right)$$

$$(75) \qquad = \frac{1}{\theta(q^2, q^5, q^6; q^{14})}.$$

5.4. CONCLUDING REMARKS. Following Takigiku and Tsuchioka [44], it can be easily confirmed that $F_1(x, q)$ and $F_5(x, q)$ are generating functions of the following subsets of $\mathcal{N}$:

$$(76) \qquad \mathcal{N}_{F_1} = \{ \lambda \in \mathcal{N} \, | \, m_1(\lambda) = 0, m_2(\lambda) \leqslant 1, m_3(\lambda) \leqslant 1 \} = \{ \lambda \in \mathcal{N} \, | \, \lambda + 0 \in \mathcal{N} \},$$

$$(77) \qquad \mathcal{N}_{F_5} = \{ \lambda \in \mathcal{N} \, | \, m_1(\lambda) \leqslant 1 \} = \{ \lambda \in \mathcal{N} \, | \, \lambda + (-2) \in \mathcal{N} \}.$$

Theorem 5.4 implies that $F_1(x, q)$, $F_5(x, q)$, and $F_7(x, q)$ can be written as single manifestly positive quadruple sums. It will be interesting to find a combinatorial reason behind this phenomenon: to know what makes $\mathcal{N}_{F_1}, \mathcal{N}_{F_5}$, and $\mathcal{N}_1$ special. As a further avenue of research, writing $S_{0,0,0,0}(x, q) = \sum_{i,j,k,\ell \geqslant 0} B_{i,j,k,\ell}(x, q)$, we suggest studying

$$(78) \qquad B_{i,j,k,\ell}(x, q) = \frac{x^{2i+3j+2k+\ell} q^{4i^2+12ij+8ik+4i\ell+12j^2+16jk+8j\ell+6k^2+6k\ell+2\ell^2}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$

to deduce which partitions belonging to $\mathcal{N}_1$ are counted by each term in the quadruple sum.

6. NEW MOD 10 IDENTITIES

Varying the linear terms in the exponent of q from (8), we have the following list of identities where the products are related to the principal characters standard (i.e., highest-weight, integrable) $\mathrm{D}_4^{(3)}$ modules of level 4. For notation regarding $\mathrm{D}_4^{(3)}$, see Carter's book [18, P. 608]. For more information on principal characters and computational techniques for them, see the work of Bos [5].

$$\sum_{i,j,k,\ell \geqslant 0} \frac{q^{2i^2+6ij+4ik+2i\ell+6j^2+8jk+4j\ell+3k^2+3k\ell+\ell^2}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$

$$(79) \qquad = \frac{1}{\theta(q, q^2, q^4; q^{10})} = \chi(\Omega(2\Lambda_0 + \Lambda_1)),$$

$$\sum_{i,j,k,\ell \geqslant 0} \frac{q^{2i^2+6ij+4ik+2i\ell+6j^2+8jk+4j\ell+3k^2+3k\ell+\ell^2+2j+k+\ell}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$

$$(80) \qquad = \frac{1}{\theta(q^2, q^2, q^3; q^{10})} = \chi(\Omega(2\Lambda_1)),$$

$$\sum_{i,j,k,\ell \geqslant 0} \frac{q^{2i^2+6ij+4ik+2i\ell+6j^2+8jk+4j\ell+3k^2+3k\ell+\ell^2+2i+2j+2k}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$

$$(81) \qquad = \frac{1}{\theta(q, q^4, q^4; q^{10})} = \chi(\Omega(\Lambda_0 + \Lambda_2)),$$

$$\sum_{i,j,k,\ell \geqslant 0} \frac{q^{2i^2+6ij+4ik+2i\ell+6j^2+8jk+4j\ell+3k^2+3k\ell+\ell^2+2i+4j+3k+\ell}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}$$

$$(82) \qquad = \frac{1}{\theta(q^2, q^3, q^4; q^{10})} = \chi(\Omega(4\Lambda_0)).$$

Recall that identities for principal characters of standard modules of $D_4^{(3)}$ with level 3 were previously conjectured by the second and third authors [24], and analytic forms of these conjectures were found by Kurşungöz [30]. See also the thesis of the third author [39] for further related identities.

We will devote the remainder of this section to a proof of these conjectures.

As in the previous section, we begin by introducing refinements of the quadruple sums, along with arbitrary linear terms in the exponent of q. However, this time, we use two variables, x and y.

(83)

$$R_{A,B,C,D}(x,y,q)$$
$$= \sum_{i,j,k,\ell \geqslant 0} \frac{x^{2j+k+\ell} y^{i+j+k} q^{2i^2+6ij+4ik+2i\ell+6j^2+8jk+4j\ell+3k^2+3k\ell+\ell^2+Ai+Bj+Ck+D\ell}}{(q^2;q^2)_i \, (q^2;q^2)_j \, (q;q)_k \, (q;q)_\ell}.$$

As usual, we will drop the arguments x, y, q when they are clear.

We have the following shifts of $R_{A,B,C,D}$:

$$(84) \qquad\qquad R_{A,B,C,D}(xq, y, q) = R_{A,B+2,C+1,D+1}(x,y,q),$$

$$(85) \qquad\qquad R_{A,B,C,D}(x, yq, q) = R_{A+1,B+1,C+1,D}(x,y,q).$$

The fundamental relations governing these sums are deduced easily.

PROPOSITION 6.1.

(86) $m_1(A,B,C,D):$ $\quad R_{A,B,C,D} - R_{A+2,B,C,D} - yq^{2+A}R_{A+4,B+6,C+4,D+2} \quad = 0,$

(87) $m_2(A,B,C,D):$ $\quad R_{A,B,C,D} - R_{A,B+2,C,D} - x^2yq^{6+B}R_{A+6,B+12,C+8,D+4} = 0,$

(88) $m_3(A,B,C,D):$ $\quad R_{A,B,C,D} - R_{A,B,C+1,D} - xyq^{3+C}R_{A+4,B+8,C+6,D+3} \quad = 0,$

(89) $m_4(A,B,C,D):$ $\quad R_{A,B,C,D} - R_{A,B,C,D+1} - xq^{1+D}R_{A+2,B+4,C+3,D+2} \quad = 0.$

THEOREM 6.2. *The series $R_{0,0,0,0}$ is the unique solution in $\mathbb{Z}[[x,y,q]]$ satisfying the following:*

$$(90) \qquad F(x,y,q) = F(xq, y, q) + xqF\left(xq^2, y, q\right),$$

$$(91) \qquad F(x,y,0) = 1, \quad F(x,0,q) = \sum_{\ell \geqslant 0} \frac{q^{\ell^2} x^\ell}{(q)_\ell}, \quad F(0,y,q) = \sum_{i \geqslant 0} \frac{q^{2i^2} y^i}{(q^2;q^2)_i}.$$

Proof. $R_{0,0,0,0}$ is seen to satisfy (91) easily. Due to the shifts (84) and (85), showing that $R_{0,0,0,0}$ satisfies (90) is equivalent to showing:

$$(92) \qquad\qquad R_{0,0,0,0} - R_{0,2,1,1} - xqR_{0,4,2,2} = 0.$$

This relation can be obtained as a linear combination of the fundamental relations (86)–(89):

$$-m_1(-2,0,0,0) + m_1(-2,0,0,1) - xq \cdot m_1(0,4,2,2)$$
$$+ xq \cdot m_1(0,4,3,2) + m_2(0,0,0,1) + m_3(0,2,0,1)$$

(93) $$- xq \cdot m_3(2,4,2,2) + m_4(-2,0,0,0) - y \cdot m_4(2,6,4,2).$$

Now we prove the uniqueness. Suppose that $F(x,y,q) = \sum_{i,j,k \geqslant 0} f_{i,j,k} x^i y^j q^k$ is a solution to this system. Note that for all $i,j,k \geqslant 0$, $f_{0,j,k}$, $f_{i,0,k}$ and $f_{i,j,0}$ are uniquely determined due to the initial conditions (91). For convenience, we define $f_{i,j,k} = 0$ whenever any one or more of i,j,k are negative. (90) translates to:

(94) $$f_{i,j,k} = f_{i,j,k-i} + f_{i-1,j,k-2i+1}.$$

Now we induct on $N = i + k$ and show that all $f_{i,j,k}$ are uniquely determined. The case $N = 0$ follows easily since $f_{0,j,0}$ are uniquely known due to (91). Suppose that for all triples (i,j,k) with $i+k < N$, the values $f_{i,j,k}$ are determined. Pick a triple (I,J,K) such that $I + K = N$. If $I = 0$, we know $f_{0,J,N}$ by (91). So, suppose that $I \geqslant 1$. Then, the RHS of (94) involves two terms:

(1) $f_{I,J,K-I}$ for which $I + (K-I) = K < N$, (since $K + I = N$ and $I \geqslant 1$)
(2) $f_{I-1,J,K-2I+1}$ for which $(I-1) + (K-2I+1) = K - I < N$ (since $K+I = N$ and $I \geqslant 1$).

This means that the RHS of (94) has been determined already, and this determines the LHS uniquely. $\qquad\square$

THEOREM 6.3. *Identities* (79)–(82) *are true.*

Proof. It is easy to see using chapter 7 of Andrews' text [3] that the series

(95) $$\left(\sum_{i \geqslant 0} \frac{x^i q^{i^2}}{(q)_i} \right) \left(\sum_{j \geqslant 0} \frac{y^j q^{2j^2}}{(q^2;q^2)_j} \right)$$

satisfies (90) and (91). Thus, due to uniqueness, we have:

(96) $$R_{0,0,0,0}(x,y,q) = \left(\sum_{i \geqslant 0} \frac{x^i q^{i^2}}{(q)_i} \right) \left(\sum_{j \geqslant 0} \frac{y^j q^{2j^2}}{(q^2;q^2)_j} \right).$$

By the Rogers–Ramanujan identities [3, Ch. 7], we now have:

(97) $$R_{0,0,0,0}(1,1,q) = \frac{1}{\theta(q;q^5)\theta(q^2;q^{10})},$$

(98) $$R_{0,0,0,0}(q,1,q) = \frac{1}{\theta(q^2;q^5)\theta(q^2;q^{10})},$$

(99) $$R_{0,0,0,0}(1,q^2,q) = \frac{1}{\theta(q;q^5)\theta(q^4;q^{10})},$$

(100) $$R_{0,0,0,0}(q,q^2,q) = \frac{1}{\theta(q^2;q^5)\theta(q^4;q^{10})}.$$

This immediately proves (79)–(82). $\qquad\square$

APPENDIX A. MODIFIED MURRAY–MILLER ALGORITHM

We follow the pseudo-code given by Takigiku and Tsuchioka [44] for the modified Murray–Miller algorithm. Our Maple program `murraymiller.mw` is used as follows.

We begin by importing the Linear Algebra package, and then loading our Maple program.

```
with(LinearAlgebra);
read('murraymiller.txt');
```

This file provides the recurrence matrix of (43):

```
tt;
```

which equals:

$$\begin{bmatrix} 1 & xq^2 & x^2q^4 & xq & x^2q^2 & 0 & 0 \\ 0 & xq^2 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & xq^2 & 0 & xq & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & xq^2 & 0 & 1 \\ 1 & xq^2 & x^2q^4 & xq & 0 & 0 & 0 \\ 1 & xq^2 & x^2q^4 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Recall that the indices of this matrix are $0, 1, \ldots, 5, 7$. The latter procedures assume that we are trying to find a higher order difference equation satisfied by the function corresponding to the first index. So, to find a recurrence satisfied by F_7, we first exchange the indices 0 and 7 by exchanging the rows and columns.

```
ttF7:=ColumnOperation(RowOperation(tt,[1,7]),[1,7]);
```

This gives us:

$$\texttt{ttF7} := \begin{bmatrix} 0 & xq^2 & x^2q^4 & 0 & 0 & 0 & 1 \\ 0 & xq^2 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & xq^2 & 0 & xq & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & xq^2 & 0 & 0 \\ 0 & xq^2 & x^2q^4 & xq & 0 & 0 & 1 \\ 0 & xq^2 & x^2q^4 & xq & x^2q^2 & 0 & 1 \end{bmatrix}$$

Now we put this matrix in "standard form":

```
mmttF7:=murraymiller(ttF7,2);
```

Here the second argument 2 corresponds to the shift $x \mapsto xq^2$. The output is:

$$\texttt{mmttF7} := \left[5, \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ x^2 & x+1 & 1 & 0 & 0 & 0 & 0 \\ -\frac{x^2(x-1)}{q^2} & \frac{x}{q} & q^{-1} & 1 & 0 & 0 & 0 \\ -\frac{x^2}{q^3} & -\frac{x(q^2-x)}{q^4} & -\frac{q^2-x}{q^4} & -\frac{q^2-x}{q^3} & 1 & 0 & 0 \\ -\frac{x^3}{q^7} & 0 & 0 & 0 & \frac{x}{q^4} & 0 & 0 \\ 0 & 1 & 0 & \frac{q}{x-1} & -\frac{q^3}{x(x-1)} & 0 & 0 \\ 0 & 1 & 0 & \frac{q}{x-1} & -\frac{q^3}{x-1} & 0 & 0 \end{bmatrix}\right]$$

Here, the second entry in the output is the recurrence matrix put into a standard form, and the first entry 5 denotes that the first 5×5 block is to be used to find the recurrence. We thus use:

```
mmrec(mmttF7[2][1..5,1..5],2,g);
```

Here, again the first argument is the relevant portion of the matrix in the standard form, the second argument is the shift $x \mapsto xq^2$, and the third argument is the dummy variable to be used in the recurrence. The output is:

$$\frac{x^3\left(xq^2 - q^2 - x^2 + x\right)g\left(xq^2\right)}{q^9} - \frac{x^3\left(q^4 + q^3 - xq^2 + q^2 - xq - x\right)g\left(x\right)}{q^{13}}$$
$$+ \frac{x^2\left(q^5x + q^4 - xq^2 - xq - x\right)}{q^{14}}g\left(\frac{x}{q^2}\right) - \frac{x\left(q^8 + q^5x + xq^4 + xq^3 - x\right)}{q^{12}}g\left(\frac{x}{q^4}\right)$$
$$+ \frac{q^6 + xq^2 + xq + x}{q^6}g\left(\frac{x}{q^6}\right) - g\left(\frac{x}{q^8}\right)$$

This is equivalent to (49) upon shifting $x \mapsto xq^8$.

We repeat for F_1:

```
ttF1:=ColumnOperation(RowOperation(tt,[1,2]),[1,2]);
mmttF1:=murraymiller(ttF1,2);
mmrec(mmttF1[2][1..6,1..6],2,g);
```

The output is:

$$\frac{x^3 \left(xq^2 - q^2 - x^2 + x\right) g\left(x\right)}{q^{13}} - \frac{x^3 \left(q^5 - xq^3 + q^3 + q^2 - xq - x\right)}{q^{16}} g\left(\frac{x}{q^2}\right)$$
$$+ \frac{x^2 \left(q^7 - q^5 + q^4 - xq^2 - x\right)}{q^{16}} g\left(\frac{x}{q^4}\right) - \frac{x \left(q^6 + q^5 - q^4 + xq^2 + x\right)}{q^{11}} g\left(\frac{x}{q^6}\right)$$
$$+ \frac{q^8 + xq^3 + xq^2 + x}{q^8} g\left(\frac{x}{q^8}\right) - g\left(\frac{x}{q^{10}}\right)$$

which is equivalent to (47) under $x \mapsto xq^{10}$.

For F_5:

```
ttF5:=ColumnOperation(RowOperation(tt,[1,6]),[1,6]);
mmttF5:=murraymiller(ttF5,2);
mmrec(mmttF5[2][1..6,1..6],2,g);
```

The output is:

$$\frac{x^3 \left(xq^2 - q^2 - x^2 + x\right) g\left(x\right)}{q^{19}} - \frac{x^3 \left(q^4 + q^3 - xq^2 + q^2 - xq - x\right)}{q^{21}} g\left(\frac{x}{q^2}\right)$$
$$+ \frac{\left(q^9 - q^7 + xq^5 + q^4 - xq^2 - xq - x\right) x^2}{q^{20}} g\left(\frac{x}{q^4}\right)$$
$$- \frac{x \left(q^{10} + q^9 - q^7 + xq^5 + xq^4 + xq^3 - x\right)}{q^{16}} g\left(\frac{x}{q^6}\right)$$
$$+ \frac{q^8 + xq^2 + xq + x}{q^8} g\left(\frac{x}{q^8}\right) - g\left(\frac{x}{q^{10}}\right)$$

which is equivalent to (48) under $x \mapsto xq^{10}$.

Appendix B. Proof verification

We explain the Maple program `checknandi.mw` that verifies (67)–(69) as explicit linear combinations of fundamental relations (63)–(66).

We begin by defining (63)–(66):

```
N1 := (A,B,C,D) -> S(A,B,C,D)
                   -x^2*q^(4+A)*(1+q^2)*S(A+8,B+12,C+8,D+4)
                   -S(A+4,B,C,D)
                   +x^4*q^(18+2*A)*S(A+16,B+24,C+16,D+8):

N2 := (A,B,C,D) -> S(A,B,C,D)
                   -S(A,B+2,C,D)
                   -x^3*q^(12+B)*S(A+12,B+24,C+16,D+8):

N3 := (A,B,C,D) -> S(A,B,C,D)
                   -x^2*q^(6+C)*S(A+8,B+16,C+12,D+6)
                   -S(A,B,C+2,D)
                   -x^2*q^(7+C)*S(A+8,B+16,C+12,D+6)
```

```
                     +x^4*q^(25+2*C)*S(A+16,B+32,C+24,D+12):

   N4 := (A,B,C,D) -> S(A,B,C,D)
                     -x*q^(2+D)*S(A+4,B+8,C+6,D+4)-S(A,B,C,D+2)
                     -x*q^(3+D)*S(A+4,B+8,C+6,D+4)
                     +x^2*q^(9+2*D)*S(A+8,B+16,C+12,D+8):
```

We now read the file that contains a linear combination of (63)–(66) which when
expanded is supposed to yield (67).

```
   F1rel := parse(FileTools[Text][ReadFile]("F1.txt")):
```

Simply simplifying this entire expression takes too long. Thus, we collect all like S
terms together and simplify the coefficients.

```
   collect(F1rel, S, simplify);
```

Naturally, most coefficients are 0 and get dropped from the expression. The output
is:

```
   -x^3*q^16*(q^11*x+q^9*x+q^8*x-q^3-q-1)*S(18, 26, 17, 8)
   +x*q^3*(q^8*x+q^6*x+q^2+q-1)*S(10, 14, 9, 4)
   +x^3*q^19*(q^18*x^2-q^10*x-q^8*x+1)*S(22, 32, 21, 10)
   +S(2, 2, 1, 0)+(-q^5*x-q^4*x-q^2*x-1)*S(6, 8, 5, 2)
   +x^2*q^8*(q^8*x+q^6*x-q^3+q-1)*S(14, 20, 13, 6)
```

which is exactly (67). We repeat the process for (68):

```
   F5rel := parse(FileTools[Text][ReadFile]("F5.txt")):
   collect(F5rel, S, simplify);
```

and the answer matches (68):

```
   S(0, -2, -2, -1)
   -x^2*q^4*(q^11*x-q^8*x-q^7*x-q^6*x+q^5-q^3+1)*S(12, 16, 10, 5)
   -(q^10*x+q^9*x+q^8*x-q^2-q-1)*q^11*x^3*S(16, 22, 14, 7)
   +(q^8*x+q^7*x+q^6*x-q^3*x+q^3+q^2-1)*q*x*S(8, 10, 6, 3)
   +(q^18*x^2-q^10*x-q^8*x+1)*q^13*x^3*S(20, 28, 18, 9)
   +(-q^4*x-q^3*x-q^2*x-1)*S(4, 4, 2, 1)
```

For (69):

```
   F7rel := parse(FileTools[Text][ReadFile]("F7.txt")):
   collect(F7rel, S, simplify);
```

and the answer matches (69):

```
   S(0, 0, 0, 0)
   +(q^5*x+q^4*x+q^3*x-x+1)*q^4*x*S(8, 12, 8, 4)
   +x^3*q^17*(q^14*x^2-q^8*x-q^6*x+1)*S(20, 30, 20, 10)
   -x^2*q^6*(q^9*x-q^6*x-q^5*x-q^4*x+1)*S(12, 18, 12, 6)
   +(-q^4*x-q^3*x-q^2*x-1)*S(4, 6, 4, 2)
   -x^3*q^13*(q^8*x+q^7*x+q^6*x-q^2-q-1)*S(16, 24, 16, 8)
```

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